

University of Minnesota

Schedule of Expenditures of Federal Awards
and Schedule of Findings and Questioned Costs
for the Year Ended June 30, 2014, and
Independent Auditors' Reports

UNIVERSITY OF MINNESOTA

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Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

Board of Regents
University of Minnesota
Minneapolis, Minnesota

Report on Compliance for Each Major Federal Program

We have audited the University of Minnesota's (the "University") compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2014. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

Report on Internal Control Over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the financial statements of the University as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We issued our report thereon dated October 29, 2014, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

Deloitte & Touche LLP

December 12, 2014

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
CLUSTERS					
RESEARCH AND DEVELOPMENT CLUSTER					
Agency for International Development					
Passthrough:					
Purdue University	8000055865/206766 Amend 1	98			\$ 3,661
Corporation for National and Community Service					
Passthrough:					
Amherst H. Wilder Foundation	No Award Number	94			16,000
Department of Agriculture					
Direct					
		10			1,245,286
Passthrough:					
Fleishman-Hillard, Inc.	08-85554	10			22,433
Land Stewardship Project	No Award Number	10			16,784
Michigan Technological University	1103027Z1 Mod 1	10			2,690
MN Department of Agriculture	Contract No. 53918/P.O. #3000005654	10			56,799
National Honey Board	No Award Number	10			9,381
The University of Kentucky Research Fdn.	3048108673-12-655	10			42,117
University of Wisconsin-Madison	320K456 Mod 3	10			27,096
University of Wisconsin-Madison	529K115	10			13,642
Department of Agriculture, Agricultural Marketing Service					
Direct					
		10			14,760
Passthrough:					
MN Department of Agriculture	54790/3000005958/Brian Erickson	10			11,245
MN Department of Agriculture	57316 3(5)6698 amendment	10			46,004
MN Department of Agriculture	71850	10			484
MN Department of Agriculture	Contract No. 36330/55180 Amend 1	10			12,033
MN Department of Agriculture	Contract No. 38765 Amendment 1	10			40,410
MN Department of Agriculture	Contract No. 54787/P.O. #3000005957	10			23,669
Department of Agriculture, Agricultural Research Service					
Direct					
		10			1,912,751
Department of Agriculture, Animal and Plant Health Inspection Service					
Direct					
		10			194,438
Passthrough:					
Minnesota Board of Animal Health	Contract No. 26011	10			18,210
MN Department of Agriculture	A-65105 3(5)8758 amendment	10			50,103
West Texas A&M University	12-012-03/USDA 12-9100-1366-CA	10			30,000

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, Economic Research Service					
Direct		10			\$ 105,585
Passthrough:					
Cornell University	62140-9876 Amd #2	10			1,433
University of Wisconsin-Madison	394K310	10			29,483
Department of Agriculture, Forest Service					
Direct		10			123,834
Passthrough:					
MN Department of Natural Resources	Contract No. B50794 Amend 1	10			(1,245)
University of Massachusetts	12-005473 A 00	10			12,131
Virginia Tech	422139-19114	10			3,635
Department of Agriculture, National Institute of Food and Agriculture					
Direct		10			17,450,840
Passthrough:					
BioPulping International, Inc.	No Award Number	10			81
College of Menominee Nation	PRF 774661	10			12,716
Colorado State University	G-57057-1	10			2,375
Cornell University	62094-9445	10			(2)
Cornell University	64094-9750 Amend 2	10			286,501
Cornell University	64188-9740 Amend 3	10			25,134
Intelligent Optical Systems, Inc.	IOS #3226	10			42,083
Iowa State University	416-17-01C	10			482,578
Iowa State University	416-23-24B/PO IL 85822-23	10			109,487
Iowa State University	416-40-63G	10			118,266
Iowa State University	416-40-93B/PO I3-96825-23	10			28,173
Kansas State University	S10114.01	10			1
Kansas State University	S12159	10			41,077
Land Stewardship Project	No Award Number	10			10,376
Michigan State University	61-4206C Amend 3	10			3,042
Michigan State University	61-4296B Amend 7	10			82,027
Michigan State University	RC100056UM Amend 3	10			2,235
Michigan State University	RC101676UM Amend 3	10			29,635
Michigan State University	RC103168UMN	10			7,101
Michigan State University	RC103522UMN	10			13,393
Mississippi State University	080500.330345.01 Amendment No. 1	10			6,350
North Dakota State University	FAR0018591-1	10			41,855
North Dakota State University	FAR-0019049 Amend 1	10			13,563

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Oregon State University	C0417A-C, am 1	10			\$ (2,655)
Sun Grant	3TB497	10			2,019
Temple University	PO139259/Sub No240245	10			52,739
Texas A&M University	06-S130684/Prime 2013-68004-20361	10			78,022
The University of Arizona	124737	10			41,996
The University of Arizona	176324/2009-41520-05369	10			149,920
The University of Arizona	70938/2012-68003-19812 prime	10			133,318
The University of Georgia	RC293-365/4693998	10			5,337
Univ of Illinois at Urbana-Champaign	2012-05854-01 (A0104) Amendment 3	10			9,950
Univ of Illinois at Urbana-Champaign	2012-05854-03 (A0107) Amend 1	10			4,144
Univ of Missouri	C00031587-5	10			8,110
University of California, Davis	201015718-16	10			776,759
University of Connecticut	Agreement #7068, FRS #525804, PO #4908	10			35,732
University of Florida	Project #00085425 UF09223	10			51,895
University of Maryland	Z53002 Amendment A	10			2,475
University of Maryland	Z552803 Amendment B	10			91,406
University of Massachusetts	12-006905-A-00 Amend 1	10			60,280
University of Wisconsin-Madison	223K996	10			1,245
University of Wisconsin-Madison	297K802 Amend 2	10			68,126
University of Wisconsin-Madison	350K884 Amend 3	10			54,158
University of Wisconsin-Madison	419K930 Amendment 1	10			51,810
University of Wisconsin-Madison	428K094 Amendment 01	10			28,849
University of Wisconsin-Madison	499K240	10			4,270
University of Wisconsin-Madison	502K740	10			51,094
Utah State University	13040101/2013-67015-21241	10			34,380
Virginia Polytechnic Inst. & State Univ.	422102-19114 Amd. 02	10			8,850
Virginia Polytechnic Inst. & State Univ.	422183-19114/422262-19114 Amend 5	10			37,028
Washington State University	115808 G002979	10			180,814
Department of Agriculture, Natural Resources Conservation Service					
Passthrough:					
The Xerces Society, Inc.	No Award Number	10			12,423
Department of Agriculture, Risk Management Agency					
Passthrough:					
MN Fruit and Vegetable Growers	12-IE-53102-028	10			12,012
Department of Agriculture, Rural Business-Cooperative Service					
Passthrough:					
Iowa State University	412-30-13	10			(3)
Iowa State University	412-30-14A	10			50,215

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, The Office of the Chief Economist					
Direct		10			\$ 79,179
Department of Commerce, National Institute of Standards and Technology (NIST)					
Direct		11			118,350
Department of Commerce, National Oceanic and Atmospheric Administration (NOAA)					
Direct		11			203,411
Passthrough:					
Minnesota's Lake Superior Coastal Prog.	12-306-05B	11			3,545
Minnesota's Lake Superior Coastal Prog.	306-05-12/B46834	11			(1,086)
Minnesota's Lake Superior Coastal Prog.	Contract No. 51058/P.O. #3000025443	11			14,774
Minnesota's Lake Superior Coastal Prog.	Grant Contract 51056 Amend #1	11			7,357
MN Department of Natural Resources	Coastal Grant Prog. Agreem.11-306-06	11			92
MN Department of Natural Resources	CoastalAgreem#13-306-08/3000046285	11			68
MN Department of Natural Resources	CoastalAgreem. 13-306-15/3000046319	11			15,626
MN Department of Natural Resources	CoastalAgreem. 13-306-17/3000046326	11			18,838
MN Department of Natural Resources	CoastalAgreem. 13-306-19/3000046356	11			29,276
North Carolina A & T State Univ	270040G Mod. #6	11			9
Syntectic International LLC	NA11OAR4310120	11			21,658
University of Michigan	3002475295	11			40,442
University of Michigan	P.O./Contract No. 3001119746 Amend 3	11			12,514
Department of Defense					
Direct		12			1,012,646
Passthrough:					
Anasys Instruments Corp.	UMN01_FA9451-10-D-0224 Amd. 1	12			9
Boston Applied Technologies, Inc.	PO #2499A	12			45,395
Creare Inc.	56888	12			9,620
HDR Engineering, Inc.	191717-164 am 1	12			533,180
High Performance Tech Inc	14463-PETTT-MINN	12			48,508
HRL Laboratories, LLC	13006-302965-DS	12			196,930
MN Veterans Medical Research & Educ Fdn	DOD2013-12	12			7,100
Optomec, Inc.	W.O. 01416/2	12			129,560
Orbis Biosciences, Inc.	No Award Number	12			17,796
Rockwell Collins, Inc.	4504783066	12			44,083
Rockwell Collins, Inc.	4504789784	12			177,712
The Henry M. Jackson Foundation	713080	12			68
The Henry M. Jackson Foundation	783445 Modification 04	12			68,967
The Henry M. Jackson Foundation	W91Y7Z-14-C-M111	12			30,048

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Defense, Advanced Research Projects Agency					
Direct		12			\$ 1,656,248
Passthrough:					
California Institute of Technology	102-1092551	12			43,881
California Institute of Technology	102-1094102	12			127,477
IBM Corporation	4912038362	12			79,847
Indiana University	BL-4348709-UM	12			93,338
Northwestern University	HR0011-13-2-0002/SP0020251-PROJ0005715	12			199,321
Northwestern University	SP0014800-PROJ0003835 Amd. #3	12			37,010
The Ohio State University	OSU N66001-11-1-4090	12			90,821
University of California, Irvine	2012-2879	12			32,319
University of Rochester	PO 415794-G/HR001-10-C-0111	12			160,000
Department of Defense, Department of the Air Force, Materiel Command					
Direct		12			3,643,302
Passthrough:					
California Institute of Technology	52-1093214	12			152,443
Carnegie Mellon University	1150119-294738	12			151,226
The Ohio State University	PO# RF01358604 Project# 60041477	12			2,530
Univ. of North Carolina at Charlotte	20100669-03-UMN	12			102,360
University of Pennsylvania	556016	12			224,703
Department of Defense, Department of the Navy, Office of the Chief of Naval Research					
Direct		12			4,345,217
Passthrough:					
Massachusetts Institute of Technology	5710003352	12			215,278
University of California, Berkeley	00008157	12			73,806
University of California, Los Angeles	1015 G QA113/N00014-12-1-0883	12			82,808
University of Michigan	3002460613	12			98,224
Department of Defense, National Security Agency					
Direct		12			76,963
Department of Defense, Office of the Secretary of Defense					
Direct		12			812,269
Passthrough:					
Oklahoma State University	AA-5-33690	12			150,819

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Defense, U.S. Army Materiel Command					
Direct		12			\$ 383,446
Passthrough:					
3M Co	PO #USMMMR74D6	12			188,443
BBN Technologies Corp.	0W911NF-09-2-0053	12			109,722
Cornell University	66220-9899	12			97,531
Imaginative Technologies, LLC	UM00023465	12			(2,026)
Rice University	R17833 am 3	12			333,041
Department of Defense, U.S. Army Medical Command					
Direct		12			7,524,516
Passthrough:					
Albert Einstein College of Medicine	321109	12			55,951
Children's Research Institute	Agreement 01-W81XWH-12-1-0417	12			7,275
Holland Bloorview Kids Rehab Hospital	No Award Number	12			253,290
Minneapolis Medical Research Foundation	METRC2—W81XWH1020091 Mod 3	12			39,035
Rutgers, The State Univ. of New Jersey	Org code10578/PO#S156163/Acct #:4-29429	12			347,042
University of California, San Diego	S9000080, No.34305767 AMD2	12			8,096
University of California, Irvine	2012-2892	12			26,088
University of Washington	Subaward No. 757348	12			18,851
Department of Education, Institute of Education Sciences					
Direct		84			3,142,998
Passthrough:					
KU Center for Research, Inc.	FY2009-005-M4	84			160,260
The Univ. of N. Carolina at Chapel Hill	5-39254	84			144,005
The University of Kansas	FY2013-003	84			61,009
Department of Education, Office of Elementary and Secondary Education					
Passthrough:					
Intermediate District #287	S342747, S343084, S343403	84			50,577
MN Office of Higher Education	Contract No. 40945 Am. 1	84			926
Department of Education, Office of Innovation and Improvement					
Direct		84			1,816,111
Department of Education, Office of Postsecondary Education					
Direct		84			142,698

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Education, Office of Special Education and Rehabilitative Services					
Direct		84			\$ 856,753
Passthrough:					
Koronis Biomedical Technologies Corp.	H133S110050	84			9,761
Pennsylvania State University	4568-UM-USDE-0030 Amd. #2	84			55,578
Department of Energy					
Direct		81			13,190,230
Direct		81	ARRA		498,692
Passthrough:					
Aerosol Dynamics Inc.	3009 am 1	81			6,891
Battelle	00101845	81			69,856
Battelle	00109759, Amd 3	81			7,719
California Institute of Technology	52-1095145	81			809
Columbia University	G04609/GG009328	81			12,004
The Consortium for Plant Biotechnology Res, Inc.	GO12026-304/DE-FG36-02GO12026-008 Amd F	81			189
The Consortium for Plant Biotechnology Res, Inc.	GO12026-319/DE-FG36-02GO12026-008 Amd G	81			(3,160)
Donald Danforth Plant Science Center	23009-MN	81			91,152
Eaton Corporation	PO# 0011-38478	81			99,581
Laurentian Energy Authority, LLC	No Award Number	81			638,845
MN Department of Commerce	Contract No. 37593 Am 2	81			110,556
Oregon State University	U0600A-A	81			1
Princeton University	00001701 Mod. 4	81			146,155
Princeton University	S012824-F	81			12,033
Reeves & Sons, LLC	PO# JRCD208041100	81			(11)
South Dakota State University	3TG155 Amend. 3	81			61,575
South Dakota State University	3TM162	81			45,087
South Dakota State University	3TS162	81			191,732
Stanford University	108130	81			10,740
Stanford University	US DOE Subaward 60544213-107908	81			22,249
The Research Foundation of SUNY	11-30/DE-FE0007190	81			58,097
The University of Texas at Austin	UTA13-000567	81			90,529
Univ of Texas	UTA09-000984	81			23,483
Univ of Texas	UTA12-000915	81			145,588
University of Delaware	21114 Amd. 4	81	ARRA		425,995
University of Michigan	3001346400 Amd. 5	81			446,309
University of Notre Dame	209008 Amd. 6	81	ARRA		120,482
Yale University	00018874C08E10003/DE-FG02-08ER46482	81			53,818

