

University of Minnesota

Schedule of Expenditures of Federal Awards
and Schedule of Findings and Questioned Costs
for the Year Ended June 30, 2013, and
Independent Auditors' Reports

UNIVERSITY OF MINNESOTA

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REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS

Independent Auditors' Report

Board of Regents
University of Minnesota
Minneapolis, Minnesota

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the consolidated statement of net position of the University of Minnesota (the "University"); the related consolidated statements of revenues, expenses, and changes in net position; and cash flows as of and for the year ended June 30, 2013, and the related notes to the consolidated financial statements, which collectively comprise the University's basic consolidated financial statements, and have issued our report thereon dated November 1, 2013. Our report includes a reference to other auditors who audited the financial statements of the discretely presented component units, as described in our report on the University's consolidated financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting ("internal control") to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit, we did not identify any

deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's consolidated financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in black ink that reads "Deloitte + Touche LLP". The signature is written in a cursive, flowing style.

November 1, 2013

REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM, REPORT ON INTERNAL CONTROL OVER COMPLIANCE, AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY OMB CIRCULAR A-133

Independent Auditors' Report

Board of Regents
University of Minnesota
Minneapolis, Minnesota

Report on Compliance for Each Major Federal Program

We have audited the University of Minnesota's (the "University") compliance with the types of compliance requirements described in the *Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2013. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

Report on Internal Control Over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the consolidated financial statements of the University as of and for the year ended June 30, 2013, and have issued our report thereon dated November 1, 2013, which contained an unmodified opinion with an emphasis on matter paragraph on those consolidated financial statements. Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from, and relates directly to, the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated

financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

Deloitte & Touche LLP

December 3, 2013

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Clusters					
RESEARCH AND DEVELOPMENT CLUSTER:					
Agency for International Development					
Passthrough:					
Int'l Food Policy Research Institute	2007X283UMN Amendment 8	98			\$ 150,666
Department of Agriculture					
Direct		10			1,402,031
Passthrough:					
Clean Plus Inc.	No Award Number	10			7,160
Fleishman-Hillard, Inc.	08-85554	10			164,598
Land Stewardship Project	No Award Number	10			11,922
MN Department of Agriculture	Contract No. 53918/P.O. #3000005654	10			41,973
National Honey Board	No Award Number	10			19,093
The University of Kentucky Research Fdn.	3048108673-12-655	10			32,272
University of Wisconsin-Madison	320K456 Mod 3	10			67,816
White Earth Tribal & Community College	2009-38424-05453	10			7,680
Department of Agriculture, Agricultural Marketing Service					
Passthrough:					
Michigan State University	RC100885UM	10			2,641
MN Department of Agriculture	54790/3000005958/Brian Erickson	10			2,551
MN Department of Agriculture	B50056 Amend 1	10			14,716
MN Department of Agriculture	Contract No. 36330/55180 Amend 1	10			49,829
MN Department of Agriculture	Contract No. 38765 Amendment 1	10			12,517
MN Department of Agriculture	Contract No. 54787/P.O. #3000005957	10			6,928
Department of Agriculture, Agricultural Research Service					
Direct		10			2,012,661
Passthrough:					
Biotechnology Research & Dev. Corp.	No Award Number	10			3,479
Department of Agriculture, Animal and Plant Health Inspection Service					
Direct		10			199,715
Passthrough:					
Minnesota Board of Animal Health	Contract No. 26011	10			639,037
Department of Agriculture, Economic Research Service					
Direct		10			61,877
Passthrough:					
Cornell University	62140-9876 Amd #1	10			5,415
University of Wisconsin-Madison	394K310	10			10,172
Department of Agriculture, Food and Nutrition Service					
Passthrough:					
Texas Ag Experiment Station	570425 Amendment No. 3	10			195

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, Forest Service					
Direct		10			\$ 59,125
Direct		10	ARRA		31,622
Passthrough:					
MN Department of Natural Resources	Contract No. 50695/P.O. #3000024900	10			12,461
MN Department of Natural Resources	Contract No. B50794 Amend 1	10			16,408
University of California, Berkeley	00006312 amend 3	10			1,499
University of Massachusetts	12-005473 A 00	10			2,601
Virginia Tech	422139-19114	10			10,994
Department of Agriculture, National Institute of Food and Agriculture					
Direct		10			17,243,922
Passthrough:					
Albert Einstein College of Medicine	9-526-5642 AMD No. 1	10			4,886
Arizona State University	ASU#11-642/PO#SC34112M00087	10			28,115
College of Menominee Nation	No Award Number	10			23,813
Cornell University	64094-9750 Amend 2	10			148,951
Cornell University	64188-9740 Amend 2	10			9,633
Intelligent Optical Systems, Inc.	IOS #3226	10			1,009
Iowa State University	416-17-01C	10			366,456
Iowa State University	416-23-04 Amendment 3	10			15,521
Iowa State University	416-23-14	10			556
Iowa State University	416-23-24B/PO IL 85822-23	10			81,953
Iowa State University	416-40-46H	10			6,402
Iowa State University	416-40-59B	10			12,961
Iowa State University	416-40-63G	10			121,473
Iowa State University	416-40-93B/PO I3-96825-23	10			6,285
Iowa State University	416-43-61 D	10			18,137
Kansas State University	S09030.05	10			42,798
Kansas State University	S12159	10			10,903
Land Stewardship Project	No Award Number	10			5,649
Michigan State University	61-4135E Amendment 6	10			1,751
Michigan State University	61-4206C Amend 3	10			4,225
Michigan State University	61-4296B Amend 7	10			174,162
Michigan State University	RC100056UM Amend 2	10			1,483
Michigan State University	RC101676UM Amend 1	10			46,500
North Dakota State University	FAR0014336-2	10			1,671
North Dakota State University	FAR-0016650-3 Amend 1	10			86
North Dakota State University	FAR0018591-1	10			62,914
North Dakota State University	FAR-0019049 Amend 1	10			36,012
Oregon State University	C0383A-A	10			5,093
Oregon State University	C0417A-C, am 1	10			10,470
Temple University	PO139259/Sub No240245	10			29,985

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The Pennsylvania State University	4096-UM-USDA-0362 Amd 2	10			\$ 44,688
The Pennsylvania State University	4571-UM-USDA-0025/2011-85204-30025	10			48,530
The Pennsylvania State University	4604-UM-USDA-0025	10			15,993
The Pennsylvania State University	4615-UM-USDA-0025/2011-85204-30025	10			6,173
The Pennsylvania State University	4759-UM-USDA-8710	10			10,459
The Pennsylvania State University	4768-UM-USDA-8710	10			8,701
The University of Arizona	70938/2012-68003-19812 prime	10			89,032
The University of Georgia	RC293-365/4693998	10			46,729
Univ of Illinois at Urbana-Champaign	2012-05854-03 (A0107) Amend 1	10			5,607
Univ of Missouri	C00031587-5	10			11,600
University of California, Davis	201015718-16	10			782,421
University of California, Riverside	S-000380	10			2,002
University of California, Santa Barbara	KK1152	10			5,288
University of Connecticut	Agreement #7068, FRS #525804	10			50,879
University of Maryland	Z53002 Amendment A	10			62,425
University of Maryland	Z552803 Amendment A	10			118,979
University of Massachusetts	12-006905-A-00 Amend 1	10			49,025
University of Wisconsin-Madison	223K996	10			26,171
University of Wisconsin-Madison	287K980	10			4,301
University of Wisconsin-Madison	289K903	10			28,035
University of Wisconsin-Madison	297K802 Amend 2	10			22,989
University of Wisconsin-Madison	326K012	10			7,287
University of Wisconsin-Madison	350K884 Amend 2	10			47,050
University of Wisconsin-Madison	419K930	10			43,069
University of Wisconsin-Madison	428K094	10			7,368
Virginia Polytechnic Inst. & State Univ.	422102-19114 Amd. 02	10			22,471
Virginia Polytechnic Inst. & State Univ.	422183-19114/422262-19114 Amend 4	10			8,841
Washington State University	115808 G002979	10			253,941
Department of Agriculture, Natural Resources Conservation Service					
Direct		10			7,521
Passthrough:					
The Xerces Society, Inc.	No Award Number	10			10,142
Department of Agriculture, Risk Management Agency					
Passthrough:					
MN Fruit and Vegetable Growers	12-IE-53102-028	10			17,699
Department of Agriculture, Rural Business-Cooperative Service					
Passthrough:					
Iowa State University	412-30-13	10			74,923
Iowa State University	412-30-14A	10			194
Department of Agriculture, The Office of the Chief Economist					
Direct		10			54,173

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Commerce, National Oceanic and Atmospheric Administration (NOAA)					
Direct		11			\$ 134,037
Passthrough:					
Minnesota's Lake Superior Coastal Prog.	306-11-12/B46828 Mod. 01	11			9,025
Minnesota's Lake Superior Coastal Prog.	Contract No. 51058/P.O. #3000025443	11			11,346
Minnesota's Lake Superior Coastal Prog.	Grant Contract 51056	11			9,287
MN Department of Natural Resources	Coastal Grant Prog. Agreem. 11-306-05	11			15,854
MN Department of Natural Resources	Coastal Grant Prog. Agreem.11-306-06	11			54,327
North Carolina A&T State University	270040G Mod. #6	11			22,910
Syntectic International LLC	NA11OAR4310120	11			55,574
Univ of Illinois at Urbana-Champaign	Sub No. 2010-02247-07 Amendment 01	11			17,147
University of Michigan	3002075994 Amendment 2	11			27,405
University of Michigan	3002475295	11			4,089
University of Michigan	P.O./Contract No. 3001119746 Amend 3	11			168,691
Department of Defense					
Direct		12			952,335
Passthrough:					
Anasys Instruments Corp.	UMN01_FA9451-10-D-0224 Amd. 1	12			34,782
Boston Applied Technologies, Inc.	A000239891	12			(44)
Carnegie Mellon University	1041388-248800 Amd. 4	12			101,243
General Dynamics	51ESM455992 mod 4	12			35,775
HDR Engineering, Inc.	191717-164	12			222,422
Info. Visualization & Innovative Res.	No Award Number	12			7,147
KaZak Composites, Inc.	No Award Number	12			117,606
Minnesota Veterans Research Institute	MVRI/PrimeW81XWH-08-2-0045 Mod 3	12			8,934
Northrop Grumman Corporation	39226337 & 8200156221	12			14,937
Optomec, Inc.	O 01416/2	12			4,276
Raytheon Company	4400273776	12			(1,578)
Rockwell Collins, Inc.	4504783066	12			29,204
Rockwell Collins, Inc.	4504789784	12			101,233
The Henry M. Jackson Foundation	726236/745242/758835	12			48,999
The Henry M. Jackson Foundation	767206	12			33,493
Thermacore, Inc.	UMN-15068 nce	12			200,522
University of Southern California	140831	12			173,853
Department of Defense, Advanced Research Projects Agency					
Direct		12			1,778,229
Passthrough:					
California Institute of Technology	102-1092551	12			378,437
IBM Corporation	4912038362	12			144,478
Indiana University	BL-4348709-UM	12			17,979
Northwestern University	HR0011-13-2-0002/ SP0020251-PROJ0005715	12			107,706
Northwestern University	SP0014800-PROJ0003835 Amd. #2	12			217,472

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Rockwell Collins, Inc.	4504331793	12			\$ 879
The Ohio State University	OSU N66001-11-1-4090	12			71,409
University of California, Irvine	2010-2494 Amd. 2	12			12,943
University of California, Irvine	2012-2879	12			19,222
University of California, Los Angeles	0157-S-MA002	12			72,691
University of Rochester	PO 415794-G/HR001-10-C-0111	12			139,911
Department of Defense, Department of the Air Force, Materiel Command					
Direct		12			3,707,891
Passthrough:					
California Institute of Technology	52-1093214	12			125,145
Carnegie Mellon University	1150119-294738	12			63,748
Univ. of North Carolina at Charlotte	20100669-03-UMN	12			105,690
University of Pennsylvania	556016	12			399,281
Department of Defense, Department of the Navy, Office of the Chief of Naval Research					
Direct		12			3,719,743
Passthrough:					
Massachusetts Institute of Technology	5710003352	12			38,710
University of California, Los Angeles	1015 G QA113/ N00014-12-1-0883	12			44,579
University of Michigan	3002460613	12			74,127
University of Rochester	414007-009-G Amd. 010	12			7,872
Department of Defense, National Security Agency					
Direct		12			97,654
Department of Defense, Office of the Secretary of Defense					
Direct		12			611,539
Passthrough:					
Oklahoma State University	AA-5-33690	12			108,110
Department of Defense, U.S. Army Materiel Command					
Direct		12			296,727
Passthrough:					
3M Co	PO #USMMMR74D6	12			333,355
BBN Technologies Corp.	0W911NF-09-2-0053 0017	12			78,457
California Institute of Technology	28D-1083901/W911NF-07-1-0410-3	12			26,310
Corcoran Engineering, Inc.	No Award Number	12			5
Cornell University	66220-9899	12			64,069
Imaginative Technologies, LLC	UM00023465	12			18,714
Rice University	R17833 am 1	12			231,743
Department of Defense, U.S. Army Medical Command					
Direct		12			7,211,779
Passthrough:					
Children's Research Institute	Agreement 01-W81XWH-12-1-0417	12			3,718
Holland Bloorview Kids Rehab Hospital	No Award Number	12			68,165
Minneapolis Medical Research Foundation	MMF W81XWH1020091	12			68,486

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Rutgers, The State Univ. of New Jersey	account 4-29429 org code 10578	12			\$ 293,617
University of California San Diego	S9000080, No.34305767	12			19,437
University of California, Irvine	2012-2892	12			19,437
UT Southwestern Medical Center at Dallas	GMO-900309 Mod 3	12			8,001
Department of Education					
Passthrough:					
State of MN	No Award Number	84	ARRA		(3,408)
Department of Education, Institute of Education Sciences					
Direct		84			2,384,040
Passthrough:					
Educational Testing Service	UM-IES-324A	84			18,462
KU Center for Research, Inc.	FY2009-005-M4	84			279,466
Mathematica Policy Research, Inc.	06876S01681	84			38,788
The Univ. of N. Carolina at Chapel Hill	5-39254	84			156,775
The University of Kansas	FY203-003	84			32,114
Department of Education, Office of Elementary and Secondary Education					
Passthrough:					
Intermediate District #287	S342747	84			7,500
MN Office of Higher Education	Contract No. 40945 Am. 1	84			53,905
Department of Education, Office of Innovation and Improvement					
Direct		84			1,533,648
Department of Education, Office of Postsecondary Education					
Direct		84			83,621
Department of Education, Office of Special Education and Rehabilitative Services					
Direct		84			1,019,809
Passthrough:					
Advanced Medical Electronics Corporation	AME11-ConnectElect-13	84			21,917
Advanced Medical Electronics Corporation	H133S120017	84			8,000
The Pennsylvania State University	4568-UM-USDE-0030 Amd. #1	84			138,651
Department of Energy					
Direct		81			10,322,271
Direct		81	ARRA		2,862,770
Passthrough:					
Battelle	00101845	81			329,339
Battelle	00109759, Amd 3	81			121,505
Consortium for Plant Biotechno	GO12026-304/DE-FG36-02GO12026-008	81			683
Consortium for Plant Biotechno	GO12026-319/DE-FG36-02GO12026-008 Amd F	81			5,912
Donald Danforth Plant Science Center	23009-MN	81			96,123
Eaton Corporation	0011-36983	81			(899)
Eaton Corporation	0011-37906	81	ARRA		76,252
Johns Hopkins University	200097069	81			1,382
Mind Institute	4214	81			25