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Energy, National Nuclear Security Administration					
Direct		81			\$ 461,300
Department of Energy, Office of Energy Efficiency and Renewable Energy					
Direct		81			624,365
Department of Energy, Office of Science					
Direct		81			9,561,115
Passthrough:					
Battelle	00102037 am 1	81			282,081
Battelle	4000109237 mod 6	81			1,722
Battelle	4000115217	81			49,939
Battelle	4000124670	81			7,935
Department of Health and Human Services					
Direct		93			963,552
Passthrough:					
John Snow, Incorporated	No Award Number	93			8,428
Research Triangle Institute	Subcontract 2-312-0212704	93			12,013
Science Applications International Corp.	Subcontract S09-214/29XS214	93			1,009,669
The University of Alabama at Birmingham	000438814 TO3 SC001	93			28,148
University of South Florida	PO #0000161342 Amendment #5	93			46,310
University of Washington	727119 Am 2	93			155,969
Department of Health and Human Services, Administration for Children and Families					
Direct		93			17,941
Passthrough:					
Child Trends, Inc.	90YE0132-03 Modification #002 and #003	93			81,535
MN Department of Human Services	GRK% 30794 Am 2	93			59,450
Department of Health and Human Services, Administration for Community Living					
Passthrough:					
Vanderbilt University	VUMC 42027	93			69,912
Department of Health and Human Services, Agency for Healthcare Research and Quality					
Direct		93			2,188,934
Passthrough:					
Fairview Health Services	FV2010-233 Amendment 5	93			51,049
Indiana University	IN-4688351-UM/PO Number 912940 Am 3	93			95,782
Indiana University	PO#1238570	93			231,382

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Medica Research Institute	2013-67	93			\$ 8,777
Medica Research Institute	UNIMN-0064	93			1,477
The University of Oklahoma	RS20101741-16	93	ARRA		94,358
Westat, Inc.	Master 8846.02-S05	93			17,081
Department of Health and Human Services, Centers for Disease Control and Prevention					
Direct		93			2,852,957
Passthrough:					
Assoc. of Univ. Centers on Disabilities	Sub No 455/Cooperative Agreement No 811	93			22,804
Battelle	370712 PO US001-0000370712	93			214,130
Battelle	US001-0000234257, Mod 05	93			(12,434)
Big Brothers Big Sisters-Greater TC	No Award Number	93			2,165
MN Department of Health	54661	93			14,699
MN Department of Health	55946	93			50,276
MN Department of Health	Grant No. 48626/P.O. #3000007975	93			44,340
MN Department of Health	Grant No. B23448	93			11
MN Department of Health	P.O. #3000006225	93			1,278
The National Fragile X Foundation	No Award Number	93			1,582
The Univ. of N. Carolina at Chapel Hill	5-52517, Amend 2	93	ARRA		5,138
Department of Health and Human Services, Centers for Medicare and Medicaid Services					
Passthrough:					
Mayo Clinic Rochester	1C1CMS330964-01-00	93			224,277
Minnesota Hospital Association	HEN LEAPT	93			24,366
MN Department of Human Services	No Award Number	93			40,453
MN Department of Human Services	PTL%70316	93			47,364
Department of Health and Human Services, Food and Drug Administration					
Passthrough:					
Nat'l Inst. for Pharm. Technology & Educ	NIPTE-U01-MN-002-2012	93			8,060
Oregon Health & Science University	1002563UMINN	93			3,980
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93			866,174
Passthrough:					
Minneapolis Medical Research Foundation	HSH250201000018C	93			65,595
MN Department of Health	Agreement 57900	93			31,929
MN Department of Health	Contract No. 41335, P.O. #3000005166	93	ARRA		120,247
MN Department of Health	No Award Number	93			278,011

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
National Opinion Research Center	6673-03-Univ. of Minnesota	93			\$ 153,275
Northeast MN Area Health Education Ctr.	UMN006	93			27,388
University of Illinois at Chicago	2010-04296-06-04	93			216,768
Department of Health and Human Services, National Institutes of Health					
Direct		93			264,304,086
Direct		93	ARRA		1,888,874
Passthrough:					
Advanced Medical Electronics Corporation	AME10-ConnectElectronics-04/5R44DC0095	93			12,760
Advanced Medical Electronics Corporation	AME13-GAZESTEERED-25	93			9,456
Advanced Medical Electronics Corporation	AME13-GrapheneSensor-19	93			24,646
Advanced Medical Electronics Corporation	AME13-HeadTrackHA-22	93			11,000
Advanced Medical Electronics Corporation	DC011468-02	93			682
Advanced MRI Technologies	1R44NS073417 Amend 3	93			104,573
Albert Einstein College of Medicine	310268, Amend 4	93			64,986
Allina Health System	CCTRN5	93			5,658
Allina Health System	No Award Number	93			40,688
American College of Radiology	ACRIN 6698 Institution #4246	93			28,289
Astraea Therapeutics, LLC	2012-UMinn-115984-07	93			25,812
Audiology Inc	R33DC011769	93			10,097
Audiology Inc	RC3DC010986-01	93	ARRA		24,740
Beckman Res. Inst. of the City of Hope	22390.914991.6688	93			8,906
Beckman Res. Inst. of the City of Hope	22999.914934.6692	93			2,060
Beth Israel Deaconess Medical Center	Subaward No 01024848 Amendment 2	93			14,073
Beth Israel Deaconess Medical Center	Subaward No. 01026554-COREB	93			254,752
Black Hills Ctr. for Amer. Indian Health	5P50CA148110-04	93			35,814
Boston University	9500301522 (current)/9500300225 (prev)	93			7,024
Boston University	BUMC #4500001119/AG018037-14	93			16,871
Boston University Medical Campus	Activity# 0294301 PO# 787779 Amd #1	93			6,580
Brigham and Women	105963 AMD4	93			16,449
Brigham and Women	No Award Number	93			234,457
Brigham and Women	PS#105087/CTOT-11	93			11,358
Brown University	00000199 Amd 4 (PO# P252628)	93			4,704
California Pacific Medical Center	2803209-S099 Amendment 4	93			254,425
California Pacific Medical Center	2803232-S150 amd 2	93			15,523
Carnegie Mellon University	1090394-319875	93			45,703

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Carolinas Healthcare System	1U01DE022909 amend # 2	93			\$ 276,995
Carolinas Healthcare System	U01DE022939—Michalowicz	93			17,969
Case Western Reserve University	RES503448	93			31,383
Case Western Reserve University	RES507525/DK094157/RES508318	93			150,568
Case Western Reserve University	RES508612	93			324
Children's Hospital Boston	PO#RSTFD0000484408	93			118,845
Children's Hospital Boston	PO#RSTFD0000555834	93			3,046
Children's Hospital Los Angeles	P2013-0010 Amendment 4	93			226,192
Children's Hospitals & Clinics of MN	No Award Number	93			19,929
Children's Oncology Group	Sub No.021371/Per Case Reimbursements	93			314
Children's Research Institute	Agreement 3-1P50AR060836	93			21,545
Cincinnati Children's Hospital Med Ctr	109363/R24HL105333	93			24,443
Cincinnati Children's Hospital Med Ctr	CHMC# 104930 M4, PO 3100269118	93			1,788
Cincinnati Children's Hospital Med Ctr	Subaward No. 130466 PO #3100271635	93			43,253
Cincinnati Children's Hospital Med Ctr	Subaward No. 130474	93			(2,697)
Cleveland Medical Devices, Inc.	No Award Number	93			7,200
Columbia University	000231683 (GG005538) Amd 6	93			17,384
Columbia University	1 (Acct #539636) Amd 2	93			1,143
Columbia University	6(GG006750-02)	93			13,349
Connecticut Children's Medical Center	Agreement #12-179296-35-01	93			1,043
Cornell University	14040540 AMD #3	93			261,213
Dana-Farber Cancer Institute	#P1153505—Amendment No. 8	93			285,580
Dartmouth College	Subaward 899	93			46,392
Dartmouth College	Subaward No. 895	93			40,486
Discovery Genomics Inc	D228017 Amend 3	93			35,064
Duke University	2032595	93			7,784
Duke University	5U19AI056363-10REV 2032964	93			23,254
Duke University	Duke SPS #165191/174373	93	ARRA		3,398
Duke University	Protocol # NICHD-2005-18 Amend#1	93			39,994
Dystonia Coalition	Career Development Award	93			4,047
E. I. du Pont de Nemours and Company	Account # 30-03671-001 Amd 2	93			4,918
Eastern Coop Oncology Group	MB2036BV-00 Amendment #6	93			32,626
Emory University	S463359/R01HL095791	93			(2,239)
Emory University	T089219 AMD No. 3	93			372,179
Emory University	T179477	93			19,600

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
FHI 360 Disaster Relief Fund	5466				\$ 30,098
Florida Atlantic Univ	RJ23/DSR#11-434(2)/Acct#180283				16,129
Florida International University	800001054-02, Amend 2				14,001
Florida State University	R01631				2,246
Fluorescence Innovations, Inc.	1/2013				71,069
Fox Chase Cancer Center	FCCC 15057-04 PO# SQ1305629 PO#201020042				31,251
Fox Chase Cancer Center	HHSN26100002 (FCCC 18031-01)				229,509
Fred Hutchinson Cancer Research Center	0000721156/0000752809/CA118953-05				(19,518)
Fred Hutchinson Cancer Research Center	0000740952, Amend 2				59,143
Fred Hutchinson Cancer Research Center	0000742373/000769409				110,642
Fred Hutchinson Cancer Research Center	0000765860				12,056
Fred Hutchinson Cancer Research Center	0000773484/5U54CA163438/0000782578				113,751
Fred Hutchinson Cancer Research Center	0000785108				52,323
Fred Hutchinson Cancer Research Center	5R01CA118953-07/0000778962/803700-211014				9,289
Fred Hutchinson Cancer Research Center	754108-212018/212022-S4459/782575-212018				45,054
Fred Hutchinson Cancer Research Center	782577-212021/212022S4919/754111-212021/				14,160
Fred Hutchinson Cancer Research Center	CITN11-02				(8,153)
Fred Hutchinson Cancer Research Center	subaward 0000753275/prev #730289				49,374
Genesegues, Inc.	5R43DK084644-02 Mod #2				3,472
Genesegues, Inc.	Subcontract S0001				1,844
Georgia Regents University	Subaward No. 25034-24				25,141
Gynecologic Oncology Group	27469-013				39,903
Harvard Medical School	Subaward 149639.5025082.1004 Amd. 4				307,225
Harvard University	111082-0103				237,781
Harvard University	135955				19,068
Harvard University	151182-0002				170,888
Harvard University	152441.5079288.0003				22,936
Harvard University	PO 0000540647				29,235
Hauptman-Woodward Medical Research Inst.	6200-2, Mod #2				1,193
Hauptman-Woodward Medical Research Inst.	6200-3				30,829
Health Research, Inc.	3865-01 Amend 3				7,060
Health Research, Inc.	HRI Contract No. 29-01/55-7014-19 Am 4				32,771
HealthCare Interactive, Inc.	20101205				5,444
HealthPartners Inst for Educ & Research	85285270 X0808700 Mod#4				330
HealthPartners Inst for Educ & Research	85285270-X1103700				21,692
HealthPartners Inst for Educ & Research	A/U 85285270—X0909600				118,841

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
HealthPartners Inst for Educ & Research	A08-132/X0813200, MOD 5	93			\$ 57,516
HealthPartners Inst for Educ & Research	Acct Unit 85285270/X1003900	93			16,597
HealthPartners Inst for Educ & Research	HPRF/R01 CA128211 Mod #6	93			6
HealthPartners Inst for Educ & Research	PO 85285270-X1109000	93			15,439
ICET, Inc.	R44DK55891-06	93			37,331
Immune Tolerance Network	ITN10182-00sc Amendment #1	93			(2,217)
Innovative Surface Technologies, Inc.	No Award Number	93			7,308
Institut Pasteur	R01AI042361	93			209,648
Iowa State University	430-17-22A Amend 3	93			126,434
Iowa State University	430-46-05B Amendment 3	93			165,871
IRIS Media Inc.	Project ID 68/HD066896	93			26,133
Isogenis	2-R44-DK069618	93			(5,441)
Jackson State University	3N01-HC-95170 amd 4	93			2,663
Jackson State University	Subcontract NIH Contract N01-HC-95170	93			16,494
Jaeb Center for Health Research	1U10EY020797-01A1 Site 112	93			9,768
Jaeb Center for Health Research	Addendum Protocol R3-24-11	93			4,600
Jaeb Center for Health Research	ATS17	93			10,572
Jaeb Center for Health Research	EY14231	93			(16,296)
Jaeb Center for Health Research	NLD3	93			(5,318)
Jaeb Center for Health Research	No Award Number	93			3,096
Jaeb Center for Health Research	PEDIG/PCSOR-CO2	93			8,693
Jaeb Center for Health Research	U10EY14231 Protocol V	93			1,292
Joan & Sanford I Weill Med Coll	12121742-01/07091018	93			16,652
Johns Hopkins University	2000617092 amendment 1	93			(39)
Johns Hopkins University	2000834334 Amd 7	93			82,604
Johns Hopkins University	2001110252/R01EB12547	93			26,974
Johns Hopkins University	2001184189 Amd. 3	93			24,956
Johns Hopkins University	2001660168 (5U01-CA164975-03)	93			61,126
Johns Hopkins University	2002206440	93			92
Johns Hopkins University	DK083551	93			7,311
Johns Hopkins University	PO Number 2002098367	93			3,432
Johns Hopkins University	PO Number 2002098368	93			858
Johns Hopkins University	PO Number 2002108586	93			8,735
Johns Hopkins University	Subaward 2001374023, Amend 4	93			198,505
Joslin Diabetes Center, Inc.	1987203-2 Amendment 1	93			85,002