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UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Mind Institute	6002-047/DE-FG02.07ER64415	81			\$ (1,209)
MN Department of Commerce	Contract No. 37593 Am 2	81			137,571
Montana State University	245-10-W2498, amd 2	81			96
Oregon State University	U0600A-A	81			(1)
Princeton University	00001701 Mod. 3	81			145,198
Princeton University	00001793	81			53,615
Reeves & Sons, LLC	PO# JRCD208041100	81			149
Rutgers, The State Univ. of New Jersey	3851 Mod. 3 REV	81	ARRA		42,653
South Dakota State University	3TF146 Amendment 4	81			10,938
South Dakota State University	3TG155 Amend. 3	81			48,628
South Dakota State University	3TL160	81			46,244
South Dakota State University	3TM162	81			13,845
South Dakota State University	3TS162	81			147,426
Stanford University	108130	81			73,065
State of Arizona	MN-EE0002850/PO# BGS11MN82	81	ARRA		99,209
The Research Foundation of SUNY	11-30 /DE-FE0007190	81			26,710
Univ of Texas	UTA09-000984	81			98,516
Univ of Texas	UTA10-000598 Amd. 3	81			36,644
Univ of Texas	UTA12-000915	81			65,296
University of Delaware	21114 Amd. 3	81	ARRA		410,397
University of Michigan	3001346400 Amd. 4	81			372,457
University of Notre Dame	209008 Amd. 4	81	ARRA		51,140
Yale University	00018874C08E10003/DE-FG02-08ER46482	81			30,073
Department of Energy, National Nuclear Security Administration					
Direct		81			392,790
Department of Energy, Office of Energy Efficiency and Renewable Energy					
Direct		81			792,519
Department of Energy, Office of Science					
Direct		81			10,853,690
Passthrough:					
Battelle	00102037	81			245,959
Battelle	4000109237 mod 4	81			90,176
Battelle	4000115217	81			70,769
Department of Health and Human Services					
Direct		93			1,184,406
Passthrough:					
Georgetown University	RX4400-185-UM mod 4	93			(63)
John Snow, Incorporated	No Award Number	93			1,572
Research Triangle Institute	Subcontract 2-312-0212704	93			1,322
Science Applications International Corp.	Subcontract S09-214/29XS214	93			4,443,955
The Griffin Hospital	No Award Number	93			10,437
The University of Alabama at Birmingham	000438814 TO3 SC001	93			9,221

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of South Florida	PO #0000161342 Amendment #5	93			\$ 171,176
University of Washington	727119 Am 1	93			176,349
Washington University in St. Louis	2905409A/ WU-08-88, Amendment No. 06	93			2,242
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
Child Trends, Inc.	002	93			119,324
MN Department of Education	PO #E3701-3942	93	ARRA		2,539
Department of Health and Human Services, Administration for Community Living					
Direct		93			86,776
Department of Health and Human Services, Agency for Healthcare Research and Quality					
Direct		93			1,900,003
Direct		93	ARRA		3,385,261
Passthrough:					
Baylor College of Medicine	41010011101 Amend 2	93			10,544
Fairview Health Services	FV2010-233 Amendment 5	93			523,337
Indiana University	IN-4688351-UM/PO Number 912940 Am 2	93			171,189
Indiana University	No Award Number	93			93,828
Oregon Health & Science University	GEMME0086 Minnesota amd 3	93			26,787
The University of Arizona	Y560288 amendment 2	93			1,070
The University of Oklahoma	RS20101741-16	93	ARRA		194,022
Westat, Inc.	8846.02-S05	93			25,146
Department of Health and Human Services, Centers for Disease Control and Prevention					
Direct		93			3,093,347
Passthrough:					
Assn of Schools of Pub Health	S3946-28/28	93			8,609
Assoc. of Univ. Centers on Disabilities	Sub No 455/Cooperative Agreement No 811	93			234,542
Association of American Medical Colleges	MM-1135-10/10	93			4,162
Battelle	370712 PO US001-0000370712	93			70,370
Battelle	US001-0000234257, Mod 05	93			185,657
Big Brothers Big Sisters-Greater TC	No Award Number	93			19,835
Minnesota HealthSolutions Corporation	Subcontract No. MHS12-TetherWinch-03	93			35,629
MN Department of Health	38883	93			76,257
MN Department of Health	54661	93			9,687
MN Department of Health	55946	93			28,423
MN Department of Health	B08039, Amd #2	93			1,401
MN Department of Health	Grant No. 48626/P.O. #3000007975	93			47,601
MN Department of Health	Grant No. B23448	93			73,536
MN Department of Health	P.O. #3000006225	93			26,136
Park Nicollet Institute	No Award Number	93			25,617
Park Nicollet Institute	OH009086-01 Am 5	93			18,253
SIMmersion, LLC	SIMmersion LLC	93			32,188
The Ctr. for Constr. Research & Training	No Award Number	93			18,326

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The National Fragile X Foundation	No Award Number	93			\$ 621
The Univ. of N. Carolina at Chapel Hill	5-52517, Amend 2	93	ARRA		86,774
Department of Health and Human Services, Centers for Medicare and Medicaid Services					
Direct		93			7,425
Passthrough:					
Courage Center	No Award Number	93			5,867
Mayo Clinic Rochester	1C1CMS330964-01-00	93			165,943
Department of Health and Human Services, Food and Drug Administration					
Passthrough:					
Nat'l Inst. for Pharm. Technology & Educ	FDA-SOL-08-000664-12 (MN0005)	93			(2)
Nat'l Inst. for Pharm. Technology & Educ	NIPTE-U01-MN-002-2012	93			29,940
Nat'l Inst. for Pharm. Technology & Educ	NIPTE-UO1-MN-001-2012, AMD No. 1	93			39,037
TConneX Inc.	TConneX-HHSF2232001110143C-002	93			37,544
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93			784,212
Passthrough:					
Academic Pediatric Association	No Award Number	93			14,164
City of Minneapolis	FAN Number 13-3	93			21,898
Minneapolis Medical Research Foundation	HSH250201000018C	93			204,301
MN Department of Health	Agreement 57900	93			19,641
MN Department of Health	Contract No. 41335, P.O. #3000005166	93	ARRA		80,845
MN Department of Health	No Award Number	93			10,520
National Opinion Research Center	6673-03-Univ. of Minnesota	93			205,566
Northeast MN Area Health Education Ctr.	UMN006	93			127
University of Illinois at Chicago	2010-04296-06-04	93			228,544
Department of Health and Human Services, National Institutes of Health					
Direct		93			268,497,303
Direct		93	ARRA		3,090,493
Passthrough:					
Advanced Medical Electronics Corporation	AME06-MOUSE-EEG-32	93			1
Advanced Medical Electronics Corporation	AME08-Companion Mic-29/5 R42 NR010323-04	93			27,027
Advanced Medical Electronics Corporation	AME08-DigitalSign-38 am 05	93			12,562
Advanced Medical Electronics Corporation	AME10-ConnectElectronics-04/5R44DC0095	93			32,799
Advanced Medical Electronics Corporation	AME11-MicArray-11 1 R43DC011468-01	93			(1,676)
Advanced MRI Technologies	1R44NS073417-02	93			92,271
Adventium Labs	AEC2011-08-0007	93			105,601
Albert Einstein College of Medicine	310268, Amend 3	93			41,478
Albert Einstein College of Medicine	9-526-2095 Amd. No. 1	93			29,844
Albert Einstein College of Medicine	9-526-5496 Amd. 2	93			17,205
Allina Health System	No Award Number	93			26,723
American College of Radiology	4246/ACR Subaward 1158	93			9,972
American College of Radiology	ACRIN 6698 Institution #4246	93			1,311

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Astraea Therapeutics, LLC	2012-UMinn-115984-07	93			\$ 39,750
Audiology Inc.	RC3DC010986-01	93	ARRA		90,485
Bastyr University	H34B12-03	93			(9,835)
Baylor College of Medicine	PO 5600389957/5P01-GM081627-05	93			9,530
Beckman Res. Inst. of the City of Hope	22999.914934.6692	93			58,681
Beth Israel Deaconess Medical Center	Subaward No 01024848	93			28,664
Beth Israel Deaconess Medical Center	Subaward No. 01025091-COREB	93			268,412
Bioinventions LLC	090510MN	93			(2,139)
Black Hills Ctr. for Amer. Indian Health	5P50CA148110-03	93			16,980
Boston University	9500301522 (current)/9500300225 (prev)	93			54,451
Boston University	BUMC # 9500224053/AG018037-11A1	93			20,013
Boston University Medical Campus	Activity# 0294301 PO# 787779 Amd #1	93			44,034
Brigham and Women	105041 Amd. 3	93			39,318
Brigham and Women	105963	93			124,480
Brigham and Women	PS#105087/CTOT-11	93			12,031
Brown University	00000199 Amd 4 (PO# P252628)	93			16,536
California Pacific Medical Center	2803212-S041 Amendment 8	93			17,331
California Pacific Medical Center	2803232-S150	93			10,641
California Pacific Medical Center	2803261-S112 Amd 2	93	ARRA		5
California Pacific Medical Center	803209-S099 Amendment 3	93			287,111
Cambridge Health Alliance	No Award Number	93			(189)
Carolinas Healthcare System	1U01DE022909	93			76,372
Case Western Reserve University	RES429673 Amendment 21	93			2,325
Case Western Reserve University	RES503448	93			96,687
Case Western Reserve University	RES507525/DK094157	93			155,924
Children's Hospital Boston	PO #0000484408	93			25,073
Children's Hospital Los Angeles	P2013-0010	93			178,307
Children's Hospitals & Clinics of MN	No Award Number	93			17,916
Children's Oncology Group	Sub No.021371 /Per Case Reimbursements	93			10,127
Children's Oncology Group (COG)	Agreement #97452-1027; Sub #21371	93			4,078
Children's Research Institute	Agreement 3-1P50AR060836	93			9,455
Cincinnati Children	109363/R24HL105333	93			243
Cincinnati Children	CHMC# 104930 M4, PO 3100269118	93			268,805
Cincinnati Children	PO #3100162416 104154 M1	93			(6,777)
Cincinnati Children	Subaward no. 108454 Amendment #2	93			246
Cincinnati Children	Subaward No. 130466	93			19,767
Cincinnati Children	Subaward No. 130474	93			102,227
Clemson University	1541-209-2006921, Amend 1	93			204,804
Cleveland Clinic	Subaward No. 115SUB/R01HD061363	93			15,044
Cleveland Medical Devices, Inc.	No Award Number	93			6,421
Colombia University	000231683 (GG005538) Amd 4	93			73,796
Colombia University	1 (Acct #539636) Amd 2	93			14,139

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Colombia University	1(GG006750)/prev # 6(acct. # 5-30323)	93			\$ 19,563
Connecticut Children's Medical Center	Agreement #12-179296-35	93			427
Cornell University	13040492 AMD #1	93			248,259
Dana-Farber Cancer Institute	#P1153505 — Amendment No. 5	93			425,680
Dana-Farber Cancer Institute	4487403	93			22,568
Dartmouth College	999 Am 1	93			90,989
Dartmouth College	Subaward 899	93			43,166
Dartmouth College	Subaward No. 895	93			42,026
Discovery Genomics Inc.	D228017 Amend 2	93			191,212
Duke University	04-SC-NIH-1069, Mod 5	93			(8)
Duke University	2032064/SU19AI056363	93			623
Duke University	203-2595	93			6,830
Duke University	Duke SPS #165191/174373	93	ARRA		4,029
Duke University	Protocol # NICHD-2005-18 Amend#1	93			2,244
Duke University	SPS#162060 Site 063	93			(6,236)
Duke University	Subward No. 2039394	93			2,048
Dystonia Coalition	Career Development Award	93			14,752
E.I. Du Pont de Nemours and Company	Account # 30-03671-001 Amd 1	93			4,859
Eastern Coop Oncology Group	MB2O36BV-05 Amendment #5	93			37,895
Eastern Coop Oncology Group	PSAFMNRM00	93			(22,609)
Emory University	S463359/R01HL095791	93			28,682
Emory University	S884681	93			16,514
Emory University	S886410 AMD No. 1	93			125,874
Fdn for the Children's Oncology	1149/ca98543	93			181,527
Fisher BioServices Incorporated	FBS-43312-89	93			14,310
Florida Atlantic Univ	RJ23/DSR#11-434(2)/Acct#180283	93			9,920
Florida International University	800001054-02, Amend 1	93			9,907
Florida State University	R01631	93			1,912
Fox Chase Cancer Center	FCCC 15057-01/PO# 201200426	93			28,549
Fox Chase Cancer Center	HHSN26100002 (FCCC 18031-01)	93			82,148
Fox Chase Cancer Center	Subcontract 18018-01WA 13B, PO#201106840	93			(6,597)
Fox Chase Cancer Center	WA#10A (N01-CN-43309)	93			58,706
Fred Hutchinson Cancer Research Center	0000687083-207387/0000700955/0000730374	93			3,348
Fred Hutchinson Cancer Research Center	0000721156/ 0000752809/CA118953-05	93			1,359
Fred Hutchinson Cancer Research Center	0000729929-207389/207391/754111-212021/S	93			27,371
Fred Hutchinson Cancer Research Center	0000730167-207385/207391	93			4,968
Fred Hutchinson Cancer Research Center	0000733016	93			27,099
Fred Hutchinson Cancer Research Center	0000740952, Amend 2	93			411,869
Fred Hutchinson Cancer Research Center	0000742373	93			20,652
Fred Hutchinson Cancer Research Center	0000754108-212018/212022-S4459	93			71,346
Fred Hutchinson Cancer Research Center	0000765860	93			9,975
Fred Hutchinson Cancer Research Center	CITN11-02	93			8,153

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Fred Hutchinson Cancer Research Center	subaward 0000753275/prev #730289	93			\$ 56,386
Fred Hutchinson Cancer Research Center	Subaward No. 0000704246/RC2HL101844	93	ARRA		(5,700)
Fred Hutchinson Cancer Research Center	Subcontract # 0000699014, Amend 2	93			4,972
GeneSegues, Inc.	5R43DK084644-02 Mod #2	93			1,728
Georgia Institute of Technology	E-17-699-G3 am 4	93			55,926
Giner, Inc.	2R44DK070400-03A1 (GI C09-50)	93			(29,226)
Group Health Coop of Puget Sound	2006128306 Mod 2	93			41
Gynecologic Oncology Group	27469-013	93			62,204
H. Lee Moffitt Cancer Center & Research	10-13656-99-01-G2	93			2,904
Harvard Medical School	Subaward 149639.50250852.0904 Amd. 3	93			371,943
Harvard University	1 R01 CA120958-04 Amendment 5	93			19,245
Harvard University	111082-0103	93			349,681
Harvard University	135955	93			42,558
Harvard University	151182-0002	93			155,657
Hauptman-Woodward Medical Research Inst.	6200-2, Mod #2	93			27,651
Health Research, Inc.	3865-01 Amend 3	93			114,398
Health Research, Inc.	55-7014-19	93			58,198
HealthCare Interactive, Inc.	20101205	93			26,347
Healthpartners Inst for Educ & Research	85285270 X0808700 Mod#4	93			29,594
Healthpartners Inst for Educ & Research	A08-132/X0813200, MOD 4	93			59,257
Healthpartners Inst for Educ & Research	Acct Unit 85285270/X1003900	93			40,920
Healthpartners Inst for Educ & Research	HPRF/R01 CA128211 Mod #6	93			2,735
Healthpartners Inst for Educ & Research	X0709000 Modification 3	93			12,982
Healthpartners Inst for Educ & Research	X0710100 mod 4	93			27,280
Healthpartners Inst for Educ & Research	X0909600	93			133,413
ICET, Inc.	R44DK55891-06	93			234,444
Imaginative Technologies, LLC	A000270781	93			399
Immune Tolerance Network	Agreement No. 108181	93			26,577
Immune Tolerance Network	ITN10182-00sc Amendment #1	93			68,834
Immunochemistry Technologies LLC	CON-23541	93			20,105
Innovative Design Labs Inc.	1R43DC012247-01	93			11,306
Innovative Surface Technologies, Inc.	No Award Number	93			32,479
Institut Pasteur	R01AI042361	93			155,162
Iowa State University	430-17-22A Amend 1	93			174,649
Iowa State University	430-46-05B Amendment 2	93			110,730
IRIS Media Inc.	Project ID #57 Grant #1R43HD066896-01	93			17,395
Jackson State University	3N01-HC-95170 amd 3	93			20,595
Jackson State University	Subcontract NIH Contract N01-HC-95170	93			216,830
Jaeb Center for Health Research	1U10EY020797-01A1 Site 112	93			8,983
Jaeb Center for Health Research	Addendum Protocol R3-24-11	93			224
Jaeb Center for Health Research	ATS13	93			(3,301)
Jaeb Center for Health Research	ATS17	93			1,054