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Joslin Diabetes Center, Inc.	1987203-8, Amend 2	93			\$ 48,243
Joslin Diabetes Center, Inc.	Sub_DK097808 MAU	93			18,662
Joslin Diabetes Center, Inc.	Subaward # 1987203-4 Amendment 1	93			142,059
Kaiser Fdn Res Inst	115-9488-01/KR021096, Amend 2	93			311,499
Kaiser Permanente	115-9107-02 M1/KR020815 AMD#3	93			7,235
Koronis Biomedical Technologies Corp.	1R41TR000367-01A1	93			18,812
Koronis Biomedical Technologies Corp.	KBT13-HAReplay-12	93			6,905
Koronis Biomedical Technologies Corp.	SBIR 1R43NS066539-01A1	93			2,413
Leidos Biomedical Research, Inc.	11XS245, MOD#9	93			63,857
Leidos Biomedical Research, Inc.	12XS455/STO10/A02106ST MOD#4	93	ARRA		10,298
Leidos Biomedical Research, Inc.	12XS455/STO2/A02098ST MOD3	93			16,552
Leidos Biomedical Research, Inc.	12XS455/STO4/A02099ST Mod #2	93	ARRA		100
Leidos Biomedical Research, Inc.	12XS455/STO5/A02101ST MOD#4	93	ARRA		1,072
Leidos Biomedical Research, Inc.	12XS455/STO7/A02103ST MOD#3	93	ARRA		424
Leidos Biomedical Research, Inc.	12XS455/TO1/A64641 Mod #2	93			100
Leidos Biomedical Research, Inc.	13XS134TO4	93			7,937
Leidos Biomedical Research, Inc.	29XS128 TO15, MOD No. 07	93			145,645
Leidos Biomedical Research, Inc.	29XS128 TO23	93			1,995
Leidos Biomedical Research, Inc.	29XS128, Order #08, A59101, MOD#11	93			637,167
Leidos Biomedical Research, Inc.	29XS128-TO17A-A65136 MOD #6	93			124,521
Leidos Biomedical Research, Inc.	29XS128-TO17B MOD#5	93			8,739
Leidos Biomedical Research, Inc.	Task Order #: 13XS134TO1 Mod 03	93			6,501,457
Leidos Biomedical Research, Inc.	Task Order #: 13XS134TO2	93			709,606
Lentigen	Lentigen/R41DK085944-01 Amd 2	93			499
Lifespan	1 U01-HL077221	93			14,603
Los Angeles Biomedical Research Inst.	20964-UMN/PO 14002351,Amend 2	93			111,513
Los Angeles Biomedical Research Inst.	Subaward 20954-UMN	93			14,200
Lovelace Respiratory Research Inst	JK140889	93			12,988
Massachusetts General Hospital	Subaward No. 219591 Amend 2	93			10,367
Massachusetts Institute of Technology	5710002399	93			27
Mayo Clinic Rochester	1R01CA154517-03 Revised PO #63227284	93			169,895
Mayo Clinic Rochester	1U01NS073557-01A1	93			26,561
Mayo Clinic Rochester	5P01HL076611-09	93			10,327
Mayo Clinic Rochester	5P50CA116201-08/PO No. 63324391	93			97,188
Mayo Clinic Rochester	5R01DA023979-05	93			46,790

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Mayo Clinic Rochester	5R01HL110539-04/PO #63691532	93			\$ 259,897
Mayo Clinic Rochester	5U01DK082843-06 Amend 3	93			201,157
Mayo Clinic Rochester	5UL1TR000135-08/PO 63320465/63672488	93			4,885
Mayo Clinic Rochester	63202828	93			19,577
Mayo Clinic Rochester	63216555	93			17,155
Mayo Clinic Rochester	63425576	93			317,281
Mayo Clinic Rochester	63531579/1R01GM103841-01A1	93			25,592
Mayo Clinic Rochester	63551920	93			20,941
Mayo Clinic Rochester	63657529 AMD1	93			47,126
Mayo Clinic Rochester	PO #63296805/5U54CA153605-04	93			3,263
Mayo Clinic Rochester	PO #63531576 AMD#1 (old po#63085719)	93			184,026
Mayo Clinic Rochester	PO 63070075/PO 63583055	93			142,373
Mayo Clinic Rochester	PO 63523526	93			226,220
Mayo Clinic Rochester	PO# 62969072	93			38,539
Mayo Clinic Rochester	PO: 63691533/5DP1DA031340-05	93			388,005
Mayo Clinic Rochester	Subaward 5R01CA163803-02/PO#63603194	93			9,030
Mayo Clinic Rochester	Subaward: 5K12CA090628-12 Revised	93			13,320
Medical College of Wisconsin	2	93			106,294
Medical College of Wisconsin	PO # 1444248	93			9,461
Medical University of South Carolina	MUSC08-183 Amd. 6	93			20,989
Medical University of South Carolina	MUSC09-144 Amd 5	93			7,935
Medical University of South Carolina	MUSC09-145 Amd. 4	93			3,184
Medical University of South Carolina	MUSC10-034/1R21DK084413	93			(97)
Memorial Sloan-Kettering Cancer Center	BD516125	93			16,527
Memorial Sloan-Kettering Cancer Center	PO# BD516061	93			197,693
Mercer University	720665	93			8,690
Michigan Public Health Institute	K-30208-116-504200	93			140,396
Michigan State University	RC100161 Amd. 5	93			131,530
Michigan State University	Subaward No. RC100246UMN Amd. 3	93			31,600
Minneapolis Heart Institute Foundation	5U01HL087318 Amd. 7	93			964
Minneapolis Medical Research Foundation	00042-01/5R01AG037551-04	93			8,836
Minneapolis Medical Research Foundation	07061	93			3,928
Minneapolis Medical Research Foundation	07073-2	93			4,207
Minneapolis Medical Research Foundation	07149-4	93			75,969
Minneapolis Medical Research Foundation	07292-1	93			30,109

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Minneapolis Medical Research Foundation	5R01AG037551-04	93			\$ 12,946
Minneapolis Medical Research Foundation	ACCORDION Mod #8	93			20,598
Minneapolis Medical Research Foundation	ACCORDION-Eye Mod 5	93			47,581
Minneapolis Medical Research Foundation	HHSN 267 2007 15002C Mod 7	93			19,985
Minneapolis Medical Research Foundation	HHSN 267 2007 15003C Mod. 7	93			26,502
Minneapolis Medical Research Foundation	HHSN268201100027C Mod #9	93			76,309
Minneapolis Medical Research Foundation	NIH/NICHD1R21HD068203-01A1	93			7,222
Minneapolis Medical Research Foundation	U01AG029824, Mod 3	93			34,247
Moai Technologies, LLC.	No Award Number	93			1,597
Montana State University	G114-13-W4077	93			13,565
Mount Sinai School of Medicine	0255-4391-4609 Amd. 5	93			59,449
Mount Sinai School of Medicine	0255-9033-4609	93			5,634
MSP Corporation	Subaward HD072739-Somani	93			11,546
National Childhood Cancer Foundation	Subcontract #19228 ARRA	93	ARRA		(14,035)
National Marrow Donor Program	Protocol Rider #0901	93			49,406
Nat'l Development & Research Inst., Inc.	PO# 0048046 NDRI #626C	93			17,885
Nat'l Inst. for Pharm. Technology & Educ	NIPTE-SAIC-MN-2013-001 Amendment No. 1	93			72,746
Neuro Devices, Inc.	2R42TR000462-02	93			264,010
Neuro Devices, Inc.	NIH Prime Award R41NS071979 Phase II	93			304,263
Neuro Nexus Technologies, Inc.	Subaward 5R44NS060269-03	93			285,867
New England Res Inst	PITCH-HF	93			2,500
New England Res Inst	THM Network Protocol Agreement	93			92,630
New England Res Inst	TMH Network Protocol Agreement	93			18,225
New England Res Inst	TMH Protocol Agreement—PLADO	93			1
New York University	10-01254; Proj #801860, Amend 4	93			30,058
New York University	S#10-01073/Site001409	93			8,522
Northeastern University	50016478050 Amd 4	93			52,732
Northwestern Health Sciences University	R25AT003582-07	93			45,570
Northwestern University	60024563 UM	93			1,782
Northwestern University	60028536UM	93			(361)
Pacific Northwest Diabetes Research Inst	Subaward 399948-S01	93			5,686
Park Nicollet Institute	1R21CA149934-01A1 AMD2	93			44,340
Penn State Milton S. Hershey Medical Ctr	UMNDK082183	93			115,715
Purdue University	4102-55747	93			30,554
Regenx Biosciences, LLC	1R41DK094538-01	93			64,359
Rehabilitation Institute of Chicago	R01NS053813	93			15,478

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Research Foundation for Mental Hygiene	93885 Amd. 4	93			\$ 58,199
Rhode Island Hospital	6293	93			4,974
Rhode Island Hospital	CLEVER Trial	93			326
Rush University Medical Center	5R01HL117804-02	93			31,425
San Diego State University Foundation	53253KP1660 7810 211	93			7,621
San Diego State University Foundation	53311L P650 7803 211 Amendment 4	93			134,329
Sanford Health	SR-2012-05 Am 03	93			13,429
Sanford Health	SR-2014-22	93			65,585
Scripps Research Institute	PO 5-20593	93			147,586
Scripps Research Institute	PO 5-21840/5R01-DA019333-05	93			724
Seattle Children's Hospital	10373SUB	93			3,796
Seattle Children's Hospital	Subaward No. 11045SUB	93			20,734
Social & Scientific Systems, Inc	PHR-SUPS2-S-11-00013	93			995
St. Jude Children's Research Hospital	111725020-7513060 amd # 4	93			19,159
St. Jude Medical, Inc.	111287200-7593263	93			27,426
St. Luke's-Roosevelt Hospital Center	No Award Number	93			2,344
Stanford University	60373178-110835-G Am 1	93			12,099
State Univ. of New York at Stony Brook	1104160/61730	93			84,236
State Univ. of New York at Stony Brook	61730	93			177,441
Stewart Exposure Assessments, LLC	No Award Number	93			29,406
Temple University	239815-UM/P0141511	93			2,651
Texas A&M University	23-S132328, Amend 1	93			23,478
Texas Tech University	1R01AT007395-02	93			83,102
Texas Tech University	21F076-01	93			65,845
Texas Tech University	No Award Number	93			93,284
The Children's Hospital of Philadelphia	3208860613 Amd 5; PO#961180-RSUB	93			54,502
The Children's Hospital of Philadelphia	3209850814/PO #960875-RSUB	93			13,433
The Children's Hospital of Philadelphia	CMWA: FP00013744_SUB374_01/CCOP Per Case	93			10,000
The Children's Hospital of Philadelphia	FP00013560_SUB04_02	93			146,322
The Children's Hospital of Philadelphia	FP00013560_SUB63_01	93			19,612
The Children's Hospital of Philadelphia	FP00013560-SUB17-01	93			42,586
The Children's Hospital of Philadelphia	FP13013 SUB256_02/PO 960767-RSUB	93			34,302
The Children's Hospital of Philadelphia	PCR WO: FP00013013_SUB198_01	93			131,074
The Children's Hospital of Philadelphia	Phase 1 PCR WO: FP00013560_SUB27_01	93			21,000
The Children's Hospital of Philadelphia	PO #960760-RSUB/Ref#FP00013013-SUB270_02	93			14,664

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The Children's Hospital of Philadelphia	PO#: 960703rsb 7U10CA98543	93			\$ 10,120
The Children's Hospital of Philadelphia	PO#: 960780-RSUB	93			19,877
The Children's Hospital of Philadelphia	SL WO: FP00013013_SUB228_02/PO#960715	93			14,664
The Children's Hospital of Philadelphia	WO: FP00013013_SUB494_01	93			7,500
The EMMES Corporation	1UC4DK098086-01	93			25,200
The EMMES Corporation	Bellin CTR	93			29,301
The EMMES Corporation	Collaborative Islet Transplant Registry	93			35,078
The EMMES Corporation	Subcontract Agreement	93			6,158
The George Washington University	13-B03	93			194,930
The George Washington University	96-S05 Modification 17	93			(89,292)
The George Washington University	S-GRD1314-KR28/PRIME # U01-DK098246-02	93			490,961
The George Washington University	S-GRD1314-KR42	93			369,723
The George Washington University	S-MFM1213-JB01	93			(900)
The George Washington University	S-MFM1314-JB01	93			3,624
The Hospital for Special Surgery	Hospital for Special Surgery/5R01AR04132	93			10,254
The Ohio State University	Sub No.: 60037145	93			60,380
The State University of New York	R770645	93			7,326
The State University of New York Buffalo	PTAEO No. 1094939-2-57556 Am 2	93			279
The State University of New York Buffalo	R780456 amd 2	93			7,486
The Univ. of N. Carolina at Chapel Hill	5-30781 amd 6	93			19,000
The Univ. of N. Carolina at Chapel Hill	5-30892 Amd. 4	93			30,124
The Univ. of N. Carolina at Chapel Hill	5-30986 Am 4	93			8,358
The Univ. of N. Carolina at Chapel Hill	5-31200 amd 6	93			9,303
The Univ. of N. Carolina at Chapel Hill	5-31275 amd 5	93			142,662
The Univ. of N. Carolina at Chapel Hill	5-31276 Amd. 7	93			65,026
The Univ. of N. Carolina at Chapel Hill	5-32044 Am 3	93			37,436
The Univ. of N. Carolina at Chapel Hill	5-32634 Amendment 2	93			4,032
The Univ. of N. Carolina at Chapel Hill	5-32812 amendment #1	93			11,498
The Univ. of N. Carolina at Chapel Hill	5-33532	93			168,636
The Univ. of N. Carolina at Chapel Hill	5-33724	93			103,734
The Univ. of N. Carolina at Chapel Hill	5-35012	93			28,932
The Univ. of N. Carolina at Chapel Hill	5-56018 Amendment 11	93			(5,315)
The Univ. of N. Carolina at Chapel Hill	5-56030	93			157,731
The Univ. of N. Carolina at Chapel Hill	Subaward no. 5-31529	93			191,933
The University of Alabama	000430850-004	93			367,495

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The University of Alabama at Birmingham	000402758-001 Amend No. 6	93			\$ 787,277
The University of Alabama at Birmingham	000419431-003, Amend 8	93			32,212
The University of Alabama at Birmingham	000500517-001 Amend 1	93			2,464
The University of Alabama at Birmingham	0005013940-001	93			18,726
The University of Alabama at Birmingham	000504515-SP001-001	93			415,209
The University of Alabama at Birmingham	000505098-002/7U24MD006970-04	93			61,272
The University of Arizona	Y550687	93			20,136
The University of Arizona	Y600266 Amd #2	93			60,156
The University of Iowa	PO #1000806507 Amd 04	93			73,950
The University of Iowa	PO 1001318669/Req ID No W000605090	93			351,761
The University of Iowa	PO#1000993479/Grant No. 1 12472 00 01	93			497
The University of Iowa	Req ID W000524352/PO 1001215307 Amend 4	93			399,664
The University of Iowa	Req ID W000605087/PO 1001321595	93			20,276
The University of Iowa	Subaward 1001111589	93			2,742
The University of Iowa	Subaward 1001194749	93			90,595
The University of Iowa	W000524345, PO 1001218148 Amd 7	93			98,064
The University of Kentucky Research Fdn.	3048108493-12-392 Am 1	93			5,900
The University of Toledo	N2013-05	93			17,684
The University of Toledo	NS2010-065 amend #2	93			7,975
The University of Utah	10011075-02/U01TW006671/0000144722 Am 07	93			83,660
The University of Utah	10018282-02 Amd 3	93			120,367
The University of Utah	10022504-11, Amend 3	93			10,131
The University of Utah	Subaward 10024523-01 Amendment 2	93			35,769
The University of Vermont	22061SUB507475 Amend 17	93			82,519
The University of Vermont	26501SUB1245 AMD#1	93			147,779
Treatment Research Institute	UM027841.5 Amendment 7	93			359,057
Tufts Medical Center	5007383-SERV, Amend 1	93			35,544
Tufts Medical Center	5007630-SERV, Amend 2	93			33,858
U of TX Health Science Ctr. at Houston	0009546A	93			104,056
U of TX Health Science Ctr. at Houston	0009660A	93			9,790
U of TX Health Science Ctr. at Houston	Subaward No. 0009443A	93			46,210
Univ of Illinois at Urbana-Champaign	2011-01659-04(A1025)	93			(19)
Univ of Memphis	5-40118/PO 119119	93			66,766
Univ of Missouri	C00037413-1	93			209,076
Univ of Texas	00915291 (11111245/98015291)	93			25,493

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Univ. of TX M.D. Anderson Cancer Center	PO#27668-2000/38556-2000 30619/98225311	93			\$ 4,657
University of Alaska Fairbanks	UAF 14-0075/PO FP42146	93			30,507
University of California, San Diego	PO 10294267-006	93			92,900
University of California, San Diego	PO: 34179874-002	93			151,944
University of California, Berkeley	00007317 Am 04	93			150,201
University of California, Davis	09-001208-1-UMN Amd 6	93			80,384
University of California, Davis	201122073-01 Amendment 3	93			43,704
University of California, Davis	201224310-02	93			55,767
University of California, Davis	201400041-01	93			24,715
University of California, Irvine	2009-2262 Mod #3	93			2,161
University of California, Irvine	2011-2707	93			16,057
University of California, Los Angeles	1350 G RC673	93			71,381
University of California, Los Angeles	1581 G JB150/R01-EB007813	93			(7)
University of California, Los Angeles	1581 G QA047, Amend 2	93			56,491
University of California, San Francisco	6026sc, Amend 4	93			(9,297)
University of California, San Francisco	6755sc/U19AI096109 Amd. 6	93			394,269
University of California, San Francisco	7433 sc Amendment 2	93			90,341
University of California, San Francisco	7597sc AMD#1	93			165,869
University of California, San Francisco	Agreement No. 107781	93			17,939
University of California, San Francisco	Subaward 7370sc/U54AI082973	93			6,299
University of California, San Francisco	Subaward 8019sc	93			2,126
University of California, San Francisco	Subcontract # 6347sc Amend 4	93			31,901
University of California, Santa Barbara	KK1332 amd 1	93			24,368
University of Chicago	FP000348-8	93			52,146
University of Colorado	FY13.264.001/Prime 5R01AI096966-02	93			172,592
University of Colorado	Subaward No. FY14.489.001 AMD 1	93			214,969
University of Colorado Denver	Subcontract No. FY14.592.001	93			42,200
University of Connecticut	UCHC6-40916321-A1, Amend 1	93			115,643
University of Florida	UF11206—amendment 5	93			110,246
University of Florida	UFDSP00010267	93			151,355
University of Illinois at Chicago	Prime Award 5K23MH082121-05	93			115,108
University of Kansas Medical Center	QC858380 PO # RINST-1000149878	93			18,368
University of Kentucky	3048108539-12-502	93			39,788
University of Louisville	Sub #131349z01A	93			10,076
University of Maryland	9412-Z0519002	93			2,580

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Maryland	SR00002587/Subaward No. 101330A	93			\$ 93,927
University of Maryland	Subaward No. 9328/PO SR00003142	93			7,000
University of Maryland	Z033301 Amd. C	93			178,216
University of Maryland	Z195701—Mod: G	93			417,594
University of Massachusetts	14 008049 A00	93			18,082
University of Massachusetts	6114215/RFS900201, Amend 5	93			31,321
University of Massachusetts	6148251/RFS2013111	93			83,321
University of Miami	661252	93			32,683
University of Miami	66949L/1R01GM104975-03	93			188,670
University of Miami	66971E	93			14,275
University of Miami	AB43024	93			19,942
University of Michigan	3000894033 Amd. 5	93			313,873
University of Michigan	3001109113 AMD No. 8	93			47,430
University of Michigan	3001413139-PNT Amend #34	93	ARRA		31,340
University of Michigan	3001942715 Amd 6	93			377,106
University of Michigan	3002751553	93			16,114
University of Michigan	3002948034	93			6,803
University of Michigan	MASTER-5000002947 Amendment 44	93			340,225
University of Mississippi Medical Center	66102700414-04UMN	93			14,696
University of Mississippi Medical Center	66678010913-UM, Amend 2	93			87,037
University of Pennsylvania	553566 Mod. 4	93			3,670
University of Pennsylvania	556054	93			52,841
University of Pennsylvania	557804	93			51,225
University of Pennsylvania	561286 Previously 556786	93			343,171
University of Pittsburgh	0022408(123274-01)	93			20,990
University of Pittsburgh	0029252 (121244-1) (Formerly 708046-1)	93			175,071
University of Pittsburgh	0029319 (120132-2) Am 4	93			339,604
University of Pittsburgh	3U54DA031659-03S1	93			1,196,524
University of Pittsburgh	5U54DA031659-03 (prev. 1P50DA031659-01)	93			625,394
University of Pittsburgh	9007661 (123275-01)	93			20,990
University of Pittsburgh	9009831 (123662-1)	93			20,878
University of Pittsburgh	Subaward No. 9004499/Project #115604-1	93			7
University of Pittsburgh	Y2: 0035455(123849-4)/0035455(122748-4)	93			381,925
University of Rochester	414771-G/R01MH083979	93			103,050
University of Rochester	415764-G/5-25269	93			38,561

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Rochester	416170-G/U01HL096607 Amend 4	93			\$ 2,344
University of Rochester	5-28754/415897	93			54,546
University of Rochester	PO: 416130-G UR Account: 5-27534 Yr 2	93			1,334
University of Rochester	Subaward No. 415747-G	93			77,360
University of South Dakota	USD-1402/SR-2014-21	93			10,225
University of South Florida	6119-1117-00-AI Mod. 03	93			9,135
University of South Florida	6119-1276-00-AI	93			3,739
University of Southern California	44888690	93			18,521
University of Southern California	New: 70003340/Old: 151327	93			166,384
University of Texas at Houston	Subaward #0008802T/2UM1HL087318	93			1,634
University of Toronto	489985/HG005853	93			4,530
University of Virginia	Subaward GC11617-142850	93			1,500
University of Washington	561507, Modification No. 11	93			41,297
University of Washington	704641Z	93	ARRA		54,203
University of Washington	730958 amd #2	93			111,978
University of Washington	74538	93			7,050
University of Washington	748756	93			13,505
University of Washington	759206	93			102,818
University of Washington	Subaward No. 752236	93			17,632
University of Wisconsin-Madison	164K662 Amd. 5	93			44,455
University of Wisconsin-Madison	164K673 Amd. 4	93			101,282
University of Wisconsin-Madison	169K116 Amd 3	93			88,831
University of Wisconsin-Madison	280K921/N01-CN-35153, Amend 2	93			76,811
University of Wisconsin-Madison	346K253 Amd #2	93			37,009
University of Wisconsin-Madison	392K081	93			124,978
University of Wisconsin-Madison	396K325 Am 3	93			7,165
University of Wisconsin-Madison	398K554/5P01AG020166-08	93			10,109
University of Wisconsin-Madison	424K023 Amendment 1	93			15,121
University of Wisconsin-Madison	502K106/P20MD008696	93			9,878
University of Wisconsin-Madison	511K873	93			5,099
University of Wisconsin-Madison	523K062	93			9,975
University of Wisconsin-Madison	P01AG020166/477K201	93			46,354
University of Wisconsin-Madison	Sub #: 116K340 Mod #5	93			29,337
University of Wisconsin-Madison	subaward no. 339K194	93			249,730
University of Wisconsin-Madison	UW#441K254/N01CN35153	93			27,972
Valley Fever Solutions, Inc.	RC3MD-VF-UMN01/RC3MD005194-01	93	ARRA		(15)

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Vanderbilt University	21822-S1 Amendment 2	93			\$ 238,699
Vanderbilt University	2230-015244	93			83,252
Vanderbilt University	VUMC42374	93			78,558
Veterans Medical Research Foundation	08740-06-310553	93			16,215
Virginia Polytechnic Inst. & State Univ.	431696-19114 Mod 3	93			2,745
Wake Forest University	WFUHS 111188	93			6,018
Wake Forest University	WFUHS 116924/previous WFUHS 16923	93			20,691
Wake Forest University	WFUHS 330179 Amend 6	93			1,183,223
Wake Forest University	WFUHS 557845	93			19,090
Wake Forest University	WFUHS14073, AMD #5	93			7,533
Washington University in St. Louis	PO #2923108X (P30DK092950)	93			11,019
Washington University in St. Louis	Subaward WU-14-104 Mod 1	93			3,103
Washington University in St. Louis	WU-09-380/U01HL088476 Amend 05	93			4,300
Washington University in St. Louis	WU-09-61, PO #2905161N, Amd #4	93			4,638
Washington University in St. Louis	WU-12-78/PO 2917172T Amend 2	93			20,636
Washington University in St. Louis	WU-13-194/PO2917201W, Mod 1	93			659,829
Washington University in St. Louis	WU-14-203	93			1,898,293
Washington University in St. Louis	WU-14-357/PO 2923582X	93			296
Wayne State University	WSU11077	93			15,313
Wayne State University	WSU13092 amd 1	93			43,839
Westat, Inc.	8906—S06 Mod. 6	93			50,123
Wichita State University	SUB W2513	93			20,514
Wright State University	PSR07213 Amd. 3	93			77,031
Yale University	C13A11573(A09127)	93			104,676
Yale University	Sub No. A09334(M10A10407) Amd. 11	93			293,020
Department of Health and Human Services, Office of Minority Health					
Passthrough:					
Big Brothers Big Sisters-Greater TC	No Award Number	93			19,379
Department of Health and Human Services, Office of the Secretary					
Passthrough:					
Cellerant Therapeutics, Inc.	CLT008-02 Amd. 3	93			50,888
Department of Health and Human Services, Substance Abuse and Mental Health Services Administration					
Direct		93			317,781

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Homeland Security					
Direct		97			\$ 3,593,818
Passthrough:					
Kansas State University	S14169	97			29,828
Purdue University	4112-51774	97			168,115
Stanford University	28635340-103440-A	97			76,025
Texas A&M University	Subgrant 570446, Amendment 6	97			(767)
The University of Arizona	Y503224	97			115,644
University of Washington	696098 Mod. 26	97			16,085
Department of Housing and Urban Development, Office of Healthy Homes and Lead Hazard Control					
Passthrough:					
National Center for Healthy Housing, Inc	NCHH-10-1183	14			28,497
Department of Housing and Urban Development, Office of Policy Development and Research					
Direct		14			20,563
Department of Justice					
Direct		16			476,790
Department of Justice, Violence Against Women Office					
Direct		16			101,874
Department of Labor					
Passthrough:					
Summit Consulting, LLC.	No Award Number	17			19,782
Department of State					
Passthrough:					
International Joint Commission	1042-100764 Amendment 1	19			34,221
International Joint Commission	1042-100766 Amend 1	19			34,248
Department of the Interior					
Direct		15			148,419
Passthrough:					
Western Assoc of Fish & Wildlife Agencies	SG-C-13-03	15			91,515
Department of the Interior, Fish and Wildlife Service					
Direct		15			325,414
Passthrough:					
MN Department of Natural Resources	Contract No. 48239/P.O. #3000020626	15			37,401

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of the Interior, National Park Service					
Direct		15			\$ 126,179
Department of the Interior, U.S. Geological Survey					
Direct		15			932,829
Passthrough:					
America View Incorporated	AV08-MN01/08HQGR0157 Mod10	15			11,210
America View Incorporated	AV13-MN01 Mod 1	15			18,452
University of Massachusetts	12-007036 B 02 Amend 2	15			166,473
Department of the Treasury					
Direct		21			1,619
Department of Transportation					
Direct		20			193,054
Passthrough:					
American Transportation Research Inst.	No Award Number	20			30,505
Battelle	216973	20			41,489
MN Department of Transportation	89261 W.O. 222 Am 1	20			6,714
MN Department of Transportation	89261 W.O. 232	20			3,997
MN Department of Transportation	99008 W.O. 24	20			603,156
MN Department of Transportation	MnDOT Contract No 99008 WO No. 114	20			133,936
MN Metropolitan Council	SG-2013-058	20			24,320
The National Academies	Contract No. HR 24-36/P.O. #SUB0000121	20			100,000
Westat, Inc.	8928-S-007 TO 1 mod 2	20			266,024
Department of Transportation, Federal Aviation Administration (FAA)					
Passthrough:					
University of North Texas	GF2671-1/PO NT752-0000149046	20			55,710
Department of Transportation, Federal Highway Administration (FHWA)					
Direct		20			14,204
Department of Transportation, Federal Motor Carrier Safety Administration (FMCSA)					
Passthrough:					
MaineWay Services	No Award Number	20			8,531

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Transportation, Research and Innovative Technology Administration					
Direct		20			\$ 192,552
Passthrough:					
Portland State University	203DIL393	20			15,030
South Dakota State University	3TH149/DTOS59-07-G-00054 Amend. 4	20			43
South Dakota State University	3TX149	20			109,262
South Dakota State University	3TZ149	20			188,830
Department of Veterans Affairs					
Direct		64			878,929
Environmental Protection Agency, Office of Chemical Safety and Pollution Prevention					
Passthrough:					
MN Department of Agriculture	Contract No. 51345/P.O. #3(5)106 Am 1	66			810
Environmental Protection Agency, Office of Research and Development (ORD)					
Direct		66			368,060
Passthrough:					
Oregon State University	E0158A-A	66			9,728
Univ of Illinois at Urbana-Champaign	2010-00920-01 am 02	66			67,267
University of Washington	120017 Am# 14	66			53,611
Yale University	C14P11826(P00361)	66			5,190
Environmental Protection Agency, Office of the Administrator					
Passthrough:					
MN Pollution Control Agency	B47176 (Justin Watkins)	66			(541)
MN Pollution Control Agency	Contract # 62890/CR6268	66			9,211
MN Pollution Control Agency	SWIFT 73247; PO 3000009691	66			2,063
MN Pollution Control Agency	SWIFT Contract 73203	66			1,110
MN Pollution Control Agency	SWIFT#: 73715	66			10,033
Environmental Protection Agency, Office of Water					
Direct		66			860,434
Passthrough:					
Central Michigan University	2011-1086	66			386,350
City of Prior Lake	No Award Number	66			120,040
MN Board of Water and Soil Resources	Contract No. B53614	66			179,109
MN Department of Agriculture	B48457	66			5,510
MN Department of Health	Cont. No. 45706/PO#3000006867 Am.1	66			10,714
MN Pollution Control Agency	70549	66			590