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Jaeb Center for Health Research	EY11751 Amend	93			\$ 9,966
Jaeb Center for Health Research	No Award Number	93			8,082
Jaeb Center for Health Research	Subagreement # U01-HD41890	93			908
Joan & Sanford I Weill Med Coll	12121742-01/07091018	93			385,319
Joan & Sanford I Weill Med Coll	Site No. 204	93			200
Johns Hopkins University	2000560258 Amd #4	93			111,431
Johns Hopkins University	2000617092 amendment 1	93			39
Johns Hopkins University	2000834334 Amd 5	93			191,509
Johns Hopkins University	2000901484	93			1,686
Johns Hopkins University	2001110252/R01EB12547	93			36,651
Johns Hopkins University	2001184189 Amd. 2	93			130,017
Johns Hopkins University	2001660168 (5U01-CA164975-02)	93			9,846
Johns Hopkins University	PO#2001604192	93			7,760
Johns Hopkins University	Subaward 2001374023, Amend 2	93			450,787
Joslin Diabetes Center, Inc.	Sub1DoriaR03DK094484	93			3,616
Joslin Diabetes Center, Inc.	Sub2_DK097808_DORIA	93			36,058
Kaiser Fdn Res Inst	115-9488-01/KR021096	93			226,495
Kaiser Permanente	115-9107-02 M1/ KR020815	93			37,377
Koronis Biomedical Technologies Corp.	1R41TR000367-01A1	93			26,068
Koronis Biomedical Technologies Corp.	KBT12-TMR-22/SBIR R41DC012726	93			60,000
Koronis Biomedical Technologies Corp.	KBT13-HAREplay-12	93			4,410
Lentigen	Lentigen/R41DK085944-01 Amd 2	93			24,239
Life Services L.L.C.	Sub: 1R41EB013543-UMN 01-REVISED	93			40,431
Lifespan	1 U01-HL077221	93			103,824
Los Angeles Biomedical Research Inst.	20964-UMN	93			5,450
Massachusetts General Hospital	5R01DA021245-04	93			(9,640)
Massachusetts General Hospital	Subaward No. 219591 Amend 1	93			7,320
Massachusetts Institute of Technology	5710002399	93			(27)
Mayo Clinic Rochester	1R01CA154517-01A1	93			229,584
Mayo Clinic Rochester	1U01NS073557-01A1	93			234,008
Mayo Clinic Rochester	2P50CA108961-06A2	93			43,200
Mayo Clinic Rochester	3P50DK083007-02S2	93	ARRA		(23,300)
Mayo Clinic Rochester	5DP1DA031340-03 Revised	93			320,721
Mayo Clinic Rochester	5P01HL076611-07	93			9,932
Mayo Clinic Rochester	5P50CA116201-07 Am 1	93			149,562
Mayo Clinic Rochester	5R01AR057781-04/PO63070075	93			119,625
Mayo Clinic Rochester	5R01CA138417-04	93			77,976
Mayo Clinic Rochester	5R01CA164241-02	93			26,029
Mayo Clinic Rochester	5R01DA014577-06	93	ARRA		18,376
Mayo Clinic Rochester	5R01DA023979-05	93			141,195
Mayo Clinic Rochester	5R01HL110539-02	93			253,866
Mayo Clinic Rochester	5U01DK082843-05	93			236,668

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Mayo Clinic Rochester	5U54CA153605-03	93			\$ 5,308
Mayo Clinic Rochester	62962214	93			59,416
Mayo Clinic Rochester	63085719	93			3,384
Mayo Clinic Rochester	63202828	93			18,924
Mayo Clinic Rochester	8UL1TR000135-07	93			4,181
Mayo Clinic Rochester	PO# 62969072	93			11,461
Mayo Clinic Rochester	Subaward 5R01CA163803-02/PO#63155949	93			5,099
Mayo Clinic Rochester	Subaward: 5K12CA090628-12 Revised	93			139,017
Medical College of Wisconsin	1	93			10,556
Medical College of Wisconsin	5R01CA103180-08/Sub No 8	93			91,218
Medical College of Wisconsin	PO# 1324800 (previous PO #1273195)	93			16,162
Medical University of South Carolina	MUSC08-179 Amd. 3	93			1,603
Medical University of South Carolina	MUSC08-183 Amd. 5	93			83,873
Medical University of South Carolina	MUSC09-144 Amd 4	93			32,521
Medical University of South Carolina	MUSC09-145 Amd. 3	93			12,061
Medical University of South Carolina	MUSC10-034/1R21DK084413	93			4,598
Medical University of South Carolina	MUSC11-091 Amd 2	93			7,609
Memorial Sloan-Kettering Cancer Center	PO# BD515259 (Prev#s BD514505, BD513865)	93			212,764
Memorial Sloan-Kettering Cancer Center	R01-CA079572-12/BD514327 Am 4	93			6,789
Michigan Public Health Institute	K-30206-116-504200	93			226,318
Michigan State University	RC100161 Amd. 4	93			202,153
Michigan State University	Subaward No. RC100246UMN Amd. 2	93			31,365
Minneapolis Heart Institute Foundation	5U01HL087318 Amd. 7	93			11,919
Minneapolis Medical Research Foundation	00042-01/1R01AG037551-02	93			10,011
Minneapolis Medical Research Foundation	07063-02	93			7,549
Minneapolis Medical Research Foundation	07063-03 Am 6	93			6,206
Minneapolis Medical Research Foundation	07073-01	93			48,294
Minneapolis Medical Research Foundation	07073-2	93			71,744
Minneapolis Medical Research Foundation	07109-1	93			1,519
Minneapolis Medical Research Foundation	07149-3	93			103,664
Minneapolis Medical Research Foundation	200-2010-37373	93			1,645
Minneapolis Medical Research Foundation	5R01AG037551-02	93			7,946
Minneapolis Medical Research Foundation	ACCORDION Mod #7	93			92,219
Minneapolis Medical Research Foundation	ACCORDION-Eye Mod 3	93			1,019
Minneapolis Medical Research Foundation	Amend #4 N01-HC-95183	93			(2,993)
Minneapolis Medical Research Foundation	EXACT-HF	93			3,808
Minneapolis Medical Research Foundation	HHSN 267 2007 15002C Mod 7	93			27,082
Minneapolis Medical Research Foundation	HHSN 267 2007 15003C Mod. 7	93			41,544
Minneapolis Medical Research Foundation	HHSN268201100027C Mod# 6	93			84,554
Minneapolis Medical Research Foundation	NIH/NICHD1R21HD068203-01A1	93			5,047
Minneapolis Medical Research Foundation	No Award Number	93			2,993
Minneapolis Medical Research Foundation	U01AG029824	93			32,842

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Montana State University	G114-13-W4077	93			\$ 18,000
Montefiore Medical Center	Contract No. HHSN268200700015C	93			(3,372)
Mount Sinai School of Medicine	0255-4391-4609	93			8,200
Mount Sinai School of Medicine	0255-4391-4609 Amd. 5	93			141,253
Mount Sinai School of Medicine	LVAD 5U01HL088942-05	93			3,000
National Childhood Cancer Foundation	Contract #021077	93			(401)
National Childhood Cancer Foundation	Subcontract #19228 ARRA	93	ARRA		11,322
National Childhood Cancer Foundation	Subcontract No. 021372	93			8,285
National Marrow Donor Program	Protocol Rider #0901	93			137,228
Nat'l Development & Research Inst., Inc.	PO# 0048046 NDRI #626B	93			18,974
Neuro Devices, Inc.	1R41TR000462-01	93			1,268
Neuro Devices, Inc.	NIH Prime Award R41NS071979	93			21,267
Neuro Nexus Technologies, Inc.	Subaward 5R44NS060269-03	93			248,119
New England Res Inst	THM Network Protocol Agreement	93			32,604
New England Res Inst	TMH Network Protocol Agreement	93			51,592
New York University	10-01254; Proj #801860, Amend 3	93			48,029
New York University	S#10-01073/Site001409	93			2,593
Northeastern University	50016478050 Amd 3	93			53,274
NorthShore University HealthSystem	EH06-201-S20	93			(149)
Northwestern Health Sciences University	R25AT003582-06	93			71,801
Northwestern University	60024563 UM	93			89,617
Northwestern University	60028536UM	93			11,279
Northwestern University	60030644 UM A01	93			6,749
Oregon Research Institute	1 RC2 DA028946	93	ARRA		(1,988)
Park Nicollet Institute	1R21CA149934-01A1	93			50,154
Penn State Milton S. Hershey Medical Ctr	UMNDK082183 Amend 3	93			166,548
Regenx Biosciences, LLC	1R41DK094538-01	93			9,898
Rehabilitation Institute of Chicago	5R24HD050821-08	93			8,015
Rehabilitation Institute of Chicago	R01NS053813	93			13,649
Research Foundation for Mental Hygiene	93885 Amd. 3	93			90,003
Rhode Island Hospital	6293	93			17,262
Rhode Island Hospital	6293/1U01-HL077221-01A1	93			1,242
Rhode Island Hospital	701-7515, Mod 5	93			(6,878)
Rhode Island Hospital	CLEVER Trial	93			6,974
Rush University	5R01HL089862-03	93			9,314
Rutgers, The State Univ. of New Jersey	4421 Mod. No. 3	93			24,356
SAIC Frederick, Inc.	11XS245, MOD#5	93			62,881
SAIC Frederick, Inc.	12XS455/STO10/A02106ST MOD#2	93	ARRA		2,592
SAIC Frederick, Inc.	12XS455/STO2/A02098ST	93			3,341
SAIC Frederick, Inc.	12XS455/STO4/A02099ST Mod #1	93	ARRA		1,296
SAIC Frederick, Inc.	12XS455/STO5/A02101ST MOD#3	93	ARRA		11,164
SAIC Frederick, Inc.	12XS455/STO7/A02103ST MOD#2	93	ARRA		4,960

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
SAIC Frederick, Inc.	12XS455/TO1/A64641 Mod #1	93			\$ 1,296
SAIC Frederick, Inc.	29XS128 TO15, MOD No. 06	93			162,229
SAIC Frederick, Inc.	29XS128, Order #08, A59101, MOD#06	93			465,875
SAIC Frederick, Inc.	29XS128-TO17A-A65136 MOD #4	93			247,863
SAIC Frederick, Inc.	29XS128-TO17B MOD#3	93			16,069
San Diego State University Foundation	53253JP1660 7810 211	93			32,383
San Diego State University Foundation	53311JP16507803211 E0010458	93			129,121
Sanford Health	SR-2012-05 Am 02	93			10,743
Sanford-Burnham Medical Research Inst.	56596-11807-UMN-01 AMD No. 2	93			11,241
Seattle Children	10373SUB	93			7,286
Social & Scientific Systems, Inc.	PHR-SUPS2-S-11-00013	93			20,084
SRI International	123-000012 Mod 1	93			31,772
St. Jude Children's Research Hospital	111725020-7513060	93			28,414
St. Jude Medical, Inc.	111287190-7522145	93			43,900
St. Luke's-Roosevelt Hospital Center	No Award Number	93			6,579
Stanford University	Subaward 60041385-105174-A	93			190,000
State Univ. of New York at Stony Brook	1104160	93			399,774
Stewart Exposure Assessments, LLC	No Award Number	93			27,401
Teachers College	512115	93			34,815
Temple University	239815-UM/P0141511	93			13,176
Texas Tech University	1R01AT007395-01	93			13,520
Texas Tech University	21F076-01	93			21,519
The Children's Hospital of Philadelphia	320819_01_03/PO 950836RSUB	93	ARRA		949
The Children's Hospital of Philadelphia	320886-10-04 Amd. 3	93			26,274
The Children's Hospital of Philadelphia	FP00013560-SUB17-01	93			13,620
The Children's Hospital of Philadelphia	PCR WO: FP00013013_SUB198_01	93			2,726
The Children's Hospital of Philadelphia	PO #960253 RSUB/SL WO: FP00013013_SUB228	93			14,664
The Children's Hospital of Philadelphia	PO #960338/SL WO FP00013013-SUB270_01	93			8,022
The Children's Hospital of Philadelphia	PO#: 960243 — RSUB (COG UM1CA097452)	93			18,624
The Children's Hospital of Philadelphia	PO#: 960327-RSUB	93			19,487
The Children's Hospital of Philadelphia	Subaward FPI3013_SUB256_01/ PO 960286-RS	93			33,628
The Children's Hospital of Philadelphia	WO: FP00013013_SUB494_01	93			30,000
The Children's Hospital of Philadelphia	WorkloadIntensity/FP00013012_SUB361_01	93			22,800
The EMMES Corporation	Bellin CITR	93			11,599
The EMMES Corporation	Collaborative Islet Transplant Registry	93			16,819
The EMMES Corporation	Hering CITR	93			2,800
The EMMES Corporation	Subcontract Agreement	93			11,842
The George Washington University	12-B03, Amend 1	93			321,306
The George Washington University	96-S05 Modification 17	93			55,228
The George Washington University	S-GRD1213-KR28	93			157,087
The George Washington University	S-GRD1213-KR42	93			43,821
The George Washington University	S-MFM1213-JB01	93			28,571

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The Hospital for Special Surgery	Hospital for Special Surgery/5R01AR04132	93			\$ 142,592
The Hospital for Special Surgery	No Award Number	93			(22,049)
The Ohio State University	Sub No.: 60037145	93			49,220
The Pennsylvania State University	3521-UM-DHHS-0585/DA020585	93			(7)
The Pennsylvania State University	4181-UM-DHHS-5098 Am 3	93			144
The State University of New York	R770645	93			45,870
The State University of New York Buffalo	PTAEO No. 1094939-2-57556 Am 2	93			28,580
The State University of New York Buffalo	R780456 amd 1	93			12,355
The Univ. of N. Carolina at Chapel Hill	5-30781 amd 4	93			20,345
The Univ. of N. Carolina at Chapel Hill	5-30892 Amd. 4	93			298,292
The Univ. of N. Carolina at Chapel Hill	5-30986 Am 3	93			27,654
The Univ. of N. Carolina at Chapel Hill	5-31200 amd 4	93			10,019
The Univ. of N. Carolina at Chapel Hill	5-31275 amd 4	93			156,984
The Univ. of N. Carolina at Chapel Hill	5-31276 Amd. 4	93			53,384
The Univ. of N. Carolina at Chapel Hill	5-32044 Am 2	93			92,363
The Univ. of N. Carolina at Chapel Hill	5-32359	93			28,868
The Univ. of N. Carolina at Chapel Hill	5-32634 Amendment 1	93			5,515
The Univ. of N. Carolina at Chapel Hill	5-32812	93			99,180
The Univ. of N. Carolina at Chapel Hill	5-32837/5R01-AI064363-05	93			(7,084)
The Univ. of N. Carolina at Chapel Hill	5-35012	93			99,536
The Univ. of N. Carolina at Chapel Hill	5-51144 Year 4 #3	93			42,901
The Univ. of N. Carolina at Chapel Hill	5-56018 Amendment 9	93			694,895
The Univ. of N. Carolina at Chapel Hill	5-56019 Amendment 9	93			51,064
The Univ. of N. Carolina at Chapel Hill	Subaward no. 5-31529	93			139,021
The University of Alabama	000350693-002, AMD#2	93			5,168
The University of Alabama	000430850-004	93			194,098
The University of Alabama at Birmingham	000402758-001 Amend No. 4	93			720,438
The University of Alabama at Birmingham	000419431-003, Amend 7	93			41,086
The University of Alabama at Birmingham	No Award Number	93			2,506
The University of Alabama at Birmingham	Subcode003/Link265902 mod 5	93			1,119
The University of Alabama at Birmingham	UAB/NIH #N01-AI-30025/000189671-129	93			(216)
The University of Arizona	Y550687	93			18,466
The University of Arizona	Y600266	93			178,746
The University of Iowa	PO #1000806507 Amd 03	93			78,268
The University of Iowa	PO 1000976030/ReqID W000339447	93			1,361
The University of Iowa	PO 1001048336/Req IDW000392633	93			271,750
The University of Iowa	PO#1000993479/Grant No. 1 12472 00 01	93			22,500
The University of Iowa	Req ID W000392638/PO 1001048335	93			20,270
The University of Iowa	Req: W000426673/PO 1001111589	93			1,185
The University of Iowa	Subaward 1001059899/Req W000407746	93			71,374
The University of Iowa	W000316682, PO No. 1000950210 Amd 5	93			(212,303)
The University of Iowa	W000373760/1001013245, Amend 2	93			430,445