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Pollution Control Agency	B43113	66			\$ 65,599
MN Pollution Control Agency	B43119	66			14,907
MN Pollution Control Agency	B43205 c.o. 4	66			72,765
MN Pollution Control Agency	B43845	66			129,460
MN Pollution Control Agency	B44876 Amendment 1	66			117,105
MN Pollution Control Agency	Contract No. 36547 co2&3	66			224,021
MN Pollution Control Agency	SWIFT 74333/PO 3000010174	66			10,879
General Services Administration					
Direct		39			49,585
Medicare Payment Advisory Commission					
Direct		06			81,391
National Aeronautics and Space Administration					
Direct		43			4,297,488
Passthrough:					
Advanced Scientific Concepts, Inc.	ASC-STTR-t4.01-9744-CA46P	43			10,889
ERC, Inc.	STR100206	43			34,997
ERC, Inc.	STR100281-1	43			98,200
Johns Hopkins University	922613 Mod 40	43			2,300,094
Montclair State University	NNX11AM29G-UOM	43			34,784
Rockwell Collins, Inc.	PO 4504982612	43			79,680
SETI Institute	SC-3046	43			4,062
South Dakota State University	3TG330 Amend. No. 1	43			22,585
Systems Technology, Inc.	No Award Number	43			62,500
Tao of Systems Integration, Inc.	NNX12CB02C/DFRC_UMIN3	43			179,660
The Ohio State University	60027268/RF01220512 Mod. 5	43			189,249
The University of North Dakota	1178-16116 Amendment 2	43			(1,856)
The University of North Dakota	UND0015364	43			(949)
Universities Space Research Association	Contract NAS2-97001	43			1,085
Universities Space Research Association	SOF-0017	43			1,456
Universities Space Research Association	SOFIA-Woodward	43			579
University of California, Berkeley	00007447/975268/BB00071821	43			1,134,681
University of Maryland, Baltimore	0000012786	43			15,856
University of Washington	756245	43			10,307
National Aeronautics and Space Administration, Space Telescope Science Institute					
Direct		43			188,343

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
National Endowment for the Humanities					
Direct		45			\$ 112,391
National Science Foundation					
Direct		47			69,980,255
Direct		47	ARRA		1,219,492
Passthrough:					
American Educational Research Assoc.	No Award Number	47			6,077
American Energy Technologies Company	PO 20130118001	47			2,755
California Institute of Technology	65R-1094903	47			10,990
California Institute of Technology	7E-1089377 Mod 6	47			191,979
California Institute of Technology	7E-1094407 am 1	47			3,663
California State University, Northridge	F-08-2347-1.0/40013948UM	47	ARRA		33,481
Carnegie Institution of Washington	6-10392-01	47			23,830
Carnegie Mellon University	1121550-259747	47			133,870
Columbia University	2 (GG002760) Am 4	47			74,774
Columbia University	3 GG002806	47			3,333
Consortium for Ocean Leadership	BA-90	47			1,334
Cornell University	44771-7477	47			864,635
Cornell University	55838-8805, amd 4	47			(23)
Cornell University	61416-10115	47			155,177
Cornell University	61416-9595 Amend 3	47			143,063
Cornell University	67364-10063	47			79,637
Donald Danforth Plant Science Center	23202-MN	47			67,295
Georgia Institute of Technology	RC850-G3	47			26,682
Iowa State University	420-20-968	47			157,596
Itasca Community College	DUE-1043821/Subaward 1 UofM	47			30,752
Moai Technologies, LLC.	MOAI-IIP-1346380	47			2,812
NVE Corporation	NVE/Prime IIP-1321460	47			17,536
NVE Corporation	PO-25018	47			15,661
Purdue University	NEES-4101-31877 Am 29	47			1,187,360
Raytheon Company	9500012169	47			28,952
Rutgers, The State Univ. of New Jersey	5099	47			54,268
Samuel Roberts Noble Fdn	2011-921-003 Amend 2	47			68,048
Science Museum of Minnesota	No Award Number	47			3,220

(Continued)

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Soc for Industrial & Applied Mathematics	SIAM MOU	47			\$ 20,000
Texas Tech University	21P225-01, amd 2	47			5,882
The Adler Planetarium	1266-CDIZCDF-04	47	ARRA		230,612
The New York Botanical Garden	NYBG-1206197-12-MIN/EF-1206197	47			20,066
The University of Arizona	125164	47			101
The University of Arizona	125165	47			124,556
The University of Georgia	RC371-229-4941826	47			202,163
The University of Georgia	RR272-210/4689648 Amend 7	47			18,289
The University of Texas—Pan American	41EMEC022-01	47			103,680
The University of Texas at Austin	UTA11-001083 am 1	47			198,743
The University of Texas at Austin	UTA13-000850	47			4,754
Twin Cities Public Television (TPT)	CNS-1330104	47			16,795
Univ of Illinois at Urbana-Champaign	2007-01077-33	47			10,814
Univ of Illinois at Urbana-Champaign	2013-04254-02	47			1,902
Univ of Illinois at Urbana-Champaign	2013-05283-01	47			21,882
Univ of Texas	26-1003-8262 am 2	47			4,778
University of California, San Diego	10303413-SUB Am 002	47	ARRA		4,695
University of California, San Diego	29890093 poS9000016 am 3	47			141,880
University of Delaware	24057 Amend 2	47	ARRA		14,596
University of Delaware	35455	47			31,175
University of Florida	UF11211	47			36,102
University of Florida	UF11235	47			2,258
University of Maryland	Z307501	47			22,337
University of Massachusetts Boston	S 2013 20541	47			24,790
University of Michigan	3002203945/EF-1115081	47			16,498
University of Michigan	3002559565	47			4,898
University of Notre Dame	PHY-1219444	47			7,328
University of Pennsylvania	555729	47			284,112
University of Southern California	42161403, Amend 2	47			8,071
University of Southern California	PO number 164496/10012228	47			55,614
University of Washington	subaward 746743 under SA9-09 MOD 32	47			32,561
University of Wisconsin-Madison	194K902	47			84,666
University of Wisconsin-Madison	416K220/CHE-1240151 Mod. 3	47			94,553
University of Wisconsin-Madison	501K373/CBET-0844537	47	ARRA		7,356
University of Wisconsin-River Falls	2014-200	47			149
Washington State University	118359 G003146	47			10,119
Whitehead Inst. For Biomedical Research	14-1699-9902 Amendment 2	47			88,970

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
National Transportation Safety Board					
Direct		07			\$ 51,224
United States Institute of Peace					
Direct		91			<u>7,368</u>
Total Research and Development Cluster					<u>501,713,240</u>
STUDENT FINANCIAL ASSISTANCE CLUSTER					
Department of Education					
Direct		84.379		Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	206,329
Department of Education, Office of Student Financial Assistance Programs					
Direct		84.007		Federal Supplemental Educational Opportunity Grants	4,267,595
Direct		84.033		Federal Work-Study Program	3,097,684
Direct		84.063		Federal Pell Grant Program	44,546,400
Direct		84.268		Federal Direct Student Loans	399,753,245
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.342		Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	<u>3,845,145</u>
Total Student Financial Assistance Cluster					<u>455,716,398</u>
CCDF CLUSTER					
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
MN Department of Human Services	65075	93.575		Child Care and Development Block Grant	284,844
MN Department of Human Services	69316	93.575		Child Care and Development Block Grant	23,560
MN Department of Human Services	Contract No. 441437 (Non-ARRA Funding)	93.575		Child Care and Development Block Grant	5,867
MN Department of Human Services	Contract No. PTK%43868 Am 3	93.575		Child Care and Development Block Grant	<u>132,160</u>
Total CCDF Cluster					<u>446,431</u>
ECONOMIC DEVELOPMENT CLUSTER					
Department of Commerce, Economic Development Administration					
Direct		11.307		Economic Adjustment Assistance	<u>117,909</u>
Total Economic Development Cluster					<u>117,909</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
FISH AND WILDLIFE CLUSTER					
Department of the Interior, Fish and Wildlife Service					
Passthrough:					
Florida Fish & Wildlife Conservation Com	FWC Contract No. 08038 Amend 1	15.605		Sport Fish Restoration Program	\$ 74
Total Fish and Wildlife Cluster					<u>74</u>
HEALTH CENTERS CLUSTER					
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.224		Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care)	<u>1,008,722</u>
Total Health Centers Cluster					<u>1,008,722</u>
HIGHWAY PLANNING AND CONSTRUCTION CLUSTER					
Department of Transportation, Federal Highway Administration (FHWA)					
Direct		20.205		Highway Planning and Construction	28,424
Passthrough:					
Mid-Ohio Regional Planning Commission	66501-01	20.205		Highway Planning and Construction	<u>8,836</u>
Total Highway Planning and Construction Cluster					<u>37,260</u>
HIGHWAY SAFETY CLUSTER					
Department of Transportation, National Highway Traffic Safety Administration (NHTSA)					
Passthrough:					
Duluth Police Dept	1st amendment to 21780	20.600		State and Community Highway Safety	21,918
MN Department of Public Safety	A-ADMIN14-2014-UMREGENTS-00001	20.600		State and Community Highway Safety	53,183
MN Department of Public Safety	ADMIN13-2013-UMCTS-00002 (P.O. #3-14494)	20.600		State and Community Highway Safety	17,452
MN Department of Public Safety	Grant No. 9200-3010	20.600		State and Community Highway Safety	<u>1</u>
Total Highway Safety Cluster					<u>92,554</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
JAG PROGRAM CLUSTER					
Department of Justice					
Passthrough:					
Legal Rights Center, Incorporated	Subaward No.1;Prime#A-JAG-2011-LRC-00014	16.803	ARRA	Recovery Act—Edward Byrne Memorial Justice Assistance Grant (JAG) Program/Grants to States and Territories	\$ 5,881
Total JAG Program Cluster					<u>5,881</u>
MEDICAID CLUSTER					
Department of Health and Human Services, Centers for Medicare and Medicaid Services					
Passthrough:					
MN Department of Health	Contract No. 57532	93.778		Medical Assistance Program	<u>6,111</u>
Total Medicaid Cluster					<u>6,111</u>
SNAP CLUSTER					
Department of Agriculture, Food and Nutrition Service					
Passthrough:					
MN Department of Human Services	37219/3000005633	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	(8,482)
MN Department of Human Services	Contract No. 52735/P.O. #3000013603	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	<u>5,872,490</u>
Total SNAP Cluster					<u>5,864,008</u>
SPECIAL EDUCATION CLUSTER (IDEA)					
Department of Education, Office of Special Education and Rehabilitative Services					
Passthrough:					
MN Department of Education	41990/2012-00349	84.027		Special Education_Grants to States	68,676
MN Department of Education	B48237/UFARS 824/Am. 2/2013-00268	84.173		Special Education_Preschool Grants	13,594
MN Department of Education	B48238/UFARS 824/Grant 2010-00197	84.173		Special Education_Preschool Grants	13,957
MN Department of Education	Grant No. B43118/14480	84.173		Special Education_Preschool Grants	<u>119,125</u>
Total Special Education Cluster (IDEA)					<u>215,352</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
TANF CLUSTER					
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
MN Department of Health	65766	93.558		Temporary Assistance for Needy Families	\$ 98,997
MN Department of Health	Grant No. B31307 AMD No. 2	93.558		Temporary Assistance for Needy Families	26,283
Total TANF Cluster					<u>125,280</u>
TRIO CLUSTER					
Department of Education, Office of Postsecondary Education					
Direct		84.042		TRIO_Student Support Services	309,704
Direct		84.047		TRIO_Upward Bound	973,807
Direct		84.217		TRIO_McNair Post-Baccalaureate Achievement	<u>275,195</u>
Total TRIO Cluster					<u>1,558,706</u>
Total Clustered Programs					<u>966,907,926</u>
NON CLUSTERED PROGRAMS					
PUBLIC SERVICE					
Agency for International Development					
Passthrough:					
American Council on Education	HED076-9751-LAC-12-07 AMD#2	98.012		USAID Development Partnerships for University Cooperation and Development	535,220
Chemonics International, Inc.	AID-611-C-12-00001-UMN	98.CXX		Contract-United States Agency for International Development	267,528
Development Alternatives, Inc.	GHN-A-00-09-00015-00 AMD 6	98.CXX		Contract-United States Agency for International Development	2,508,323
Volunteers for Economic Growth Alliance	SG-2014-4	98.CXX		Contract-United States Agency for International Development	35,833
Corporation for National and Community Service					
Direct		94.002		Retired and Senior Volunteer Program	60,625
Passthrough:					
MN Pollution Control Agency	Contract No. 52438/P.O. #3000005191	94.006		AmeriCorps	1,491
Department of Agriculture					
Direct		10.CXX		Contract-Department of Agriculture	30,151
Direct		10.UXX		Unid CFDA-Department of Agriculture	761,350
Passthrough:					
Iowa State University	PO# 12 94370 00	10.UXX		Unid CFDA-Department of Agriculture	24

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, Agricultural Marketing Service					
Passthrough:					
MN Department of Agriculture	54791/3000005959/Brian Erickson	10.170		Specialty Crop Block Grant Program—Farm Bill	\$ 42,985
MN Department of Agriculture	71784 3(4)10420	10.170		Specialty Crop Block Grant Program—Farm Bill	11,789
MN Department of Agriculture	71849	10.170		Specialty Crop Block Grant Program—Farm Bill	12,277
MN Department of Agriculture	Contract No. 36342	10.170		Specialty Crop Block Grant Program—Farm Bill	44,724
MN Department of Agriculture	Contract No. 54505/P.O. #3000005819	10.170		Specialty Crop Block Grant Program—Farm Bill	15,031
Sustainable Farming Association of MN	No Award Number	10.168		Farmers' Market and Local Food Promotion Program	705
Department of Agriculture, Agricultural Research Service					
Direct		10.001		Agricultural Research_Basic and Applied Research	8,463
Department of Agriculture, Animal and Plant Health Inspection Service					
Direct		10.025		Plant and Animal Disease, Pest Control, and Animal Care	1,146,151
Passthrough:					
Minnesota Board of Animal Health	Contract No. 37635	10.025		Plant and Animal Disease, Pest Control, and Animal Care	1,500
MN Department of Agriculture	69646/3(5)9908	10.025		Plant and Animal Disease, Pest Control, and Animal Care	9,878
Department of Agriculture, Economic Research Service					
Direct		10.250		Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations	47,259
Passthrough:					
Minnesota Board of Animal Health	63954	10.250		Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations	615,820
Department of Agriculture, Farm Service Agency					
Direct		10.435		State Mediation Grants	247,813
Department of Agriculture, Food and Nutrition Service					
Passthrough:					
MN Department of Education	E3701-3-7907	10.574		Team Nutrition Grants	5,000
Department of Agriculture, Food Safety and Inspection Service					
Direct		10.479		Food Safety Cooperative Agreements	486,167
Department of Agriculture, Foreign Agricultural Service					
Direct		10.777		Norman E. Borlaug International Agricultural Science and Technology Fellowship	57,529
Direct		10.960		Technical Agricultural Assistance	149,950
Direct		10.962		Cochran Fellowship Program-International Training-Foreign Participant	34,443

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, Forest Service					
Passthrough:					
MN Department of Natural Resources	55690	10.664		Cooperative Forestry Assistance	\$ 95,884
MN Department of Natural Resources	72897	10.664		Cooperative Forestry Assistance	56,827
MN Department of Natural Resources	Contract No. 33619	10.680		Forest Health Protection	32,890
St of MN	Contract No. 41452	10.678		Forest Stewardship Program	988
Department of Agriculture, National Institute of Food and Agriculture					
Direct		10.210		Higher Education Graduate Fellowships Grant Program	98,827
Direct		10.215		Sustainable Agriculture Research and Education	537,128
Direct		10.303		Integrated Programs	165,593
Direct		10.304		Homeland Security Agricultural	40,325
Direct		10.310		Agriculture and Food Research Initiative (AFRI)	32,152
Direct		10.315	ARRA	Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF)	2,747,178
Direct		10.319		Farm Business Management and Benchmarking Competitive Grants Program	264,730
Direct		10.500		Cooperative Extension Service	12,004,596
Passthrough:					
Cornell University	61384-9313	10.303		Integrated Programs	29,739
Kansas State University	S08038 Modification 5	10.500		Cooperative Extension Service	(150)
Kansas State University	S11189	10.500		Cooperative Extension Service	180,038
Kansas State University	S12042/2010-48661-21868 prime	10.500		Cooperative Extension Service	(8,329)
Kansas State University	S14202/2014-68006-21850 prime	10.310		Agriculture and Food Research Initiative (AFRI)	4,534
Michigan State University	61-4080N Amend. 3	10.200		Grants for Agricultural Research, Special Research Grants	499
Michigan State University	RC064356N & Amend. 1	10.200		Grants for Agricultural Research, Special Research Grants	570
The University of Arizona	166793/2011-48745-31186	10.500		Cooperative Extension Service	270,383
The University of Arizona	171467/2012-48710-20035	10.500		Cooperative Extension Service	211,581
The University of Arizona	PO 20308/2011-48745-31186	10.500		Cooperative Extension Service	755
Univ of Illinois at Urbana-Champaign	2012-00748-02 Amend 2	10.303		Integrated Programs	3,458
Univ of Illinois at Urbana-Champaign	2012-00748-11	10.303		Integrated Programs	9,437
Univ of Missouri	C00035385-9	10.319		Farm Business Management and Benchmarking Competitive Grants Program	(537)
University of Nebraska-Lincoln	25-6321-0212-006	10.310		Agriculture and Food Research Initiative (AFRI)	117,966
University of Nebraska-Lincoln	25-6324-0097-412	10.500		Cooperative Extension Service	521
University of Nebraska-Lincoln	25-6324-0097-416 Amd #1	10.500		Cooperative Extension Service	2,452
University of Nebraska-Lincoln	25-6324-0119-016	10.500		Cooperative Extension Service	43,591

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Nebraska-Lincoln	25-6324-0119-020	10.500		Cooperative Extension Service	\$ 45,615
University of Nebraska-Lincoln	25-6329-0059-006	10.500		Cooperative Extension Service	93,484
University of Wisconsin-Madison	105K151, am 7	10.303		Integrated Programs	45,077
University of Wisconsin-Madison	442K083	10.500		Cooperative Extension Service	50,890
University of Wisconsin-Madison	510K042	10.500		Cooperative Extension Service	6,650
Department of Agriculture, Natural Resources Conservation Service					
Passthrough:					
MN Department of Agriculture	Contract No. 55496/P.O. #3000006186	10.902		Soil and Water Conservation	391
University of Wisconsin Extension	363K893/68-3A75-11-268 prime AM5	10.902		Soil and Water Conservation	69,110
Department of Agriculture, Risk Management Agency					
Passthrough:					
MN Fruit and Vegetable Growers	No Award Number	10.458		Crop Insurance Education in Targeted States	(39)
Department of Agriculture, The Office of the Chief Economist					
Direct		10.290		Agricultural Market and Economic Research	8,260
Department of Commerce					
Direct		11.CXX		Contract-Department of Commerce	148,664
Passthrough:					
Sugarloaf: The N Shore Stewardship Assoc	No Award Number	11.CXX		Contract-Department of Commerce	825
Department of Commerce, Economic Development Administration					
Direct		11.303		Economic Development_Technical Assistance	108,873
Passthrough:					
Harvard University	Contract 05-137270	11.303		Economic Development_Technical Assistance	30,850
Purdue University	4112-58826	11.303		Economic Development_Technical Assistance	4,996
Department of Commerce, National Oceanic and Atmospheric Administration (NOAA)					
Direct		11.417		Sea Grant Support	893,958
Passthrough:					
Minnesota's Lake Superior Coastal Prog.	12-306-11 51057 PO 3-25441	11.419		Coastal Zone Management Administration Awards	9,393
Minnesota's Lake Superior Coastal Prog.	306-08-10(B17957)	11.419		Coastal Zone Management Administration Awards	(143)
Minnesota's Lake Superior Coastal Prog.	CoastalAgreem#13-306-18/3000046334	11.419		Coastal Zone Management Administration Awards	8,451
MN Department of Natural Resources	CoastalAgreem#13-306-16/3000046322	11.419		Coastal Zone Management Administration Awards	2,483
MN Department of Natural Resources	CoastalAgreem. 13-306-14/3000046312	11.419		Coastal Zone Management Administration Awards	14,481
Oregon State University	NA221A-A, Amendment 2	11.431		Climate and Atmospheric Research	2,661
The Ohio State University	60032789	11.417		Sea Grant Support	(599)
The Ohio State University	Sub 6003744, PO# RF01307854, Amend. 1	11.417		Sea Grant Support	(1,321)
Univ of Illinois at Urbana-Champaign	2010-03074-03 Amend. 1	11.417		Sea Grant Support	8,384
Univ of Illinois at Urbana-Champaign	2010-03074-08	11.417		Sea Grant Support	36,084

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Univ of Illinois at Urbana-Champaign	2013-03729-01	11.432		National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	\$ 18,475
University of Michigan	3002702332	11.432		National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	3,422
University of Wisconsin-Madison	343K523	11.417		Sea Grant Support	6,511
Department of Defense					
Direct		12.CXX		Contract-Department of Defense	122,460
Department of Defense, Department of the Navy, Office of the Chief of Naval Research					
Direct		12.300		Basic and Applied Scientific Research	247,026
Department of Defense, National Security Agency					
Direct		12.900		Language Grant Program	22,951
Department of Defense, Office of the Secretary of Defense					
Passthrough:					
Institute of International Education	NSEP-U631073-UMN-CARLA	12.550		The Language Flagship Grants to Institutions of Higher Education	2,177
Institute of International Education	NSEP-U631083-UMN-CARLA	12.550		The Language Flagship Grants to Institutions of Higher Education	53,522
Department of Education					
Passthrough:					
American Institutes for Research	00651-03014.001/PO12SRT10024 Mod 4	84.UXX		Unid CFDA-Department of Education	66,623
MN Department of Education	58144	84.412		Race to the Top Early Learning Challenge	134,839
Wilderness Inquiry, Inc.	No Award Number	84.UXX		Unid CFDA-Department of Education	60
Department of Education, Institute of Education Sciences					
Direct		84.305		Education Research, Development and Dissemination	89,512
Direct		84.324		Research in Special Education	53,122
Passthrough:					
Northwestern University	SP00020027-PROJ0005355	84.305		Education Research, Development and Dissemination	13,198
SRI INTERNATIONAL	51-001253	84.324		Research in Special Education	57,302
Univ of Illinois at Urbana-Champaign	2011-06649-01-00	84.324		Research in Special Education	47,908
Department of Education, Office of Educational Research and Improvement					
Passthrough:					
MN Department of Education	B49350	84.287		Twenty-First Century Community Learning Centers	36,239
YMCA of the Greater Twin Cities	No Award Number	84.287		Twenty-First Century Community Learning Centers	4,159

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Education, Office of Elementary and Secondary Education					
Passthrough:					
Council of Chief State School Officers	S368A120002/#9488	84.368		Grants for Enhanced Assessment Instruments	\$ 24,728
MN Office of Higher Education	57389/1720	84.367		Improving Teacher Quality State Grants	9,835
MN Office of Higher Education	58012	84.367		Improving Teacher Quality State Grants	107,394
MN Office of Higher Education	58031	84.367		Improving Teacher Quality State Grants	32,053
MN Office of Higher Education	58035	84.367		Improving Teacher Quality State Grants	44,846
MN Office of Higher Education	74084	84.367		Improving Teacher Quality State Grants	7,857
MN Office of Higher Education	74087	84.367		Improving Teacher Quality State Grants	19,894
MN Office of Higher Education	Contract No. 40928	84.367		Improving Teacher Quality State Grants	1,485
MN Office of Higher Education	Contract No. 40942/30526	84.367		Improving Teacher Quality State Grants	575
MN Office of Higher Education	SWIFT No. 58034	84.367		Improving Teacher Quality State Grants	44,284
MN Office of Higher Education	Swift No. 74091 Amend. #1	84.367		Improving Teacher Quality State Grants	4,473
St of MN	Contract No. B54419 Amend. No. 1	84.368		Grants for Enhanced Assessment Instruments	335,267
Department of Education, Office of Innovation and Improvement					
Passthrough:					
Cloquet Public Schools ISD 94	No Award Number	84.351		Arts in Education	49,800
Saint Paul Public Schools ISD 625	PO No. 0000302733	84.363		School Leadership	105,249
Department of Education, Office of Postsecondary Education					
Direct		84.015		National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	651,706
Direct		84.017		International Research and Studies	11,757
Direct		84.116		Fund for the Improvement of Postsecondary Education	13,988
Direct		84.200		Graduate Assistance in Areas of National Need	111,646
Direct		84.220		Centers for International Business Education	152,982
Passthrough:					
Fond du Lac Tribal & Community College	PO No. 15948, 16572, 18295, 20853, 24187	84.031		Higher Education_Institutional Aid	17,428
Minnesota State Colleges & Universities	P.O: 34309, 38450, 38449, 40102, 41796	84.031		Higher Education_Institutional Aid	24,357
MN Office of Higher Education	60399	84.334		Gaining Early Awareness and Readiness for Undergraduate Programs	37,751
Department of Education, Office of Special Education and Rehabilitative Services					
Direct		84.133		National Institute on Disability and Rehabilitation Research	190,284
Direct		84.235		Rehabilitation Services Demonstration and Training Programs	237,190
Direct		84.325		Special Education—Personnel Development to Improve Services and Results for Children with Disabilities	61,966

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		84.326		Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	\$ 2,965,790
Direct		84.327		Special Education_Educational Technology Media, and Materials for Individuals with Disabilities	82,829
Direct		84.373		Special Education_Technical Assistance on State Data Collection	11,909,209
Passthrough:					
Florida Gulf Coast University	ORSP-12041-26556-1	84.323		Special Education—State Personnel Development	34,871
MN Department of Education	B52449 (2011-00450) Amd. 4	84.323		Special Education—State Personnel Development	15,110
MN Department of Education	Contract No. B52286/13185/2697	84.181		Special Education-Grants for Infants and Families	64,555
Salus University	83401	84.325		Special Education—Personnel Development to Improve Services and Results for Children with Disabilities	54,546
Department of Education, Office of Vocational and Adult Education					
Passthrough:					
MN Department of Education	52368	84.048		Career and Technical Education -- Basic Grants to States	26,543
MN Department of Education	Contract No. B50281 (Grant 2011-00203)	84.048		Career and Technical Education -- Basic Grants to States	8
Department of Energy					
Direct		81.049		Office of Science Financial Assistance Program	96,175
Direct		81.122	ARRA	Electricity Delivery and Energy Reliability, Research, Development and Analysis	713,250
Passthrough:					
Amer Iron and Steel Inst	No Award Number	81.CXX		Contract-Department of Energy	207,227
MN Department of Commerce	40894 PO #1080	81.128	ARRA	Energy Efficiency and Conservation Block Grant Program (EECBG)	(293)
MN Department of Commerce	57842/PO 2791	81.119		State Energy Program Special Projects	101,442
MN Department of Commerce	77959	81.041		State Energy Program	19,787
St of MN	73881	81.041		State Energy Program	5,000
Department of Energy, National Nuclear Security Administration					
Direct		81.C03		Contract-Department of Energy-Sandia National Laboratory	1
Department of Energy, Office of Science					
Passthrough:					
Battelle	Subcontract 4000108966	81.C10	ARRA	Contract-Department of Energy-Oak Ridge National Laboratory	27,568
University of California, Berkeley	6896814	81.C08		Contract-Department of Energy-Lawrence Berkley National Laboratory	6,309