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The University of Kentucky Research Fdn.	3048107965-11-301, AMD #1	93	ARRA		\$ 3,432
The University of Kentucky Research Fdn.	3048108493-12-392 Am 1	93			18,021
The University of Toledo	N2013-05	93			18,054
The University of Toledo	NS2010-065 amend #2	93			24,364
The University of Utah	10011075-02/U01TW006671/0000144722 Am 05	93			98,546
The University of Utah	10018282-02 Amd 1	93			107,263
The University of Utah	10022504-11, Amend 2	93			26,323
The University of Utah	Subaward 10024523-01	93			29,366
The University of Vermont	22061SUB507475 Amend 16	93			133,582
The University of Vermont	26501SUB1245	93			114,179
Treatment Research Institute	UM027841.4 Amd. 5	93			357,534
Tufts Medical Center	5007383-SERV	93			12,040
Tufts Medical Center	5007630-SERV	93			60,870
Twin Star Medical, Inc.	5R44NS043832-06	93			43,909
Twin Star Medical, Inc.	Subaward #5R44NS043832-05	93			36,158
U of TX Health Science Ctr. at Houston	0004524E	93			547
U of TX Health Science Ctr. at Houston	0005718N/0005718Q/0008802C	93			2,874
U of TX Health Science Ctr. at Houston	0009546A	93			43,850
U of TX Health Science Ctr. at Houston	Subaward No. 0009443A	93			13,304
U of TX Health Science Ctr. at Houston	UTHSC-0000381373/0000440344/0000510049	93			(2,410)
Univ of Illinois at Urbana-Champaign	2011-01659-04(A1025)	93			95,210
Univ of Memphis	5-40118/PO 119119	93			19,685
Univ of Memphis	5-U01DA023812-04 (Amd 8)	93			(396)
Univ of Missouri	C00034047-1 Amend 2	93			122,412
Univ of Missouri	C00037413-1	93			185,372
Univ of Texas	0009445A	93			54,142
Univ of Texas	11111245/98015291 (33109/98015291)	93			18,341
Univ. of TX M.D. Anderson Cancer Center	PO#27668-2000/38556-2000 30619/98225311	93			(2,574)
University of Alaska Fairbanks	UAF 13-0081, PO FP31587 Mod. 1	93			35,634
University of California San Diego	PO 10294267-005	93			6,610
University of California San Diego	PO: S90000 34179874-SUB	93			48,911
University of California, Berkeley	00007317 Am 03	93			156,187
University of California, Berkeley	SA4651-29647PG Amend. 5	93			70
University of California, Davis	09-001208-1-UMN Amd 4	93			193,350
University of California, Davis	201122073-01	93			34,334
University of California, Irvine	2009-2262 Mod #3	93			22,671
University of California, Irvine	2009-2322 Amd. 2	93	ARRA		2,492
University of California, Irvine	2011-2707	93			17,884
University of California, Los Angeles	1370 G JB009 Am 1	93			8,548
University of California, Los Angeles	1370-G-FB014 AM#3	93			148
University of California, Los Angeles	1581 G JB150/ R01-EB007813	93			14,525
University of California, Los Angeles	1581 G MA203	93			7,190

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of California, Los Angeles	1581 G QA047	93			\$ 139,666
University of California, Los Angeles	Subaward 1580 G MD968 Amd. 3	93	ARRA		(1)
University of California, San Francisco	5890sc/U01NS053998	93			(10,570)
University of California, San Francisco	6026sc, Amend 4	93			(11,833)
University of California, San Francisco	6755sc/U19AI096109 Amd. 4	93			453,441
University of California, San Francisco	7433 sc/557838 — 30278	93			26,855
University of California, San Francisco	Agreement No. 107781	93			19,478
University of California, San Francisco	Subaward 7370sc/U54AI082973	93			6,657
University of California, San Francisco	Subcontract # 6347sc Amend 2	93			37,717
University of California, San Francisco	Subcontract No. 5930sc Amd. 2	93	ARRA		(131)
University of California, Santa Barbara	KK1332	93			5,202
University of Chicago	FP000348-8	93			54,059
University of Cincinnati	005712 Amend. No. 1	93			13,981
University of Colorado	FY13.264.001/Prime 1R01AI096966-01A1	93			37,648
University of Colorado	Subaward No. FY13.489.001	93			102,344
University of Connecticut	105511-UCHC6-30260572	93			284,406
University of Florida	UF11206	93			235,936
University of Kansas Medical Center	QC858380 PO # RINST-1000149878	93			17,312
University of Kansas Medical Center	QT81922J Amendment 8	93			1,823
University of Kentucky	3048108539-12-502	93			52,171
University of Maryland	SR00002587/Subaward No. 7808	93			154,787
University of Maryland	Z033301 Amd. A	93			219,189
University of Maryland	Z195701 — Mod: F	93			179,815
University of Massachusetts	6114215/RFS900201	93			23,795
University of Massachusetts	6148251/RFS2013111	93			7,399
University of Miami	1R01GM104975-01	93			39,395
University of Miami	66918Y	93			93,641
University of Miami	66971E	93			15,854
University of Miami	AB43024	93			17,831
University of Michigan	3000894033 Amd. 4	93			6,843
University of Michigan	3001109113 AMD No. 7	93			114,442
University of Michigan	3001413139-PNT Amend #34	93	ARRA		76,531
University of Michigan	3001942715 Amd 5	93			452,269
University of Michigan	3002166628	93			30,866
University of Michigan	MASTER-5000002947 Amendment 35	93			619,790
University of Michigan	Sub No. 3000998021/HD039386 Amd. 5	93			1,386
University of Michigan	Subaward No. 3002089159 Amend. 1	93			9,479
University of Mississippi Medical Center	66678010912-UM	93			14,903
University of Notre Dame	201528-MN Amend 03	93			(2,546)
University of Pennsylvania	546449/5R21-A1030040	93			(5,376)
University of Pennsylvania	553566 Mod. 3	93			6,187
University of Pennsylvania	553995	93			13,045

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Pennsylvania	556786 Amd. 7	93			\$ 708,358
University of Pennsylvania	557804	93			37,836
University of Pittsburgh	0029252 (121244-1) (Formerly 708046-1)	93			221,421
University of Pittsburgh	0029319 (120132-2) Am 2	93			427,655
University of Pittsburgh	5U54DA031659-02 (prev. 1P50DA031659-01)	93			875,765
University of Pittsburgh	Subaward No. 9004499/Project #115604-1	93			1,036
University of Rochester	413686-001-G/5-29148	93			2,901
University of Rochester	414771-G/R01MH083979	93			191,966
University of Rochester	5-28754/415897-G	93			7,001
University of Rochester	PO 414211-G/ R01-NS037167	93			(2)
University of Rochester	PO: 416080-6 UR Account: 527949	93			153
University of Rochester	Subaward No. 415747-G	93			140,393
University of Rochester	UR Account 5-25269	93			34,469
University of Rochester	UR Acct: 5-25498/NIH U01NS050095-06	93			439
University of South Florida	6119-1117-00-AI Mod. 02	93			13,341
University of Southern California	4487403	93			31,178
University of Southern California	H50655/5U24CA074799-13	93			22,030
University of Southern California	New: 700003340/ Old: 151327	93			132,069
University of Texas at Houston	Subaward #0008802T/2UM1HL087318	93			545
University of Toronto	489985/HG005853	93			69,043
University of Virginia	GC12035-136738	93			6,080
University of Virginia	ZC10075-139372, Amend 1	93	ARRA		10,119
University of Washington	561507, Modification No. 9	93			158,659
University of Washington	704641Z	93	ARRA		178,752
University of Washington	730958 amd #1	93			121,013
University of Washington	74538	93			165,150
University of Washington	748756	93			6,463
University of Washington	750106	93			9,464
University of Wisconsin-Madison	164K662 Amd. 4	93			127,600
University of Wisconsin-Madison	164K673 Amd. 3	93			70,654
University of Wisconsin-Madison	169K116 Amd 2	93			51,910
University of Wisconsin-Madison	169K164	93			(31,259)
University of Wisconsin-Madison	280K921/N01-CN-35153	93			125,490
University of Wisconsin-Madison	330K050	93			11,738
University of Wisconsin-Madison	346K253 Amd #2	93			120,298
University of Wisconsin-Madison	352K660 Amd #2	93			16,949
University of Wisconsin-Madison	392K081	93			163,084
University of Wisconsin-Madison	396K325 Am 1	93			7,385
University of Wisconsin-Madison	398K554/ 5P01AG020166-08	93			44,587
University of Wisconsin-Madison	424K023	93			780
University of Wisconsin-Madison	949N340	93			(39,756)
University of Wisconsin-Madison	Sub #: 116K340 Mod #4	93			42,551

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Wisconsin-Madison	subaward no. 339K194	93			\$ 168,247
University of Wisconsin-Madison	X195801, Amend 3	93			12,588
Valley Fever Solutions, Inc.	RC3MD-VF-UMN01/RC3MD005194-01	93	ARRA		80,268
Vanderbilt University	21822-S1	93			172,057
Vanderbilt University	VUMC 39096	93			(18,945)
Virginia Polytechnic Inst. & State Univ.	431696-19114 Mod 3	93			95,468
Wake Forest University	WFUHS 16923	93			13,606
Wake Forest University	WFUHS 330158 Amend 3	93			1,506,697
Wake Forest University	WFUHS 66687	93	ARRA		13,446
Wake Forest University	WFUHS10802 Amd 3	93			123,565
Wake Forest University	WFUHS14073, AMD #4	93			6,891
Washington University in St. Louis	WU-09-61, PO #2905161N, Amd #4	93			87,490
Washington University in St. Louis	WU-12-78/PO 2917172T Amend 2	93			28,038
Washington University in St. Louis	WU-13-125 & WU-13-129 & WU-14-02	93			1,816,610
Washington University in St. Louis	WU-13-194/PO2917201W	93			326,658
Wayne State University	WSU11077	93			14,769
Westat, Inc.	8906 — S06 Mod. 3	93			47,230
Wright State University	PSR07213 Amd. 2	93			45,482
Yale University	C13A11573(A09127)	93			20,817
Yale University	Sub No. A08504(M10A10407) Amd. 7	93			299,981
Yale University	VIRGO R01HL081153 Site 258	93			(56)
Department of Health and Human Services, Office of the Secretary					
Passthrough:					
Cellerant Therapeutics, Inc.	CLT008-02 Amd. 3	93			35,531
MN Department of Health	Contract No. 33847	93			23,930
Stanford University	2945156-104354-A Amd 2	93			14,916
Department of Health and Human Services, Substance Abuse and Mental Health Services Administration					
Direct		93			373,386
Department of Homeland Security					
Direct		97			4,542,242
Passthrough:					
Purdue University	4112-51774	97			47,642
Stanford University	28635340-103440-A	97			45,259
Texas A&M University	Subgrant 570446, Amendment 6	97			767
The University of Arizona	Y503224	97			73,704
University of Washington	696098 Mod. 24	97			11,997
Department of Housing and Urban Development, Office of Healthy Homes and Lead Hazard Control					
Passthrough:					
National Center for Healthy Housing, Inc.	NCHH-10-1183 Amend. No. 1	14			22,284
Department of Housing and Urban Development, Office of Policy Development and Research					
Direct		14			20,532

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Housing and Urban Development, Office of Sustainable Housing and Community					
Passthrough:					
MN Metropolitan Council	SG2011-037	14			\$ 36,577
Department of Justice					
Direct		16			214,741
Department of Justice, Violence Against Women Office					
Direct		16			18,316
Department of State					
Passthrough:					
International Joint Commission	1042-100764	19			34,695
International Joint Commission	1042-100766	19			40,336
Department of the Interior					
Direct		15			213,038
Department of the Interior, Fish and Wildlife Service					
Direct		15			375,041
Passthrough:					
MN Department of Natural Resources	Contract No. 45308/P.O. #3000017488	15			56,823
MN Department of Natural Resources	Contract No. 48239/P.O. #3000020626	15			40,413
Department of the Interior, National Park Service					
Direct		15			41,520
Department of the Interior, U.S. Geological Survey					
Direct		15			1,208,045
Passthrough:					
American View Incorporated	AV08-MN01/08HQGR0157 Mod10	15			13,652
University of Massachusetts	12-007036 B 00 Amend 1	15			87,231
Department of the Treasury					
Direct		21			25,636
Department of Transportation					
Direct		20			1,116,093
Passthrough:					
Battelle	216973	20			65,118
Battelle	US001-0000324988	20			9,997
MN Department of Transportation	89261 W.O. 146	20			44,346
MN Department of Transportation	89261 W.O. 170	20			32,249
MN Department of Transportation	89261 W.O. 222 Am 1	20			17,044
MN Department of Transportation	89261 W.O. 232	20			(821)
MN Department of Transportation	99008 W.O. 24	20			859,792
MN Department of Transportation	MnDOT Contract No 99008 WO No. 114	20			646
National Academy of Sciences	SHRP S-08(C) Mod 2 PO# SUB0000122	20			84,412
University of Wisconsin-Superior	144 051042 4	20			132,638
Westat, Inc.	8928-S-007 TO 1 mod 1	20			322,155

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Transportation, Federal Highway Administration (FHWA)					
Direct		20			\$ 316,665
Department of Transportation, Federal Motor Carrier Safety Administration (FMCSA)					
Passthrough:					
MaineWay Services	No Award Number	20			36,579
Department of Transportation, Research and Innovative Technology Administration					
Passthrough:					
South Dakota State University	3TH149/ DTOS59-07-G-00054 Amend. 4	20			143
South Dakota State University	3TK149/Roger Ruan Amendment 4	20			1,335
South Dakota State University	3TX149	20			42,328
South Dakota State University	3TZ149	20			17,227
Department of Veterans Affairs					
Direct		64			1,012,440
Environmental Protection Agency, Office of Chemical Safety and Pollution Prevention					
Direct		66			69,131
Passthrough:					
MN Department of Agriculture	Contract No. 51345/P.O. #3(5)106 Am 1	66			34,190
Environmental Protection Agency, Office of Enforcement and Compliance Assurance					
Passthrough:					
MN Department of Agriculture	Contract No. B55901	66			4,144
Environmental Protection Agency, Office of Research and Development (ORD)					
Direct		66			74,321
Passthrough:					
Oregon State University	E0158A-A	66			37,813
Univ of Illinois at Urbana-Champaign	2010-00920-01	66			76,485
University of Washington	120017 Am# 12	66			91,203
Environmental Protection Agency, Office of Solid Waste and Emergency Response					
Direct		66			10,884
Environmental Protection Agency, Office of the Administrator					
Passthrough:					
Grand Portage Band of Chippewa Indians	No Award Number	66			35,007
MN Pollution Control Agency	B47176 (Justin Watkins)	66			(724)
MN Pollution Control Agency	Contract No. 35699/CR#5233	66			12,500
Environmental Protection Agency, Office of Water					
Direct		66			1,028,514
Passthrough:					
Central Michigan University	No Award Number	66			394,274
City of Prior Lake	No Award Number	66			47,772
Great Lakes Observing System	GL00-E00636-0	66			98,732
MN Board of Water and Soil Resources	Contract No. B53614	66			117,410
MN Department of Agriculture	B48457	66			42,372
MN Department of Health	Cont. No. 45706/PO#3000006867 Am.1	66			10,432