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services					
Direct		93.CXX		Contract-Department of Health and Human Services	\$ 148,567
Direct		93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	150,013
Passthrough:					
Actuarial Research Corporation	ARC2013-1	93.CXX		Contract-Department of Health and Human Services	5,000
Assoc. of Maternal & Child Health Prog.	No Award Number	93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	912
Cellerant Therapeutics, Inc.	Protocol No. MT 2008-38	93.CXX		Contract-Department of Health and Human Services	63,181
Fairview Health Services	H30MC00032/H30MC24052/FV2009-201	93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	9,785
Fond du Lac Band of Lk Superior Chippewa	No Award Number	93.UXX		Unid CFDA-Department of Health and Human Services	55,964
Hasset Willis & Company	HSHQDC-13-C-00016-SUB 004 MOD#1	93.CXX		Contract-Department of Health and Human Services	1,234,834
RAND Corporation	9920120003	93.CXX		Contract-Department of Health and Human Services	104,193
The Lewin Group	TLG-08-0046-4565.03	93.CXX		Contract-Department of Health and Human Services	(20,618)
The Lewin Group	TLG-11-040-5535	93.CXX		Contract-Department of Health and Human Services	21,157
The Lewin Group	TLG-11-073-5381.02 Mod. No. 2	93.CXX		Contract-Department of Health and Human Services	122,417
Department of Health and Human Services, Administration for Children and Families					
Direct		93.592		Family Violence Prevention and Services/Discretionary	673,337
Passthrough:					
Hennepin County	UM1004, PO #127859	93.C01		Contract-Department of Health and Human Services-Administration for Children and Families	50,739
MN Department of Human Services	GRK% 30794 Am 2	93.603		Adoption Incentive Payments	8,139
Ramsey County	90CA1753/01	93.670		Child Abuse and Neglect Discretionary Activities	21,754
University of Massachusetts	S20090000009663 Amd. 6	93.C01		Contract-Department of Health and Human Services-Administration for Children and Families	15,783
Department of Health and Human Services, Administration for Community Living					
Direct		93.631		Developmental Disabilities Projects of National Significance	620,449
Direct		93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	445,934
Passthrough:					
Louisiana State University	No Award Number	93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	54
Department of Health and Human Services, Administration on Aging					
Passthrough:					
Nat'l Assn of State Dir of Dev Dis Svcs	No Award Number	93.C02		Contract-Department of Health and Human Services-Administration on Aging	1,061

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services, Agency for Healthcare Research and Quality					
Direct		93.226		Research on Healthcare Costs, Quality and Outcomes	\$ (416)
Direct		93.C03		Contract-Department of Health and Human Services-Agency for Health Care Policy and Research	204
Passthrough:					
Health Research & Educational Trust	HHSA2902010000251/80797	93.C03		Contract-Department of Health and Human Services-Agency for Health Care Policy and Research	39,878
Department of Health and Human Services, Centers for Disease Control and Prevention					
Direct		93.067		Global AIDS	58,406
Direct		93.069		Public Health Emergency Preparedness	284,121
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	195,083
Direct		93.C05		Contract-Department of Health and Human Services-Centers for Disease Control	892,731
Direct		93.C06		Contract-Department of Health and Human Services-Centers for Disease Control-NIOSH	5,291
Passthrough:					
Assn of St & Territorial Hlth Officials	16007, Contract #116, AMD No. 2	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	1
Assoc. of Univ. Centers on Disabilities	No Award Number	93.292		National Public Health Improvement Initiative	12,240
Council of St & Territorial Epidemiologists	CSTE 5U38HM000414	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	1
Fairview Health Services	5U27DD000862-02	93.184		Disabilities Prevention	11,113
MN Department of Health	#56159/PO#3000011524	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	1,887
MN Department of Health	#70626/PO#3000018499	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	3,681
MN Department of Health	Contract # 56464/P.O.# 3000011678	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	50,829
MN Department of Health	Contract 46758/PO #3-7296/3-17592 MDH	93.531		PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants—financed solely by 2012 Prevention and Public Health Funds	465,918
MN Department of Health	Contract No. B54189 amd 1	93.268		Immunization Cooperative Agreements	690
MN Department of Health	PO B37703/B48256	93.940		HIV Prevention Activities_Health Department Based	(2,000)
State of Oregon	Grant #145649	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	3,431
State of Oregon	Grant Number 141861	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	15,237

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services, Centers for Medicare and Medicaid Services					
Direct		93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	\$ 2,749,162
Passthrough:					
Allina Health System	No Award Number	93.610		Health Care Innovation Awards (HCIA)	10,491
Minnesota Hospital Association	HEN Contract	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	16,237
MN Department of Human Services	55729	93.536		The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project	92,466
MN Department of Human Services	Contract No. 50470/P.O. #3000012297	93.791		Money Follows the Person Rebalancing Demonstration	707,696
National Opinion Research Center	Subcontract No. 7409.SHA.01	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	374,519
Department of Health and Human Services, Food and Drug Administration					
Direct		93.103		Food and Drug Administration_Research	429,521
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.107		Area Health Education Centers Point of Service Maintenance and Enhancement Awards	210,798
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	314,294
Direct		93.155		Rural Health Research Centers	759,495
Direct		93.211		Telehealth Programs	262,547
Direct		93.241		State Rural Hospital Flexibility Program	1,075,522
Direct		93.516		Affordable Care Act (ACA) Public Health Training Centers Program	301,829
Direct		93.526		Affordable Care Act (ACA) Grants for Capital Development in Health Centers	1,253,471
Direct		93.622		Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice	754,096
Direct		93.969		PPHF-2012 Geriatric Education Centers	419,026
Passthrough:					
Dartmouth College	840	93.155		Rural Health Research Centers	1
Minneapolis Medical Research Foundation	HSSH250201000018C	93.C09		Contract-Department of Health and Human Services-Health Resources and Services Administration	190,088
MN Department of Health	2012-2015 Oral Health Workforce—Karp	93.236		Grants to States to Support Oral Health Workforce Activities	19,352
MN Department of Health	No Award Number	93.994		Maternal and Child Health Services Block Grant to the States	15,000

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Department of Health	PO 3000013795	93.236		Grants to States to Support Oral Health Workforce Activities	\$ 30,000
MN Department of Human Services	Contract No. 47964/P.O. 3000010630	93.917		HIV Care Formula Grants	181,628
Northeast MN Area Health Education Ctr.	Subaward UMN005	93.912		Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	2,055
Northeast MN Area Health Education Ctr.	UMN006/G98RH19709-01-01	93.912		Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	6,005
Department of Health and Human Services, National Institutes of Health					
Direct		93.242		Mental Health Research Grants	2,087
Direct		93.397		Cancer Centers Support Grants	21,198
Direct		93.838		Lung Diseases Research	39,982
Direct		93.847		Diabetes, Digestive, and Kidney Diseases Extramural Research	64,213
Direct		93.853		Extramural Research Programs in the Neurosciences and Neurological Disorders	49,600
Direct		93.855		Allergy, Immunology and Transplantation Research	15,500
Direct		93.865		Child Health and Human Development Extramural Research	17,000
Direct		93.866		Aging Research	54,712
Direct		93.C11		Contract-Department of Health and Human Services-National Institutes of Health	165,941
Passthrough:					
Brigham and Women	CALGB	93.U01		Unid CFDA-Department of Health and Human Services-NIH	44,397
Northwestern University	SP0019995-PROJ0005454 Am. 2	93.865		Child Health and Human Development Extramural Research	12,398
University of California, Los Angeles	1460GPA300/4-441407-RE-29387	93.838		Lung Diseases Research	12,642
University of Illinois at Chicago	2010-06621/HHSN-276-2011-00005C prime	93.879		Medical Library Assistance	4,919
Department of Health and Human Services, Office of the Secretary					
Passthrough:					
MN Department of Health	Grant No. 37107	93.500		Pregnancy Assistance Fund Program	17,893
Nat'l Assoc. of Cty. & City Health Off.	MRC-14-0004	93.008		Medical Reserve Corps Small Grant Program	3,439
Department of Health and Human Services, Substance Abuse and Mental Health Services Administration					
Direct		93.243		Substance Abuse and Mental Health Services_Projects of Regional and National Significance	542,948
Department of Homeland Security					
Direct		97.CXX		Contract-Department of Homeland Security	356,474
Passthrough:					
Battelle	US001-0000403330	97.CXX		Contract-Department of Homeland Security	15,600
MN Dept. of Homeland Sec. & Emer. Mgmt.	59726	97.039		Hazard Mitigation Grant	116,073
The Tauri Group, LLC	2011.013 Mod #10	97.CXX		Contract-Department of Homeland Security	93,553

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Housing and Urban Development, Office of Sustainable Housing and Community					
Passthrough:					
MN Metropolitan Council	SG011-038	14.703		Sustainable Communities Regional Planning Grant Program	\$ 25,302
Department of Justice					
Direct		16.CXX		Contract-Department of Justice	12,428
Passthrough:					
American Probation & Parole Association	13-LA-085-1974	16.CXX		Contract-Department of Justice	736
Department of Justice, Office of Juvenile Justice and Delinquency Prevention					
Passthrough:					
National 4-H Council	4-H/Prime OJJDP 2011-TY-FX-0031	16.726		Juvenile Mentoring Program	8,011
National 4-H Council	No Award Number	16.726		Juvenile Mentoring Program	56,979
National 4-H Council	Sub under 2013-JU-FX-0022	16.726		Juvenile Mentoring Program	26,263
Department of Justice, Violence Against Women Office					
Direct		16.525		Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	(41)
Direct		16.526		OVW Technical Assistance Initiative	561,786
Department of Labor, Employment Training Administration					
Passthrough:					
Minnesota State Colleges & Universities	PO # 95477	17.282		Trade Adjustment Assistance Community College and Career Training (TAACCT) Grants	45,623
Department of Labor, Office of Disability Employment Policy					
Passthrough:					
Institute for Educational Leadership	DOL OD-16519-07-75-4-11	17.720		Disability Employment Policy Development	(2,206)
Institute for Educational Leadership	OD-23804-12-75-4-11	17.720		Disability Employment Policy Development	118,482
Department of State					
Passthrough:					
Innovations in Civic Participation (ICP)	No Award Number	19.UXX		Undi CFDA-Department of State	8,927
Institute of International Education	No Award Number	19.CXX		Contract-Department of State	7,968
International Research & Exchange Board	FY14-YALI-UMN-01	19.UXX		Undi CFDA-Department of State	16,910
Department of State, Bureau of Educational and Cultural Affairs					
Direct		19.415		Professional and Cultural Exchange Programs—Citizen Exchanges	111,513
Passthrough:					
Institute of International Education	S-ECAGD-13-CA-008	19.010		Academic Exchange Programs—Hubert H. Humphrey Fellowship Program	36,038
Institute of International Education	S-ECAGD-13-CA-008(DT)	19.010		Academic Exchange Programs—Hubert H. Humphrey Fellowship Program	279,065
Department of State, Under Secretary for Public Diplomacy and Public Affairs					
Direct		19.040		Public Diplomacy Programs	41,238

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of the Interior					
Direct		15.CXX		Contract-Department of the Interior	\$ 67,135
Department of the Interior, Fish and Wildlife Service					
Direct		15.608		Fish and Wildlife Management Assistance	322,274
Passthrough:					
The Ohio State University	60022925	15.650		Research Grants (Generic)	1,976
Department of the Interior, National Park Service					
Direct		15.945		Cooperative Research and Training Programs Resources of the National Park System	17,669
Department of the Treasury					
Direct		21.CXX		Contract-Department of the Treasury	36,103
Department of the Treasury, Internal Revenue Service (IRS)					
Direct		21.008		Low Income Taxpayer Clinics	96,227
Department of Transportation					
Direct		20.CXX		Contract-Department of Transportation	(24)
Passthrough:					
MN Department of Transportation	89261 W.O. 225	20.CXX		Contract-Department of Transportation	(16,304)
MN Department of Transportation	99008 W.O. 123	20.CXX		Contract-Department of Transportation	124,380
MN Department of Transportation	99008 W.O. 61	20.CXX		Contract-Department of Transportation	29,794
MN Department of Transportation	99008 W.O. 75	20.CXX		Contract-Department of Transportation	108,623
MN Department of Transportation	99008 Work Order No. 34 Amend 2	20.UXX		Undi CFDA-Department of Transportation	11,651
Transportation Research Board	HR 20-24(084)	20.CXX		Contract-Department of Transportation	9,644
University of Wisconsin-Superior	144 051055 4	20.UXX		Undi CFDA-Department of Transportation	28,985
University of Wisconsin-Superior	144 051057 4 Mod. 2	20.CXX		Contract-Department of Transportation	120,000
Department of Transportation, Federal Highway Administration (FHWA)					
Direct		20.200		Highway Research and Development Program	(886)
Department of Veterans Affairs					
Direct		64.CXX		Contract-Department of Veterans Affairs	1,593
Direct		64.UXX		Undi CFDA-Department of Veterans Affairs	622,071
Environmental Protection Agency, Office of Air and Radiation					
Direct		66.034		Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	11,265
Environmental Protection Agency, Office of Water					
Direct		66.469		Great Lakes Program	327,125
Passthrough:					
MN Pollution Control Agency	66360/PO3000008336	66.460		Nonpoint Source Implementation Grants	128,679

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Pollution Control Agency	Contract No. B56501 (Brittany Story)	66.469		Great Lakes Program	\$ 151,914
MN Pollution Control Agency	Grant No. 38905/C9-97593511-0	66.460		Nonpoint Source Implementation Grants	56,771
Univ of Illinois at Urbana-Champaign	2012-06075-03 A0131	66.469		Great Lakes Program	9,648
University of Wisconsin-Madison	423K220 under UW MSN155471	66.469		Great Lakes Program	15,938
Federal Trade Commission					
Direct		36.CXX		Contract-Federal Trade Commission	13,222
Institute of Museum and Library Services					
Direct		45.301		Museums for America	42,360
Direct		45.312		National Leadership Grants	18,167
Passthrough:					
MN Department of Education	64762/PO 6188	45.310		Grants to States	80,000
MN Department of Education	64767/PO 6189	45.310		Grants to States	1,200,000
MN Department of Education	Contract No. 48780/P.O. #E3701-33354	45.310		Grants to States	35,000
Purdue University	4112-46076/LG-07-11-0232-11	45.312		National Leadership Grants	7,360
Medicaid and CHIP Payment and Access Commission					
Direct		05.CXX		Contract-Medicaid and CHIP Payment and Access Commission (MACPAC)	130,162
National Aeronautics and Space Administration					
Direct		43.001		Science	40,078
Direct		43.009		Cross Agency Support	72,878
Direct		43.UXX		Unid CFDA-National Aeronautics and Space Administration	654,520
Passthrough:					
Sigma Space Corporation	S09400-037	43.UXX		Unid CFDA-National Aeronautics and Space Administration	13,070
National Endowment for the Arts					
Direct		45.024		Promotion of the Arts_Grants to Organizations and Individuals	36,428
Passthrough:					
Arts Midwest	FY14-204166	45.025		Promotion of the Arts_Partnership Agreements	2,250
Arts Midwest	FY14-208697	45.025		Promotion of the Arts_Partnership Agreements	3,200
MN State Arts Board	7057	45.025		Promotion of the Arts_Partnership Agreements	41,110
National Endowment for the Humanities					
Direct		45.149		Promotion of the Humanities_Division of Preservation and Access	85,662
Direct		45.164		Promotion of the Humanities_Public Programs	2,354
Passthrough:					
American Library Assn	2178	45.U03		Unid CFDA-National Endowment for the Humanities	1,759
Digital Public Library of America, Inc	NEH_001_2013	45.169		Promotion of the Humanities_Office of Digital Humanities	99,264
Open Knowledge Commons, Inc.	Hubs_Min_NEH_001_2012	45.169		Promotion of the Humanities_Office of Digital Humanities	2,278