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Pollution Control Agency	Agreement No. B20104	66			\$ 19,185
MN Pollution Control Agency	Agreement No. B20403	66			21,102
MN Pollution Control Agency	B05899	66			(1,007)
MN Pollution Control Agency	B43113	66			21,956
MN Pollution Control Agency	B43119	66			81,351
MN Pollution Control Agency	B43205 c.o. 1-3	66			103,442
MN Pollution Control Agency	B43845	66			29,647
MN Pollution Control Agency	B44876 Amendment 1	66			150,657
MN Pollution Control Agency	Contract No. 36547	66			119,435
MN Pollution Control Agency	Contract No. B41000/000024831	66			23,349
Medicare Payment Advisory Commission					
Direct		06			127,620
National Aeronautics and Space Administration					
Direct		43			3,794,446
Passthrough:					
ERC, Inc.	STR100206	43			106,197
Giner, Inc.	No Award Number	43			22,850
Johns Hopkins University	922613 Mod 36	43			2,060,262
Montclair State University	NNX11AM29K-UOM Amend 1	43			15,249
Planetary Skin Institute	No Award Number	43			365,410
Raytheon Company	PO 4400289265	43			1,318
South Dakota State University	3TG330 Amend. No. 1	43			18,716
Systems Technology, Inc.	No Award Number	43			27,286
Tao of Systems Integration, Inc.	NNX12CB02C/DFRC_UMIN3	43			146,100
The Ohio State University	60027268/RF01220512 Mod. 4	43			320,179
The University of North Dakota	1178-16116 Amendment 2	43			8,902
The University of North Dakota	UND0015364	43			(1,263)
Universities Space Research Association	08521-04, Mod 6	43			34,465
University of California, Berkeley	00007447/975268/BB00071821	43			461,992
University of California, Berkeley	SA4883-10778/NNG05GL27G	43			(16)
National Aeronautics and Space Administration, Space Telescope Science Institute					
Direct		43			182,716
National Endowment for the Humanities					
Direct		45			69,789
National Science Foundation					
Direct		47			65,473,725
Direct		47	ARRA		3,791,191
Passthrough:					
American Educational Research Assoc.	No Award Number	47			9,815
American Energy Technologies Company	PO 20130118001	47			19,245
California Institute of Technology	44E-1089729	47			1,342
California Institute of Technology	7E-1089377 Mod 3	47			182,491

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
California State University, Northridge	F-08-2347-1.0/40013948UM	47	ARRA		\$ 69,073
Carnegie Mellon University	1121550-259747	47			135,574
Colombia University	2 (GG002760) Am 3	47			86,752
Colombia University	3 GG002806	47			14,583
Computing Research Association	CIF-A-187 Amd. 1	47			38
Consortium for Ocean Leadership	BA-90	47			100
Cornell University	44771-7477	47			1,101,204
Cornell University	55838-8805, amd 4	47			94,373
Cornell University	61416-9595 Amend 2	47			129,642
Cornell University	67364-10063	47			8,026
Donald Danforth Plant Science Center	23202-MN	47			74,976
Georgia Institute of Technology	RC850-G3	47			18,135
Iowa State University	420-20-968	47			61,320
Iowa State University	420-20-96A	47			64,972
Purdue University	4101-31883 Amd 02	47			(206)
Purdue University	NEES-4101-31877 Am 22-23	47			1,016,480
Samuel Roberts Noble Fdn	2011-921-002 Amend 1	47			24,583
Science Museum of Minnesota	No Award Number	47			37,659
Stanford University	23378320-41572-A Amd. 2	47			4,116
Texas Tech University	21P225-01, amd 2	47			35,187
The Adler Planetarium	1266-CDIZCDF-04	47	ARRA		331,272
The University of Georgia	RC371-229-4941826	47			401,681
The University of Georgia	RR272-210/4689648 Amend 6	47			50,378
The University of Oklahoma	2009-03	47			274
The University of Texas — Pan American	41EMEC022-01	47			106,048
The University of Texas at Austin	UTA11-001083 am 1	47			40,118
United Science LLC	Prime # IIP-10587474	47			246,566
Univ of Illinois at Urbana-Champaign	2007-01077-33	47			63,998
Univ of Missouri	C00004079-2/DBI-0421619 Amd. 4	47			449
Univ of Texas	26-1003-8262	47			102,551
University of California San Diego	10303413-SUB Am 002	47	ARRA		53,794
University of California San Diego	29890093 poS9000016 am 1	47			24,100
University of Delaware	24057 Amend 2	47	ARRA		122,462
University of Florida	UF11211	47			31,255
University of Maryland	Z307501	47			15,980
University of Massachusetts Boston	S 2013 20541	47			3,707
University of Michigan	3002203945/EF-1115081	47			8,111
University of Michigan	3002559565	47			37,797
University of Michigan	PO 3001966818	47			2,573
University of New Hampshire	11-045	47			2,217
University of Notre Dame	PHY-1219444	47			2,667

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Pennsylvania	555729	47			\$ 283,856
University of Southern California	PO number 164496/10012228	47			39,423
University of Washington	565206 Mod. 3	47			(467)
University of Washington	SA9-09 MOD 32	47			17,438
University of Wisconsin-Madison	194K902	47			55,290
University of Wisconsin-Madison	416K220/ CHE-1240151	47			18,021
US Civilian Research & Development Fdn.	UKM1-2973-DO-09	47			2,987
Whitehead Inst. for Biomedical Research	14-1699-9902 Amendment 1	47			<u>66,121</u>
Total Research and Development Cluster					<u>506,138,634</u>
STUDENT FINANCIAL AID CLUSTER:					
Department of Education					
Direct		84.379		Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	258,676
Department of Education, Office of Student Financial Assistance Programs					
Direct		84.007		Federal Supplemental Educational Opportunity Grants	4,350,845
Direct		84.033		Federal Work-Study Program	3,431,955
Direct		84.063		Federal Pell Grant Program	45,418,264
Direct		84.268		Federal Direct Student Loans	407,238,177
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.342		Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	<u>3,991,541</u>
Total Student Financial Aid Cluster					<u>464,689,458</u>
CCDF CLUSTER:					
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
MN Department of Human Services	Contract No. 441437 (Non-ARRA Funding)	93.575		Child Care and Development Block Grant	453,613
MN Department of Human Services	Contract No. PTK%43868 Am 2	93.575		Child Care and Development Block Grant	<u>65,887</u>
Total CCDF Cluster					<u>519,500</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
CHILD NUTRITION CLUSTER:					
Department of Agriculture, Food and Nutrition Service					
Passthrough:					
MN Department of Education	No Award Number	10.559		Summer Food Service Program for Children	\$ 8,870
Total Child Nutrition Cluster					<u>8,870</u>
ECONOMIC DEVELOPMENT CLUSTER:					
Department of Commerce, Economic Development Administration					
Direct					
		11.307		Economic Adjustment Assistance	<u>338,836</u>
Total Economic Development Cluster					<u>338,836</u>
FEDERAL TRANSIT CLUSTER:					
Department of Transportation, Federal Transit Administration (FTA)					
Passthrough:					
MN Metropolitan Council	SG-2011-049	20.507		Federal Transit_Formula Grants	<u>413</u>
Total Federal Transit Cluster					<u>413</u>
FISH AND WILDLIFE CLUSTER:					
Department of the Interior, Fish and Wildlife Service					
Passthrough:					
Florida Fish & Wildlife Conservation Com	FWC Contract No. 08038 Amend 1	15.605		Sport Fish Restoration Program	<u>3,833</u>
Total Fish and Wildlife Cluster					<u>3,833</u>
HEALTH CENTERS CLUSTER					
Department of Health and Human Services, Health Resources and Services Administration					
Direct					
		93.224		Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)	<u>790,709</u>
Total Health Centers Cluster					<u>790,709</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
HIGHWAY PLANNING AND CONSTRUCTION CLUSTER:					
Department of Transportation, Federal Highway Administration (FHWA)					
Passthrough:					
Iowa State University	404-17-05 am 1	20.205		Highway Planning and Construction	\$ 7,890
Total Highway Planning and Construction Cluster					<u>7,890</u>
HIGHWAY SAFETY CLUSTER:					
Department of Transportation, National Highway Traffic Safety Administration (NHTSA)					
Passthrough:					
Duluth Police Dept	21780	20.600		State and Community Highway Safety	7,977
Duluth Police Dept	Grant No. C21543 — 2012	20.600		State and Community Highway Safety	(176)
MN Department of Public Safety	ADMIN13-2013-UMCTS-00002 (P.O. #3-14494)	20.600		State and Community Highway Safety	<u>56,598</u>
Total Highway Safety Cluster					<u>64,399</u>
JAG PROGRAM CLUSTER:					
Department of Justice					
Passthrough:					
Legal Rights Center, Incorporated	Subaward No.1;Prime#A-JAG-2011-LRC-00014	16.803	ARRA	Recovery Act — Eward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	<u>12,326</u>
Total JAG Program Cluster					<u>12,326</u>
MEDICAID CLUSTER:					
Department of Health and Human Services, Centers for Medicare and Medicaid Services					
Passthrough:					
MN Department of Health	Contract No. 57532	93.778		Medical Assistance Program	<u>3,319</u>
Total Medicaid Cluster					<u>3,319</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
SNAP CLUSTER:					
Department of Agriculture, Food and Nutrition Service					
Passthrough:					
MN Department of Human Services	37219/3000005633	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	\$ 2,941,882
MN Department of Human Services	438697/A3000008594	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	(24)
MN Department of Human Services	Contract No. 52735/P.O. #3000013603	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	<u>4,931,841</u>
Total SNAP Cluster					<u>7,873,699</u>
SPECIAL EDUCATION CLUSTER (IDEA):					
Department of Education, Office of Special Education and Rehabilitative Services					
Passthrough:					
MN Department of Education	41990/2012-00349	84.027		Special Education_Grants to States	79,539
MN Department of Education	B48237/UFARS 824/Am. 2/2013-00268	84.173		Special Education_Preschool Grants	10,012
MN Department of Education	B48238/UFARS 824/Grant 2010-00197	84.173		Special Education_Preschool Grants	9,489
MN Department of Education	B49538/34373	84.027		Special Education_Grants to States	11,592
MN Department of Education	Grant No. B43118/14480	84.173		Special Education_Preschool Grants	<u>105,599</u>
Total Special Education Cluster (IDEA)					<u>216,231</u>
TANF CLUSTER:					
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
MN Department of Health	Grant No. B31307 AMD No. 2	93.558		Temporary Assistance for Needy Families	<u>260,751</u>
Total TANF Cluster					<u>260,751</u>
TRIO CLUSTER:					
Department of Education, Office of Postsecondary Education					
Direct		84.042		TRIO_Student Support Services	325,880
Direct		84.047		TRIO_Upward Bound	1,147,412
Direct		84.217		TRIO_McNair Post-Baccalaureate Achievement	<u>281,557</u>
Total TRIO Cluster					<u>1,754,849</u>

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
WIA CLUSTER:					
Department of Labor, Employment Training Administration					
Passthrough:					
MN Dept. of Employment & Economic Dev.	Contract No. B32084	17.258		WIA Adult Program	\$ (1,016)
Total WIA Cluster					(1,016)
Total Clustered Programs					982,682,701
Nonclusters					
PUBLIC SERVICE:					
Agency for International Development					
Passthrough:					
American Council on Education	HED076-9751-LAC-12-07	98.012		USAID Development Partnerships for University Cooperation and Development	77,863
Chemonics International, Inc.	AID-611-C-12-00001-UMN	98.CXX		Contract-United States Agency for International Development	200,302
Development Alternatives, Inc.	GHN-A-00-09-00015-00 AMD 4	98.CXX		Contract-United States Agency for International Development	3,025,429
Corporation for National and Community Service					
Direct		94.002		Retired and Senior Volunteer Program	55,961
Passthrough:					
MN Pollution Control Agency	Contract No. 52438/P.O. #3000005191	94.006		AmeriCorps	5,448
MN Pollution Control Agency	CR# 5213, SWIFT# 35363	94.006		AmeriCorps	2,214
Department of Agriculture					
Direct		10.CXX		Contract-Department of Agriculture	49,869
Direct		10.UXX		Unid CFDA-Department of Agriculture	610,608
Passthrough:					
Iowa State University	PO# I2 94370 00	10.UXX		Unid CFDA-Department of Agriculture	4,976
Sugarloaf: The N Shore Stewardship Assoc	No Award Number	10.CXX		Contract-Department of Agriculture	441
Department of Agriculture, Agricultural Marketing Service					
Passthrough:					
MN Department of Agriculture	54791/3000005959/Brian Erickson	10.170		Specialty Crop Block Grant Program — Farm Bill	11,515
MN Department of Agriculture	Contract No. 36342	10.170		Specialty Crop Block Grant Program — Farm Bill	52,554
MN Department of Agriculture	Contract No. 54505/P.O. #3000005819	10.170		Specialty Crop Block Grant Program — Farm Bill	12,190
Sustainable Farming Association of MN	No Award Number	10.168		Farmers' Market Promotion Program	14,295
Department of Agriculture, Agricultural Research Service					
Direct		10.001		Agricultural Research_Basic and Applied Research	17,928

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, Animal and Plant Health Inspection Service					
Direct		10.025		Plant and Animal Disease, Pest Control, and Animal Care	\$ 998,406
Passthrough:					
Kansas State University	S11105 Mod #1	10.025		Plant and Animal Disease, Pest Control, and Animal Care	931
Minnesota Board of Animal Health	Contract No. 37635	10.025		Plant and Animal Disease, Pest Control, and Animal Care	49,900
WI Tribal Conservation Advisory Council	WTCAC/10-9655-1040CA	10.025		Plant and Animal Disease, Pest Control, and Animal Care	7,427
Department of Agriculture, Economic Research Service					
Direct		10.250		Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations	86,327
Department of Agriculture, Farm Service Agency					
Direct		10.435		State Mediation Grants	447,483
Department of Agriculture, Food Safety and Inspection Service					
Direct		10.479		Food Safety Cooperative Agreements	519,067
Department of Agriculture, Foreign Agricultural Service					
Direct		10.777		Norman E. Borlaug International Agricultural Science and Technology Fellowship	13,857
Direct		10.960		Technical Agricultural Assistance	127,201
Direct		10.962		Cochran Fellowship Program-International Training-Foreign Participant	35,828
Passthrough:					
CIMMYT Int'l Maize & Wheat Improvement	No Award Number	10.777		Norman E. Borlaug International Agricultural Science and Technology Fellowship	21,700
Department of Agriculture, Forest Service					
Direct		10.688	ARRA	Recovery Act of 2009: Wildland Fire Management	6,639
Passthrough:					
MN Department of Natural Resources	55690	10.664		Cooperative Forestry Assistance	54,116
MN Department of Natural Resources	B45597	10.664		Cooperative Forestry Assistance	(8)
MN Department of Natural Resources	Contract No. 33619	10.680		Forest Health Protection	18,626
MN Department of Natural Resources	Contract No. 39711	10.664		Cooperative Forestry Assistance	104,529
State of MN	Contract No. 40900/P.O. #3000013309	10.664		Cooperative Forestry Assistance	4,600
State of MN	Contract No. 41452	10.678		Forest Stewardship Program	13,397
Department of Agriculture, National Institute of Food and Agriculture					
Direct		10.200		Grants for Agricultural Research, Special Research Grants	5,000
Direct		10.210		Food and Agricultural Sciences National Needs Graduate Fellowship Grants	132,639
Direct		10.215		Sustainable Agriculture Research and Education	716,514
Direct		10.303		Integrated Programs	232,619

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		10.304		Homeland Security_Agricultural	\$ 40,020
Direct		10.315	ARRA	Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF)	5,735,848
Direct		10.319		Farm Business Management and Benchmarking Competitive Grants Program	534,482
Direct		10.500		Cooperative Extension Service	12,352,166
Passthrough:					
Cornell University	61384-9313	10.303		Integrated Programs	63,863
Iowa State University	410-30-30 /2011-51150-30887	10.200		Grants for Agricultural Research, Special Research Grants	2,558
Iowa State University	416-30-13A	10.500		Cooperative Extension Service	420
Kansas State University	S08038 Modification 5	10.500		Cooperative Extension Service	150
Kansas State University	S10189.04	10.206		Grants for Agricultural Research_Competitive Research Grants	66,800
Kansas State University	S11005	10.206		Grants for Agricultural Research_Competitive Research Grants	1,798
Kansas State University	S11189	10.500		Cooperative Extension Service	147,114
Kansas State University	S12042/2010-48661-21868 prime	10.500		Cooperative Extension Service	60,829
Kansas State University	S12195	10.500		Cooperative Extension Service	33,925
Michigan State University	61-4141J, Mod. 3	10.200		Grants for Agricultural Research, Special Research Grants	898
North Central Risk Mgmt Education Center	25-6324-0097-309	10.500		Cooperative Extension Service	780
Purdue University	8000041931-AG Amd. 2	10.310		Agriculture and Food Research Initiative (AFRI)	18,889
The University of Arizona	PO 20308/2011-48745-31186	10.500		Cooperative Extension Service	19,223
Univ of Illinois at Urbana-Champaign	2012-00748-02 Amend 1	10.303		Integrated Programs	4,782
Univ of Missouri	C00035385-9	10.319		Farm Business Management and Benchmarking Competitive Grants Program	51,746
University of Nebraska-Lincoln	25-6321-0212-006, am 1	10.310		Agriculture and Food Research Initiative (AFRI)	140,373
University of Nebraska-Lincoln	25-6324-0097-412	10.500		Cooperative Extension Service	11,614
University of Nebraska-Lincoln	25-6324-0097-416 Amd #1	10.500		Cooperative Extension Service	47,548
University of Nebraska-Lincoln	25-6329-0059-006	10.500		Cooperative Extension Service	114,525
University of Nebraska-Lincoln	No Award Number	10.500		Cooperative Extension Service	8,627
University of Wisconsin-Madison	105K151, am 7	10.303		Integrated Programs	35,471
University of Wisconsin-Madison	345K284/2011-51130-31148 prime	10.303		Integrated Programs	5,028
University of Wisconsin-Madison	351K201	10.500		Cooperative Extension Service	82
University of Wisconsin-Madison	442K083	10.500		Cooperative Extension Service	52,110
Department of Agriculture, Natural Resources Conservation Service					
Passthrough:					
MN Department of Agriculture	Contract No. 55496/P.O. #3000006186	10.902		Soil and Water Conservation	4,026
University of Wisconsin Extension	363K893/68-3A75-11-268 prime	10.902		Soil and Water Conservation	224,358

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Commerce					
Direct		11.CXX		Contract-Department of Commerce	\$ 100,078
Passthrough:					
Limno-Tech, Inc.	No Award Number	11.CXX		Contract-Department of Commerce	(748)
Department of Commerce, Economic Development Administration					
Direct		11.303		Economic Development_Technical Assistance	103,398
Passthrough:					
Harvard University	Con05-137270	11.303		Economic Development_Technical Assistance	18,319
Department of Commerce, National Oceanic and Atmospheric Administration (NOAA)					
Direct		11.417		Sea Grant Support	830,613
Passthrough:					
Minnesota's Lake Superior Coastal Prog.	12-306-11 51057 PO 3-25441	11.419		Coastal Zone Management Administration Awards	9,479
Oregon State University	NA221A-A, Amendment 2	11.431		Climate and Atmospheric Research	1,190
The Ohio State University	60027745, P.O. RF01225330, Amend. 1	11.473		Coastal Services Center	17,201
The Ohio State University	60032789	11.417		Sea Grant Support	17,079
The Ohio State University	Sub 6003744, PO# RF01307854	11.417		Sea Grant Support	11,626
Univ of Illinois at Urbana-Champaign	2010-03074-03 Amend. 1	11.417		Sea Grant Support	698
University of Michigan	P.O. 3001700287, Amend. 1	11.473		Coastal Services Center	123
University of Wisconsin-Madison	343K523	11.417		Sea Grant Support	36,290
University of Wisconsin-Madison	Sub No 256K270 amend 01	11.417		Sea Grant Support	11,344
Department of Commerce, National Telecommunications and Information Administration					
Direct		11.557	ARRA	Broadband Technology Opportunities Program (BTOP)	679,651
Passthrough:					
Blandin Foundation	U20010-0001	11.557	ARRA	Broadband Technology Opportunities Program (BTOP)	105,354
Blandin Foundation	U2010-0014/27-43-B10515	11.557	ARRA	Broadband Technology Opportunities Program (BTOP)	68,218
Department of Defense					
Direct		12.CXX		Contract-Department of Defense	160,969
Passthrough:					
Thermacore, Inc.	UMN-15068 nce	12.CXX		Contract-Department of Defense	11,337
Department of Defense, Department of the Air Force, Materiel Command					
Direct		12.800		Air Force Defense Research Sciences Program	(3,809)
Department of Defense, Department of the Navy, Office of the Chief of Naval Research					
Direct		12.300		Basic and Applied Scientific Research	382,695
Department of Defense, National Security Agency					
Direct		12.900		Language Grant Program	13,488
Department of Defense, Office of the Secretary of Defense					
Passthrough:					
Institute of International Education	NSEP-U631073-UMN-CARLA	12.550		The Language Flagship Grants to Institutions of Higher Education	52,436

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Defense, U.S. Army Materiel Command					
Direct		12.431		Basic Scientific Research	\$ (800)
Department of Education					
Passthrough:					
American Institutes for Research	00651-03014.001/PO12SRT10024 Mod 3	84.UXX		Unid CFDA-Department of Education	67,921
Buble Aiken Foundation	No Award Number	84.UXX		Unid CFDA-Department of Education	(3,307)
Council of Chief State School Officers	No Award Number	84.UXX		Unid CFDA-Department of Education	9,778
Hopkins Public Schools ISD 270	No Award Number	84.CXX		Contract-Department of Education	26,807
MN Department of Education	58144	84.412		Race to the Top Early Learning Challenge	22,083
Wisconsin Dept of Public Instruction	PO # PAJ000470/PAA000127/PAB000005	84.CXX		Contract-Department of Education	504
Department of Education, Institute of Education Sciences					
Direct		84.305		Education Research, Development and Dissemination	374,884
Direct		84.324		Research in Special Education	200,981
Passthrough:					
Northwestern University	SP00020027-PROJ0005355	84.305		Education Research, Development and Dissemination	13,110
SRI International	51-001253	84.324		Research in Special Education	44,446
Univ of Illinois at Urbana-Champaign	2011-06649-01-00	84.324		Research in Special Education	30,520
Department of Education, Office of Educational Research and Improvement					
Passthrough:					
MN Department of Education	B49350	84.287		Twenty-First Century Community Learning Centers	49,645
YMCA of the Greater Twin Cities	No Award Number	84.287		Twenty-First Century Community Learning Centers	2,316
Department of Education, Office of Elementary and Secondary Education					
Passthrough:					
MN Office of Higher Education	57389/1720	84.367		Improving Teacher Quality State Grants	14,892
MN Office of Higher Education	58012	84.367		Improving Teacher Quality State Grants	7,792
MN Office of Higher Education	58031	84.367		Improving Teacher Quality State Grants	18,640
MN Office of Higher Education	58035	84.367		Improving Teacher Quality State Grants	9,295
MN Office of Higher Education	Contract No. 40928	84.367		Improving Teacher Quality State Grants	91,884
MN Office of Higher Education	Contract No. 40942/30526	84.367		Improving Teacher Quality State Grants	35,276
MN Office of Higher Education	Contract No. B52353	84.367		Improving Teacher Quality State Grants	916
MN Office of Higher Education	Grant No. B52351, am1	84.367		Improving Teacher Quality State Grants	2,950
MN Office of Higher Education	SWIFT No. 58034	84.367		Improving Teacher Quality State Grants	781
State of MN	Contract No. B54419 Amend. No. 1	84.368		Grants for Enhanced Assessment Instruments	582,767
Department of Education, Office of Innovation and Improvement					
Passthrough:					
Cloquet Public Schools ISD 94	Amend. #1	84.351		Arts in Education	84,105
Saint Paul Public Schools ISD 625	PO No. 1054982	84.363		School Leadership	81,012

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Education, Office of Postsecondary Education					
Direct		84.015		National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	\$ 597,440
Direct		84.017		International Research and Studies	26,370
Direct		84.116		Fund for the Improvement of Postsecondary Education	199,404
Direct		84.200		Graduate Assistance in Areas of National Need	35,341
Direct		84.220		Centers for International Business Education	175,136
Passthrough:					
Fond du Lac Tribal & Community College	PO No. 15948, 16572, 18295, 20853	84.031		Higher Education_Institutional Aid	28,653
Minnesota State Colleges & Universities	P.O: 34309, 38450, 38449, 40102, 41796	84.031		Higher Education_Institutional Aid	22,139
MN Office of Higher Education	60399	84.334		Gaining Early Awareness and Readiness for Undergraduate Programs	900
Univ of Illinois at Urbana-Champaign	2008-05362-01 Amendment 2	84.116		Fund for the Improvement of Postsecondary Education	7,512
Department of Education, Office of Safe and Drug-Free Schools					
Passthrough:					
Constitutional Rights Fdn	No Award Number	84.304		Civic Education — We the People and the Cooperative Education Exchange Program	80,414
Department of Education, Office of Special Education and Rehabilitative Services					
Direct		84.133		National Institute on Disability and Rehabilitation Research	289,196
Direct		84.235		Rehabilitation Services Demonstration and Training Programs	32,356
Direct		84.325		Special Education — Personnel Development to Improve Services and Results for Children with Disabilities	196,815
Direct		84.326		Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	2,355,667
Direct		84.327		Special Education_Technology and Media Services for Individuals with Disabilities	389,038
Direct		84.373		Special Education_Technical Assistance on State Data Collection	8,520,646
Passthrough:					
Alabama Department of Education	C8U0615	84.373		Special Education_Technical Assistance on State Data Collection	(194)
MN Department of Education	B52449 (2011-00450) Amd. 3	84.323		Special Education — State Personnel Development	16,487

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Department of Education	Contract No. B31521	84.326		Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	\$ (57)
MN Department of Education	Contract No. B52286/2697	84.181		Special Education-Grants for Infants and Families	93,656
Salus University	83401	84.325		Special Education — Personnel Development to Improve Services and Results for Children with Disabilities	69,525
Department of Education, Office of Vocational and Adult Education					
Passthrough:					
MN Department of Education	52368	84.048		Career and Technical Education — Basic Grants to States	50,599
MN Department of Education	EGrant No. 2012-00094 (Contract #35650)	84.048		Career and Technical Education — Basic Grants to States	10,706
University of Louisville	ULRF 08-0181-02	84.051		Career and Technical Education — National Programs	75,907
University of Louisville	ULRF 08-0181-07 Amd. No. 10	84.051		Career and Technical Education — National Programs	19,888
Department of Energy					
Direct		81.049		Office of Science Financial Assistance Program	727
Direct		81.122	ARRA	Electricity Delivery and Energy Reliability, Research, Development and Analysis	814,217
Direct		81.UXX		Unid CFDA-Department of Energy	15
Passthrough:					
Amer Iron and Steel Inst	No Award Number	81.CXX		Contract-Department of Energy	22,671
MN Department of Commerce	40894 PO #1080	81.128	ARRA	Energy Efficiency and Conservation Block Grant Program (EECBG)	151,697
MN Department of Commerce	57842/PO 2791	81.119		State Energy Program Special Projects	3,587
MN Department of Commerce	Contract No. 34569, P.O. #560	81.041	ARRA	State Energy Program	19,455
MN Department of Commerce	Contract No. B55605	81.CXX		Contract-Department of Energy	8,116
Department of Energy, National Nuclear Security Administration					
Direct		81.C03		Contract-Department of Energy-Sandia National Laboratory	10,000
Department of Energy, Office of Energy Efficiency and Renewable Energy					
Direct		81.C09		Contract-Department of Energy-National Renewable Energy Laboratory	34
Department of Energy, Office of Science					
Passthrough:					
Battelle	Subcontract 4000108966	81.C10	ARRA	Contract-Department of Energy-Oak Ridge National Laboratory	26,207
University of California, Berkeley	6896814	81.C08		Contract-Department of Energy-Lawrence Berkley National Laboratory	93,691

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services					
Direct		93.CXX		Contract-Department of Health and Human Services	\$ 338,105
Direct		93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	156,661
Passthrough:					
Assn of Schools of Pub Health	No Award Number	93.UXX		Unid CFDA-Department of Health and Human Services	4,500
Assoc. of Maternal & Child Health Prog.	2012-03-0312-02	93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	14,125
Cellerant Therapeutics, Inc.	Protocol No. MT 2008-38	93.CXX		Contract-Department of Health and Human Services	115,682
Fairview Health Services	H30MC00032/H30MC24052/FV20009-201	93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	9,124
Fond Du Lac Band of Lk Superior Chippewa	No Award Number	93.UXX		Unid CFDA-Department of Health and Human Services	50,960
MN Department of Human Services	433288	93.CXX		Contract-Department of Health and Human Services	(28)
RAND Corporation	9920120003	93.CXX		Contract-Department of Health and Human Services	158,734
The Lewin Group	TLG-08-0046-4565.03	93.CXX		Contract-Department of Health and Human Services	20,618
The Lewin Group	TLG-11-040-5535	93.CXX		Contract-Department of Health and Human Services	13,843
The Lewin Group	TLG-11-073-5381.02 Mod. No. 1	93.CXX		Contract-Department of Health and Human Services	95,975
Department of Health and Human Services, Administration for Children and Families					
Direct		93.592		Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants	302,951
Passthrough:					
Hennepin County	UM1004, PO #127859	93.C01		Contract-Department of Health and Human Services-Administration for Children and Families	101,883
MN Department of Human Services	GRK% 30794 Am 1	93.603		Adoption Incentive Payments	137,218
Ramsey County	90CA1753/01	93.670		Child Abuse and Neglect Discretionary Activities	91,609
University of Massachusetts	S20090000009663 Amd. 5	93.C01		Contract-Department of Health and Human Services-Administration for Children and Families	48,899

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services, Administration for Community Living					
Direct		93.631		Developmental Disabilities Projects of National Significance	\$ 322,517
Direct		93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	593,948
Passthrough: Louisiana State University	No Award Number	93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	6,794
The Ohio State University	60035614	93.630		Developmental Disabilities Basic Support and Advocacy Grants	2,790
Univ of Missouri	29466/00032433	93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	7,152
Department of Health and Human Services, Administration on Aging					
Passthrough: Nat'l Assn of State Dir of Dev Dis Svcs	No Award Number	93.C02		Contract-Department of Health and Human Services-Administration on Aging	7,374
Department of Health and Human Services, Agency for Healthcare Research and Quality					
Direct		93.226		Research on Healthcare Costs, Quality and Outcomes	93,066
Direct		93.C03		Contract-Department of Health and Human Services-Agency for Health Care Policy and Research	29,062
Passthrough: Health Research & Educational Trust	HHS A2902010000251/80797	93.C03		Contract-Department of Health and Human Services-Agency for Health Care Policy and Research	50,376
Department of Health and Human Services, Centers for Disease Control and Prevention					
Direct		93.067		Global AIDS	441,401
Direct		93.069		Public Health Emergency Preparedness	643,677
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	244,653
Direct		93.C05		Contract-Department of Health and Human Services-Centers for Disease Control	891,191
Direct		93.C06		Contract-Department of Health and Human Services-Centers for Disease Control-NIOSH	14,709
Passthrough: American Psychological Association	SESRCD Mini-Grant	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	2,160