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
National Science Foundation					
Direct		47.041		Engineering Grants	\$ 157,142
Direct		47.049		Mathematical and Physical Sciences	114,182
Direct		47.050		Geosciences	28,232
Direct		47.070		Computer and Information Science and Engineering	24,536
Direct		47.074		Biological Sciences	16,315
Direct		47.075		Social, Behavioral, and Economic Sciences	110,901
Direct		47.076		Education and Human Resources	4,047,473
Direct		47.082	ARRA	Trans-NSF Recovery Act Research Support	1,804,481
Passthrough:					
Dakota County Technical College	UM_DCTC	47.076		Education and Human Resources	141,389
Georgia State University	SP0010602-11 Amend. No. 2	47.076		Education and Human Resources	18,643
Maryland Science Center	Amend 3	47.076		Education and Human Resources	49,486
Univ of Texas	26-1003-38-62 Am 2	47.041		Engineering Grants	2,520
University of Michigan	F014764 Amendment 4 & 5	47.050		Geosciences	1,060
Peace Corps					
Direct		45.C05		Contract-Peace Corps	20,682
Direct		45.U05		Unid CFDA-Peace Corps	967
Small Business Administration					
Direct		59.007		7(j) Technical Assistance	30,116
Passthrough:					
MN Dept. of Employment & Economic Dev.	SBDC-11-0002-S-FY11; Am. 5 to SC 39338	59.037		Small Business Development Centers	209,992
MN Dept. of Employment & Economic Dev.	SBDC-14-0009-S-FY14 #SC73003	59.037		Small Business Development Centers	145,774
MN Dept. of Employment & Economic Dev.	SBDC-14-0009-S-FY14 SC#73018	59.037		Small Business Development Centers	77,148
MN Dept. of Employment & Economic Dev.	SBJA-11-0002-S-FY11 Amendment #2	59.037		Small Business Development Centers	92,257
MN Dept. of Employment & Economic Dev.	SC 72662, SBDC-13-0009-S-FY14	59.037		Small Business Development Centers	9,940
Vietnam Education Foundation					
Direct		85.U09		Unid CFDA-Vietnam Education Foundation	<u>25,443</u>
Total Public Service					<u>76,221,284</u>
INSTRUCTION					
Department of Agriculture, Food and Nutrition Service					
Direct		10.557		Special Supplemental Nutrition Program for Women, Infants, and Children	270

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, National Institute of Food and Agriculture					
Direct		10.202		Cooperative Forestry Research	\$ 99,799
Direct		10.203		Payments to Agricultural Experiment Stations Under the Hatch Act	561,285
Direct		10.210		Higher Education Graduate Fellowships Grant Program	61,780
Direct		10.217		Higher Education—Institution Challenge Grants Program	322,131
Direct		10.220		Higher Education—Multicultural Scholars Grant Program	37,681
Direct		10.305		International Science and Education Grants	7,331
Direct		10.500		Cooperative Extension Service	7,575
Passthrough:					
Iowa State University	416-40-93P/2012-38500-19550	10.200		Grants for Agricultural Research, Special Research Grants	1,174
Iowa State University	416-41-21D	10.310		Agriculture and Food Research Initiative (AFRI)	92,381
Department of Defense, National Security Agency					
Direct		12.900		Language Grant Program	81,304
Department of Defense, U.S. Army Medical Command					
Direct		12.420		Military Medical Research and Development	(1)
Department of Education, Office of Elementary and Secondary Education					
Passthrough:					
MN Office of Higher Education	58030 Amendment 1	84.367		Improving Teacher Quality State Grants	40,754
MN Office of Higher Education	58032	84.367		Improving Teacher Quality State Grants	48,297
MN Office of Higher Education	74086	84.367		Improving Teacher Quality State Grants	11,222
MN Office of Higher Education	74089	84.367		Improving Teacher Quality State Grants	16,313
MN Office of Higher Education	Contract No. 40941	84.367		Improving Teacher Quality State Grants	1,360
MN Office of Higher Education	Contract No. 40943	84.367		Improving Teacher Quality State Grants	5,741
National Writing Project	Agreement # 92-MN01-SEED2012 am .1	84.367		Improving Teacher Quality State Grants	2,030
Northeast Service Cooperative	E370983	84.366		Mathematics and Science Partnerships	3,922
Department of Education, Office of Postsecondary Education					
Direct		84.116		Fund for the Improvement of Postsecondary Education	(1,294)
Direct		84.229		Language Resource Centers	186,496
Department of Education, Office of Special Education and Rehabilitative Services					
Direct		84.325		Special Education—Personnel Development to Improve Services and Results for Children with Disabilities	52,402
Passthrough:					
MN Department of Education	SWIFT# 43445, Grant 2012-00253, Am 4	84.323		Special Education—State Personnel Development	97,270

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Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
MN Department of Human Services	AGPS #439481	93.658		Foster Care_Title IV-E	\$ 73
MN Department of Human Services	GRK 29646 Req# H55 D14	93.658		Foster Care_Title IV-E	553,259
MN Department of Human Services	GRK% 29646	93.658		Foster Care_Title IV-E	1,582,735
Department of Health and Human Services, Agency for Healthcare Research and Quality					
Direct		93.225		National Research Service Awards_Health Services Research Training	560,739
Department of Health and Human Services, Centers for Disease Control and Prevention					
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	242,188
Direct		93.262		Occupational Safety and Health Program	1,529,439
Direct		93.542		Health Promotion and Disease Prevention Research Centers: PPHF 2012—Affordable Care Act Projects	416,913
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	1,623,593
Direct		93.124		Nurse Anesthetist Traineeships	13,086
Direct		93.186		National Research Service Award in Primary Care Medicine	338,080
Direct		93.247		Advanced Nursing Education Grant Program	526,458
Direct		93.732		Mental and Behavioral Health Education and Training Grants	161,109
Direct		93.822		Health Careers Opportunity Program	882,881
Direct		93.884		Grants for Primary Care Training and Enhancement	641,386
Department of Health and Human Services, Indian Health Service					
Direct		93.970		Health Professions Recruitment Program for Indians	201,658
Department of Health and Human Services, National Institutes of Health					
Direct		93.121		Oral Diseases and Disorders Research	1,055,773
Direct		93.279		Drug Abuse and Addiction Research Programs	1,103,006
Direct		93.282		Mental Health National Research Service Awards for Research Training	549,358
Direct		93.286		Discovery and Applied Research for Technological Innovations to Improve Human Health	(7,110)
Direct		93.351		Research Infrastructure Programs	146,516
Direct		93.389		National Center for Research Resources	505,532
Direct		93.397		Cancer Centers Support Grants	27,324
Direct		93.398		Cancer Research Manpower	757,066
Direct		93.837		Cardiovascular Diseases Research	912,570
Direct		93.838		Lung Diseases Research	484,945

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		93.839		Blood Diseases and Resources Research	\$ 331,266
Direct		93.846		Arthritis, Musculoskeletal and Skin Diseases Research	625,107
Direct		93.847		Diabetes, Digestive, and Kidney Diseases Extramural Research	919,008
Direct		93.853		Extramural Research Programs in the Neurosciences and Neurological Disorders	333,915
Direct		93.855		Allergy, Immunology and Transplantation Research	677,105
Direct		93.859		Biomedical Research and Research Training	1,511,601
Direct		93.865		Child Health and Human Development Extramural Research	787,396
Direct		93.866		Aging Research	193,412
Direct		93.867		Vision Research	16,872
Direct		93.989		International Research and Research Training	(3,250)
Passthrough:					
Fred Hutchinson Cancer Research Center	0000773490/CA163438/0000782576	93.855		Allergy, Immunology and Transplantation Research	11,653
University of Cincinnati	007038 Am 02	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	12,367
University of Cincinnati	007038 Am 03/PO# L14-4500074630	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	202,984
University of Washington	Subaward No. 751145/Subaward 742859	93.989		International Research and Research Training	265,380
Department of Health and Human Services, Office of the Secretary					
Direct		93.721	ARRA	ARRA—Health Information Technology Professionals in Health Care	660,734
Department of Health and Human Services, Substance Abuse and Mental Health Services Administration					
Passthrough:					
MN Department of Human Services	GRK% 29816	93.958		Block Grants for Community Mental Health Services	131,021
Department of Homeland Security					
Passthrough:					
Kansas State University	S13007 Mod #1	97.104		Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	31,689
Department of State					
Passthrough:					
Institute of International Education	No Award Number	19.UXX		Undi CFDA-Department of State	1,934
Department of State, Bureau of Educational and Cultural Affairs					
Passthrough:					
Institute of International Education	No Award Number	19.010		Academic Exchange Programs—Hubert H. Humphrey Fellowship Program	234,572
Department of Veterans Affairs					
Direct		64.UXX		Unid CFDA-Department of Veterans Affairs	154,466

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

Cluster, Agency, Subagency, Pass-Through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Environmental Protection Agency, Office of Research and Development (ORD)					
Direct		66.511		Office of Research and Development Consolidated Research/Training/Fellowships	\$ 371,350
Direct		66.514		Science To Achieve Results (STAR) Fellowship Program	47,527
National Aeronautics and Space Administration					
Direct		43.001		Science	29,568
National Science Foundation					
Direct		47.076		Education and Human Resources	262,253
Direct		47.UXX		Unid CFDA-National Science Foundation	<u>14,437</u>
Total Instruction					<u>24,370,167</u>
Total Non Clustered Programs					<u>100,591,451</u>
Total Federal Expenditures					<u>\$1,067,499,377</u>

(Concluded)

UNIVERSITY OF MINNESOTA

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of the University of Minnesota (the "University") and is prepared on the cash basis of accounting. The information presented in the Schedule is presented in accordance with the requirements of the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in the Schedule may differ from amounts presented in, or used in the preparation of the University's consolidated financial statements.

The University passes through certain funds to subgrantee organizations. Expenditures incurred by the subgrantees and reimbursed by the University are presented in the Schedule but not in the consolidated financial statements of the University. The University is also the subrecipient of federal funds, which have been subject to testing and are reported expenditures included as pass-throughs in the Schedule.

2. FACILITIES AND ADMINISTRATIVE RATES

The University utilized facilities and administrative rates that have been negotiated with and approved by its cognizant agency, the U.S. Department of Health and Human Services, and are effective for the period of July 1, 2011 through June 30, 2015.

3. CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBERS

Some of the programs, grants and/or other awards included in the Schedule are contracts, which do not report CFDA numbers. The expenditure amounts related to these programs, grants, and/or other awards have been reported, generally, at an agency level.

4. FEDERAL PERKINS LOAN PROGRAM

Amounts reported in the Schedule for the Federal Perkins Loan Program represent administrative and collection costs. Outstanding loans at June 30, 2014, under the Federal Perkins Loan Program were \$248,032,746. New Federal Perkins Loans of \$5,553,835 were advanced to students during the year ended June 30, 2014.

5. NEGATIVE EXPENDITURES

Within the Schedule, there are negative amounts which represent a true-up of the grant awards received versus the expenditures incurred. The negative amounts also remove the need for any reconciliation necessary for the federal agencies.

6. RECONCILIATION OF FEDERAL AWARDS TO THE CONSOLIDATED FINANCIAL STATEMENTS

The following schedule is a reconciliation of total federal expenditures as shown on the Schedule to the operating expenses reported on the consolidated statement of revenues, expenses and changes in net

position for the year ended June 30, 2014, which is included as part of the University's consolidated financial statements.

Schedule of expenditures of federal awards	\$ 1,067,499,377
Federal loan program amounts provided by Student Finance Office not directly included on the consolidated financial statements (Federal Direct Loan Advances-CFDA 84.268, Federal Work Study-CFDA 84.033, Pell Grant Program-CFDA 84.063, SEOG-CFDA 84.007, TEACH-CFDA 84.379 & Health Professions Student Loans-CFDA 93.342)	(455,716,398)
Expenditures of federal program dollars included in consolidated operating expenses	52,460,058
Less expenditures not included on the consolidated statement of revenues, expenses, and changes in net position (equipment, buildings, and structures)	(119,481,939)
Difference of prior-year overdrafts added back and current-year overdrafts subtracted out	(1,613,983)
Adjustments for expenditures of federal program dollars included in consolidated operating expenses and/or expenditures of non-federal program dollars included in consolidated operating expenses	170,773
Less cash to accrual basis adjusting journal entries (year-end close)	(7,991,488)
Uncategorized difference	(3,811)
Less federal pass-through grants not on the consolidated statement of revenues, expenses, and change in net position	<u>(98,283,878)</u>
Subtotal A-133 expense adjustments in sponsored federal funds in general ledger	(630,460,666)
Expenditures of non-federal dollars included in consolidated operating expenses	3,036,239,675
Internal sales included in consolidated operating expenses	(216,385,661)
Depreciation included in consolidated operating expenses	192,704,844
Non-depreciation and internal sales accrual basis adjusting journal entries (year-end close)	<u>(189,302,900)</u>
Total operating expenses as shown on the consolidated statement of revenues, expenses and changes in net position for the year ended June 30, 2014	<u><u>\$ 3,260,294,669</u></u>

UNIVERSITY OF MINNESOTA

SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2014

SECTION I — SUMMARY OF AUDITORS' RESULTS

Financial Statements

- A. Type of auditors' report issued: Unmodified
- B. Internal control over financial reporting:
- Material weakness(es) identified? _____ yes X no
 - Significant deficiency(ies) identified? _____ yes X none reported
- C. Noncompliance material to financial statements noted? _____ yes X no

Federal Awards

- D. Internal control over major programs:
- Material weakness(es) identified? _____ yes X no
 - Significant deficiency(ies) identified? _____ yes X none reported
- E. Type of auditors' report issued on compliance for major programs: Unmodified
- F. Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133? _____ yes X no
- G. Identification of major programs:

CFDA Number	Name of Federal Program or Cluster
Various	Research and Development Cluster*
Various	Student Financial Assistance Cluster*
10.561	State Administration Matching Grants for the Supplemental Nutrition Assistance Program
47.076	Education and Human Resources
84.373	Special Education Technical Assistance on State Data Collection
10.500	Cooperative Extension Service

*This program has various and unidentified CFDA numbers.

- H. Dollar threshold used to distinguish between Type A and Type B programs: \$3,202,498 for Type A Programs
\$320,520 for Type B Programs
- I. Auditee qualified as low-risk auditee? X yes _____ no

SECTION II — FINANCIAL STATEMENT FINDINGS

No financial statement matters are reportable matters.

SECTION III — FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

No matters are reportable matters.