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Assn of St & Territorial Hlth Officials	16007-2 AMD No. 1	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	\$ 298,000
Assn of St & Territorial Hlth Officials	contract 155/project 16007-16012/PO 2333	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	14,136
Council of St & Territorial Epidemiologists	CIFOR Guidelines — CSTE	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	3,500
Council of St & Territorial Epidemiologists	CIFOR Indicator — CSTE	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	50,000
Council of St & Territorial Epidemiologists	CSTE 5U38HM000414	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	4,999
Great Lakes Hemophilia Fdn	GLHF/CDC 1U01-DD000197-05	93.C06		Contract-Department of Health and Human Services-Centers for Disease Control-NIOSH	106
MN Department of Health	#56159/PO#3000011524	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	3,710
MN Department of Health	B48441 Amd. 4	93.724	ARRA	ARRA — Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA)	69,626
MN Department of Health	Contract # 56464/P.O.# 3000011678	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	34,053
MN Department of Health	Contract No. 40403	93.268		Immunization Cooperative Agreements	115
MN Department of Health	Contract No. B24530 Amendment 3 (B41647)	93.C05		Contract-Department of Health and Human Services-Centers for Disease Control	984
MN Department of Health	Contract No. B39297/B52670	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	(2,669)
MN Department of Health	Contract No.46758/PO#3000007296 MDH	93.531		PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants — financed solely by 2012 Prevention and Public Health Funds	331,322
MN Department of Health	PO B37703/B48256	93.940		HIV Prevention Activities_Health Department Based	43,129
PA Coalition Against Domestic Violence	20098430-A01 — 2	93.C06		Contract-Department of Health and Human Services-Centers for Disease Control-NIOSH	34,126
State of Oregon	Grant Number 141861	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	513
Teenwise Minnesota	MOAPPP/CDC 5U58DP524993-05	93.946		Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs	(1,345)
Department of Health and Human Services, Centers for Medicare and Medicaid Services Direct		93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	3,204,956

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		93.C07	ARRA	Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	\$ 269,478
Passthrough: Minnesota Hospital Association	HEN Contract	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	10,389
MN Department of Human Services	55729	93.536		The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project	33,450
MN Department of Human Services	Contract No. 50470/P.O. #3000012297	93.791		Money Follows the Person Rebalancing Demonstration	421,029
National Opinion Research Center	Subcontract No. 7409.SHA.01	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	156,287
The Lewin Group	TLG-011-014-5496.01.03	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	52,902
Department of Health and Human Services, Food and Drug Administration					
Direct		93.103		Food and Drug Administration_Research	459,100
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.107		Area Health Education Centers Point of Service Maintenance and Enhancement Awards	819,308
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	347,591
Direct		93.155		Rural Health Research Centers	643,765
Direct		93.211		Telehealth Programs	217,017
Direct		93.241		State Rural Hospital Flexibility Program	1,118,604
Direct		93.516		Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems Support	655,408
Direct		93.526		Affordable Care Act (ACA) Grants for Capital Development in Health Centers	983,229
Direct		93.622		Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice	361,451
Direct		93.969		PPHF-2012 Geriatric Education Centers	428,520
Direct		93.C09		Contract-Department of Health and Human Services-Health Resources and Services Administration	85,331

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Passthrough:					
Avera Cancer Institute	No Award Number	93.211		Telehealth Programs	\$ 4
Michigan Public Health Institute	K-38821-115-504200	93.110		Maternal and Child Health Federal Consolidated Programs	(163)
Minneapolis Medical Research Foundation	HHS250201000018C	93.C09		Contract-Department of Health and Human Services-Health Resources and Services Administration	159,293
MN Department of Health	2012-2015 Oral Health Workforce — Karp	93.236		Grants to States to Support Oral Health Workforce Activities	641
MN Department of Health	B43207	93.236		Grants to States to Support Oral Health Workforce Activities	2,126
MN Department of Health	B43208	93.236		Grants to States to Support Oral Health Workforce Activities	33,194
MN Department of Health	B43262	93.236		Grants to States to Support Oral Health Workforce Activities	9,118
MN Department of Human Services	Contract No. 31387	93.256		State Health Access Program	87,352
MN Department of Human Services	Contract No. 442539 Amendment No. 1	93.256		State Health Access Program	(42)
MN Department of Human Services	Contract No. 47964/P.O. 3000010630	93.917		HIV Care Formula Grants	164,460
Northeast MN Area Health Education Ctr.	Subaward UMN005	93.912		Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	7,308
Department of Health and Human Services, National Institutes of Health					
Direct		93.242		Mental Health Research Grants	36,113
Direct		93.389		National Center for Research Resources	54,540
Direct		93.397		Cancer Centers Support Grants	17,833
Direct		93.701	ARRA	Trans-NIH Recovery Act Research Support	66,734
Direct		93.847		Diabetes, Digestive, and Kidney Diseases Extramural Research	26,431
Direct		93.853		Extramural Research Programs in the Neurosciences and Neurological Disorders	28,301
Direct		93.865		Child Health and Human Development Extramural Research	16,000
Direct		93.866		Aging Research	7,318
Direct		93.C11		Contract-Department of Health and Human Services-National Institutes of Health	182,248
Passthrough:					
Axio Res Corp	No Award Number	93.C11		Contract-Department of Health and Human Services-National Institutes of Health	15,760
Beckman Res. Inst. of the City of Hope	Agreement No. 22102.944847.6560	93.C11		Contract-Department of Health and Human Services-National Institutes of Health	(9,970)
Brigham and Women	CALGB	93.U01		Unid CFDA-Department of Health and Human Services-NIH	18,694

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Harvard University	111054-0033 Amd. 3	93.701	ARRA	Trans-NIH Recovery Act Research Support	\$ 4,635
Minneapolis Medical Research Foundation	RELAX Trial, Site No. 503	93.C11		Contract-Department of Health and Human Services-National Institutes of Health	720
Northwestern University	SP0019995-PROJ0005454 Am. 1	93.865		Child Health and Human Development Extramural Research	17,570
SAIC Frederick, Inc.	29X128TO2, AMD No. 1	93.C11		Contract-Department of Health and Human Services-National Institutes of Health	(1,000)
The EMMES Corporation	No Award Number	93.C11		Contract-Department of Health and Human Services-National Institutes of Health	(1)
University of California, Los Angeles	1460GPA300/4-441407-RE-29387	93.838		Lung Diseases Research	54,262
University of Illinois at Chicago	2010-06621/HHSN-276-2011-00005C prime	93.879		Medical Library Assistance	5,795
Department of Health and Human Services, Office of the Secretary					
Passthrough:					
MN Department of Health	Grant No. 37107	93.500		Pregnancy Assistance Fund Program	86,795
Nat'l Assoc. of Cty. & City Health Off.	MRC 13-0004	93.008		Medical Reserve Corps Small Grant Program	4,000
Department of Health and Human Services, Substance Abuse and Mental Health Services Administration					
Direct		93.243		Substance Abuse and Mental Health Services_Projects of Regional and National Significance	432,974
Department of Homeland Security					
Direct		97.CXX		Contract-Department of Homeland Security	245,955
Passthrough:					
MN Dept. of Homeland Sec. & Emer. Mgmt.	59726	97.039		Hazard Mitigation Grant	8,068
MN Dept. of Homeland Sec. & Emer. Mgmt.	B35570	97.CXX		Contract-Department of Homeland Security	14,366
MN Dept. of Homeland Sec. & Emer. Mgmt.	B51005	97.039		Hazard Mitigation Grant	6,708
MN Dept. of Homeland Sec. & Emer. Mgmt.	Grant No. 2010-CCP-00749	97.067		Homeland Security Grant Program	370
The Tauri Group, LLC	2011.013 Mod #10	97.CXX		Contract-Department of Homeland Security	1,427,486
University of Wisconsin-Superior	144 051056 4	97.056		Port Security Grant Program	20,195
Department of Housing and Urban Development					
Passthrough:					
Region Five Development Commission	11-06HUD-UofM	14.UXX		Unid CFDA-Department of Housing and Urban Development	67,276
Department of Housing and Urban Development, Office of Sustainable Housing and Community					
Passthrough:					
MN Metropolitan Council	SG011-038	14.703		Sustainable Communities Regional Planning Grant Program	26,626
Department of Justice					
Direct		16.CXX		Contract-Department of Justice	276,276
Direct		16.UXX		Undi CFDA-Department of Justice	19,651
Department of Justice, Civil Rights Division					
Passthrough:					

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
The University of Iowa	W000292879	16.110		Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act	\$ 2,756
Department of Justice, Office of Juvenile Justice and Delinquency Prevention					
Passthrough:					
National 4-H Council	2011-MU-MU-026	16.726		Juvenile Mentoring Program	72,551
National 4-H Council	4-H/Prime OJJDP 2011-TY-FX-0031	16.726		Juvenile Mentoring Program	3,534
National 4-H Council	No Award Number	16.726		Juvenile Mentoring Program	17,392
Department of Justice, Violence Against Women Office					
Direct					
		16.525		Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	41
Direct		16.526		OVW Technical Assistance Initiative	1,044,638
Department of Labor, Employment Training Administration					
Passthrough:					
Minnesota State Colleges & Universities	PO # 95477	17.282		Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants	9,573
Department of Labor, Office of Disability Employment Policy					
Passthrough:					
Institute for Educational Leadership	DOL OD-16519-07-75-4-11	17.720		Disability Employment Policy Development	620
Institute for Educational Leadership	OD-23804-12-75-4-11	17.720		Disability Employment Policy Development	34,995
Department of State					
Direct					
		19.700		General Department of State Assistance	72,742
Passthrough:					
Innovations in Civic Participation (ICP)	No Award Number	19.UXX		Undi CFDA-Department of State	1,225
Institute of International Education	No Award Number	19.CXX		Contract-Department of State	302,952
International Research & Exchange Board	FY08-ILEP-MINNESOTA-01	19.UXX		Undi CFDA-Department of State	6
World Learning	S02-SIZ-100-11-GR067	19.UXX		Undi CFDA-Department of State	129,236
Department of State, Bureau of Educational and Cultural Affairs					
Passthrough:					
Institute of International Education	S-ECAAS-12-CA-005	19.010		Academic Exchange Programs — Hubert H. Humphrey Fellowship Program	28,687
Department of State, Under Secretary for Public Diplomacy and Public Affairs					
Direct					
		19.040		Public Diplomacy Programs	116,698
Department of the Interior					
Direct					
		15.CXX		Contract-Department of the Interior	15,168
Department of the Interior, Fish and Wildlife Service					
Direct					
		15.608		Fish and Wildlife Management Assistance	444,853
Passthrough:					
The Ohio State University	60022925	15.650		Research Grants (Generic)	1,024

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of the Interior, National Park Service					
Direct		15.945		Cooperative Research and Training Programs Resources of the National Park System	\$ 29,309
Department of the Treasury					
Direct		21.CXX		Contract-Department of the Treasury	34,674
Department of the Treasury, Internal Revenue Service (IRS)					
Direct		21.008		Low Income Taxpayer Clinics	101,015
Department of Transportation					
Direct		20.CXX		Contract-Department of Transportation	16,290
Passthrough:					
MN Department of Transportation	89261 W.O. 166	20.CXX		Contract-Department of Transportation	694
MN Department of Transportation	89261 W.O. 268	20.CXX		Contract-Department of Transportation	756
MN Department of Transportation	99008 W.O. 61	20.CXX		Contract-Department of Transportation	110,206
MN Department of Transportation	99008 W.O. 75	20.CXX		Contract-Department of Transportation	137,864
MN Department of Transportation	99008 Work Order No. 34 Amend 1	20.UXX		Undi CFDA-Department of Transportation	24,454
Spy Pond Partners	NAS HR 20-75, Amendment #2	20.CXX		Contract-Department of Transportation	(4,476)
Transportation Research Board	HR 20-24(084)	20.CXX		Contract-Department of Transportation	180,632
University of Wisconsin-Superior	144 051049 4	20.CXX		Contract-Department of Transportation	35,000
University of Wisconsin-Superior	144 051055 4	20.UXX		Undi CFDA-Department of Transportation	228,701
Department of Transportation, Federal Highway Administration (FHWA)					
Direct		20.200		Highway Research and Development Program	18,516
Department of Transportation, Federal Transit Administration (FTA)					
Passthrough:					
Easter Seals, Inc.	Uminn_ICI Third Party Contract	20.514		Public Transportation Research	3,578
Department of Transportation, National Highway Traffic Safety Administration (NHTSA)					
Passthrough:					
MN Department of Public Safety	No Award Number	20.608		Minimum Penalties for Repeat Offenders for Driving While Intoxicated	265
Department of Veterans Affairs					
Direct		64.CXX		Contract-Department of Veterans Affairs	58,562
Direct		64.UXX		Undi CFDA-Department of Veterans Affairs	827,301
Environmental Protection Agency, Office of Air and Radiation					
Direct		66.034		Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	77,477
Environmental Protection Agency, Office of the Administrator					
Direct		66.951		Environmental Education Grants	6,198
Environmental Protection Agency, Office of Water					
Direct		66.469		Great Lakes Program	141,160
Passthrough:					
MN Pollution Control Agency	B10461	66.460		Nonpoint Source Implementation Grants	(5)
MN Pollution Control Agency	Contract No. B56501 (Brittany Story)	66.469		Great Lakes Program	144,284

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Pollution Control Agency	Grant No. 38905/C9-97593511-0	66.460		Nonpoint Source Implementation Grants	\$ 80,642
Univ of Illinois at Urbana-Champaign	2012-06075-03 A0131	66.469		Great Lakes Program	2,648
University of Wisconsin-Madison	423K220 under UW MSN155471	66.469		Great Lakes Program	965
Institute of Museum and Library Services					
Direct		45.301		Museums for America	68,602
Direct		45.303		Conservation Project Support	195
Direct		45.312		National Leadership Grants	82,812
Passthrough:					
MN Department of Education	Contract No. 48780/P.O. #E3701-33354	45.310		Grants to States	35,000
MN Department of Education	Contract No. 54226/P.O. #4349	45.310		Grants to States	70,000
MN Department of Education	No Award Number	45.310		Grants to States	1,173,010
Purdue University	4112-46076/LG-07-11-0232-11	45.312		National Leadership Grants	8,391
Medicaid and CHIP Payment and Access Commission					
Direct		05.CXX		Contract-Medicaid and CHIP Payment and Access Commission (MACPAC)	21,154
National Aeronautics and Space Administration					
Direct		43.001		Science	15,086
Direct		43.009		Cross Agency Support	109,635
Direct		43.UXX		Unid CFDA-National Aeronautics and Space Administration	867,253
Passthrough:					
Sigma Space Corporation	S09400-037	43.UXX		Unid CFDA-National Aeronautics and Space Administration	46,664
National Endowment for the Arts					
Direct		45.024		Promotion of the Arts_Grants to Organizations and Individuals	15,084
Passthrough:					
Arts Midwest	FY13-167203	45.025		Promotion of the Arts_Partnership Agreements	1,400
Arts Midwest	FY13-171269	45.025		Promotion of the Arts_Partnership Agreements	3,400
MN State Arts Board	7057	45.025		Promotion of the Arts_Partnership Agreements	51,920
National Endowment for the Humanities					
Direct		45.149		Promotion of the Humanities_Division of Preservation and Access	86,542
Passthrough:					
Open Knowledge Commons, Inc.	Hubs_Min_NEH_001_2012	45.169		Promotion of the Humanities_Office of Digital Humanities	6,717
National Science Foundation					
Direct		47.041		Engineering Grants	101,074
Direct		47.049		Mathematical and Physical Sciences	199,680
Direct		47.050		Geosciences	49,261
Direct		47.070		Computer and Information Science and Engineering	40,975

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		47.075		Social, Behavioral, and Economic Sciences	\$ 405
Direct		47.076		Education and Human Resources	3,971,303
Direct		47.082	ARRA	Trans-NSF Recovery Act Research Support	3,264,301
Passthrough:					
American Indian Science & Eng. Society	No Award Number	47.050		Geosciences	5,600
Cornell University	50823-8333/NSF Prime ECS-0619495	47.041		Engineering Grants	(3,100)
Dakota County Technical College	PO 40580/PO 44541/PO 46240/PO 49103	47.CXX		Contract-National Science Foundation	34,525
Dakota County Technical College	UM_DCTC	47.076		Education and Human Resources	75,517
Georgia State University	SP0010602-11 Amend. No. 1	47.076		Education and Human Resources	17,843
Maryland Science Center	Amend 2	47.076		Education and Human Resources	44,999
Seward Incorporated	No Award Number	47.CXX		Contract-National Science Foundation	12,382
Texas A&M University	A7313 CE Amd. 2	47.082	ARRA	Trans-NSF Recovery Act Research Support	8,666
Univ of Texas	26-1003-38-62 Am 1	47.041		Engineering Grants	2,855
University of California, Berkeley	PHY-2005825, Subaward No. 00007460	47.049		Mathematical and Physical Sciences	36,811
University of Central Florida	16406147	47.079		Office of International and Integrative Activities	7,337
University of Michigan	F014764 Amendment 4 & 5	47.050		Geosciences	(1,971)
University of Notre Dame	PHY-0715396	47.049		Mathematical and Physical Sciences	14,569
Peace Corps					
Direct		08.CXX		Contract-Peace Corps	22,300
Direct		08.UXX		Unid CFDA-Peace Corps	191
Small Business Administration					
Direct		59.007		7(j) Technical Assistance	90,408
Passthrough:					
MN Dept. of Employment & Economic Dev.	SBDC-11-0002-S-FY11; Am. 3 to SC 39338	59.037		Small Business Development Centers	290,349
MN Dept. of Employment & Economic Dev.	SBDC-11-0002-S-FY12 SC48036 amend 1	59.037		Small Business Development Centers	13,485
MN Dept. of Employment & Economic Dev.	SBJA-11-0002-S-FY11	59.037		Small Business Development Centers	34,584
Vietnam Education Foundation					
Direct		85.U09		Unid CFDA-Vietnam Education Foundation	22,808
Total Public Service					<u>81,739,924</u>
INSTRUCTION:					
Corporation for National and Community Service					
Passthrough:					
Harrisburg University	No Award Number	94.005		Learn and Serve America_Higher Education	10,333
Department of Agriculture					
Passthrough:					
Minnesota State Colleges & Universities	P.O. #19455	10.CXX		Contract-Department of Agriculture	5,173
Minnesota State Colleges & Universities	P.O. #19456	10.CXX		Contract-Department of Agriculture	12,712

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Agriculture, Food and Nutrition Service Direct		10.557		Special Supplemental Nutrition Program for Women, Infants, and Children	\$ 26,280
Department of Agriculture, National Institute of Food and Agriculture Direct		10.203		Payments to Agricultural Experiment Stations Under the Hatch Act	29,322
Direct		10.210		Food and Agricultural Sciences National Needs Graduate Fellowship Grants	34,902
Direct		10.217		Higher Education Challenge Grants	389,229
Direct		10.220		Higher Education Multicultural Scholars Program	30,989
Direct		10.305		International Science and Education Grants	55,450
Direct		10.500		Cooperative Extension Service	18,646
Passthrough: Iowa State University	416-41-21D	10.310		Agriculture and Food Research Initiative (AFRI)	4,224
Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) Direct		11.417		Sea Grant Support	64,028
Department of Defense, National Security Agency Direct		12.900		Language Grant Program	2,685
Department of Defense, U.S. Army Medical Command Direct		12.420		Military Medical Research and Development	25,698
Department of Education, Institute of Education Sciences Direct		84.305		Education Research, Development and Dissemination	(57,775)
Department of Education, Office of Bilingual Education and Minority Languages Affairs Direct		84.195		National Professional Development Program (84.195N)	11,310
Department of Education, Office of Elementary and Secondary Education Passthrough:					
MN Office of Higher Education	58030	84.367		Improving Teacher Quality State Grants	9,561
MN Office of Higher Education	58032	84.367		Improving Teacher Quality State Grants	4,228
MN Office of Higher Education	Contract No. 40941	84.367		Improving Teacher Quality State Grants	42,802
MN Office of Higher Education	Contract No. 40943	84.367		Improving Teacher Quality State Grants	39,135
National Writing Project	Agreement # 92-MN01-SEED2012 am. 1	84.367		Improving Teacher Quality State Grants	37,970
Northeast Service Cooperative	E370983	84.366		Mathematics and Science Partnerships	17,762
Department of Education, Office of Postsecondary Education Direct		84.116		Fund for the Improvement of Postsecondary Education	32,398
Direct		84.229		Language Resource Centers	164,857
Department of Education, Office of Special Education and Rehabilitative Services Passthrough:					
MN Department of Education	SWIFT# 43445, Grant 2012-00253, Am 2 & 3	84.323		Special Education — State Personnel Development	62,885

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Health and Human Services, Administration for Children and Families					
Passthrough:					
MN Department of Human Services	438242	93.658		Foster Care_Title IV-E	\$ (75)
MN Department of Human Services	GRK 29646 Req# H55 D14	93.658		Foster Care_Title IV-E	535,060
MN Department of Human Services	GRK% 29646	93.658		Foster Care_Title IV-E	1,515,580
Department of Health and Human Services, Agency for Healthcare Research and Quality					
Direct		93.225		National Research Service Awards_Health Services Research Training	345,590
Department of Health and Human Services, Centers for Disease Control and Prevention					
Direct		93.061		Innovations in Applied Public Health Research	1,789
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	647,833
Direct		93.262		Occupational Safety and Health Program	1,602,861
Direct		93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	(556)
Department of Health and Human Services, Health Resources and Services Administration					
Direct		93.107		Area Health Education Centers Point of Service Maintenance and Enhancement Awards	54,839
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	1,841,605
Direct		93.124		Nurse Anesthetist Traineeships	12,122
Direct		93.186		National Research Service Award in Primary Care Medicine	382,871
Direct		93.247		Advanced Nursing Education Grant Program	374,749
Direct		93.249		Public Health Training Centers Program	(72)
Direct		93.265		Comprehensive Geriatric Education Program(CGEP)	46,857
Direct		93.732		Mental and Behavioral Health Education and Training Grants	83,092
Direct		93.822		Health Careers Opportunity Program	895,234
Direct		93.884		Grants for Primary Care Training and Enhancement	586,411
Direct		93.964		Prevention and Public Health Fund (PPHF) Public Health Traineeships	36,874
Passthrough:					
The University of Oklahoma	TS20080950-04A1	93.247		Advanced Nursing Education Grant Program	151
Department of Health and Human Services, Indian Health Service					
Direct		93.970		Health Professions Recruitment Program for Indians	207,239
Department of Health and Human Services, National Institutes of Health					
Direct		93.121		Oral Diseases and Disorders Research	793,198
Direct		93.279		Drug Abuse and Addiction Research Programs	947,839

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		93.282		Mental Health National Research Service Awards for Research Training	\$ 586,454
Direct		93.286		Discovery and Applied Research for Technological Innovations to Improve Human Health	161,619
Direct		93.351		Research Infrastructure Programs	57,380
Direct		93.389		National Center for Research Resources	536,997
Direct		93.397		Cancer Centers Support Grants	72,669
Direct		93.398		Cancer Research Manpower	1,097,640
Direct		93.837		Cardiovascular Diseases Research	754,811
Direct		93.838		Lung Diseases Research	498,657
Direct		93.839		Blood Diseases and Resources Research	374,941
Direct		93.846		Arthritis, Musculoskeletal and Skin Diseases Research	615,329
Direct		93.847		Diabetes, Digestive, and Kidney Diseases Extramural Research	834,343
Direct		93.853		Extramural Research Programs in the Neurosciences and Neurological Disorders	96,181
Direct		93.855		Allergy, Immunology and Transplantation Research	650,465
Direct		93.859		Biomedical Research and Research Training	1,560,238
Direct		93.865		Child Health and Human Development Extramural Research	627,813
Direct		93.866		Aging Research	277,746
Direct		93.867		Vision Research	65,725
Direct		93.989		International Research and Research Training	499,769
Passthrough:					
Fred Hutchinson Cancer Research Center	0000773490/CA163438	93.855		Allergy, Immunology and Transplantation Research	4,662
University of Cincinnati	007038 Am 02	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	234,986
University of Cincinnati	COES # 007038	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	25,590
University of Cincinnati	L11-4500052880 SAP 10055019	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	1
University of Washington	Subaward 742859	93.989		International Research and Research Training	159,198
Department of Health and Human Services, Office of the Secretary					
Direct		93.721	ARRA	ARRA — Health Information Technology Professionals in Health Care	1,245,755
Department of Health and Human Services, Substance Abuse and Mental Health Services Administration					
Passthrough:					
MN Department of Human Services	GRK% 29816	93.958		Block Grants for Community Mental Health Services	137,450

(Continued)

UNIVERSITY OF MINNESOTA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2013

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Homeland Security					
Passthrough:					
Kansas State University	S12004	97.104		Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	\$ 6,363
Kansas State University	S13007 Mod #1	97.104		Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	24,624
Department of State					
Passthrough:					
Institute of International Education	No Award Number	19.UXX		Undi CFDA-Department of State	366,041
Environmental Protection Agency, Office of Research and Development (ORD)					
Direct		66.511		Office of Research and Development Consolidated Research/Training/Fellowships	200,360
Direct		66.514		Science To Achieve Results (STAR) Fellowship Program	85,766
Environmental Protection Agency, Office of the Administrator					
Passthrough:					
MN Pollution Control Agency	SWIFT#:%37276 CR#: 5273	66.605		Performance Partnership Grants	2,003
National Aeronautics and Space Administration					
Direct		43.001		Science	30,650
National Endowment for the Humanities					
Direct		45.163		Promotion of the Humanities_Professional Development	1,592
National Science Foundation					
Direct		47.041		Engineering Grants	83,223
Direct		47.074		Biological Sciences	(118)
Direct		47.076		Education and Human Resources	726,117
Direct		47.079		Office of International and Integrative Activities	715
Direct		47.UXX		Unid CFDA-National Science Foundation	15,290
Total Instruction					<u>24,704,940</u>
Total Nonclustered Programs					<u>106,444,864</u>
TOTAL FEDERAL EXPENDITURES					<u>\$1,089,127,565</u>

(Concluded)

UNIVERSITY OF MINNESOTA
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of the University of Minnesota (the "University") and is prepared on the cash basis of accounting. The information presented in the Schedule is presented in accordance with the requirements of the U.S. Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in the Schedule may differ from amounts presented in, or used in the preparation of the University's consolidated financial statements.

The University passes through certain funds to subgrantee organizations. Expenditures incurred by the subgrantees and reimbursed by the University are presented in the Schedule, but not in the consolidated financial statements of the University. The University is also the subrecipient of federal funds, which have been subject to testing and are reported expenditures included as pass-throughs in the Schedule.

2. FACILITIES AND ADMINISTRATIVE RATES

The University utilized facilities and administrative rates that have been negotiated with and approved by its cognizant agency, the U.S. Department of Health and Human Services, and are effective for the period from July 1, 2011 through June 30, 2015.

3. CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBERS

Some of the programs, grants, and/or other awards included in the Schedule are contracts, which do not report CFDA numbers. The expenditure amounts related to these programs, grants, and/or other awards have been reported, generally, at an agency level.

4. FEDERAL PERKINS LOAN PROGRAM

Amounts reported in the Schedule for the Federal Perkins Loan Program represent administrative and collection costs. Outstanding loans at June 30, 2013, under the Federal Perkins Loan Program were \$242,479,325. New Federal Perkins Loans of \$5,124,988 were advanced to students during the year ended June 30, 2013.

5. NEGATIVE EXPENDITURES

Within the Schedule, there are negative amounts which represent a true-up of the grants awards received versus the expenditures incurred. The negative amounts also remove the need for any reconciliation necessary for the federal agencies.

6. RECONCILIATION OF FEDERAL AWARDS TO THE CONSOLIDATED FINANCIAL STATEMENTS

A reconciliation of total expenditures as shown on the Schedule to the general ledger accounts included in the operating expenses reported on the consolidated statement of revenues, expenses and changes in net position for the year ended June 30, 2013, which is included as part of the University's consolidated financial statements.

Schedule of expenditures of federal awards		\$ 1,089,127,565
Federal loan program amounts provided by Student Finance Office not directly included on the consolidated financial statements (Federal Direct Loan Advances-CFDA 84.268, Federal Work Study-CFDA 84.033, Pell Grant Program-CFDA 84.063, SEOG-CFDA 84.007, TEACH-CFDA 84.379 and Health Professions Student Loans-CFDA 93.342)	(464,689,458)	
Expenditures of federal program dollars included in consolidated operating expenses	53,792,090	
Less expenditures not included on the consolidated statement of revenues, expenses, and changes in net assets (equipment, buildings, and structures)	(130,676,289)	
Difference of prior-year overdrafts added back and current-year overdrafts subtracted out	496,291	
Adjustments for expenditures of federal program dollars included in consolidated operating expenses and/or expenditures of non-federal program dollars included in consolidated operating expenses	371,610	
Less cash to accrual basis adjusting journal entries (year-end close)	(839,697)	
Uncategorized difference	3,961	
Less federal pass-through grants not on the consolidated statement of revenues, expenses, and change in net assets	<u>(97,485,093)</u>	
Subtotal A-133 expense adjustments in federal fund class		(639,026,585)
Expenditures of non-federal dollars included in consolidated operating expenses		2,896,306,982
Internal sales included in consolidated operating expenses		(221,978,849)
Depreciation included in consolidated operating expenses		193,139,441
Non-depreciation and internal sales accrual basis adjusting journal entries (year-end close)		<u>(253,352,653)</u>
Total operating expenses as shown on the consolidated statement of revenues, expenses and changes in net position for the year ended June 30, 2013		<u><u>\$ 3,064,215,901</u></u>

UNIVERSITY OF MINNESOTA

SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2013

SECTION I — SUMMARY OF AUDITORS' RESULTS

Financial Statements

- A. Type of auditors' report issued: Unqualified
- B. Internal control over financial reporting:
- Material weakness(es) identified? yes no
 - Significant deficiency(ies) identified? yes none reported
- C. Noncompliance material to financial statements noted? yes no

Federal Awards

- D. Internal control over major programs:
- Material weakness(es) identified? yes no
 - Significant deficiency(ies) identified? yes none reported
- E. Type of auditors' report issued on compliance for major programs: Unqualified
- F. Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133? yes no
- G. Identification of major programs:

CFDA Number	Name of Federal Program or Cluster
Various	Research and Development Cluster*
Various	Student Financial Aid Cluster*
93.262	Occupational Safety and Health
47.082	Trans-NSF Recovery Act Research Support
93.859	Biomedical Research and Research Training
10.315 (ARRA)	Trade Adjustment Assistance for Farmers
84.326	Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities
10.500	Cooperative Extension Service

*This program has various and unidentified CFDA numbers.

- H. Dollar threshold used to distinguish between Type A and Type B programs: \$3,267,382
- I. Auditee qualified as low-risk auditee? yes no

UNIVERSITY OF MINNESOTA

SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2013

SECTION II — FINANCIAL STATEMENT FINDINGS

No financial statement matters are reportable matters.

SECTION III — FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

No matters are reportable matters.

SECTION IV — STATUS OF PRIOR YEAR FINDINGS

We did not identify any prior year findings.