

# University of Minnesota

Schedule of Expenditures of Federal Awards  
and Schedule of Findings and Questioned Costs  
for the Year Ended June 30, 2012, and  
Independent Auditors' Reports

# UNIVERSITY OF MINNESOTA

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## **INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

Board of Regents  
University of Minnesota  
Minneapolis, Minnesota

We have audited the consolidated financial statements of the University of Minnesota (the "University") as of and for the year ended June 30, 2012, and have issued our report thereon dated October 31, 2012. Our report includes a reference to other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Other auditors audited the financial statements of the discretely presented component units, as described in our report on the University's consolidated financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported separately by those auditors.

### **Internal Control over Financial Reporting**

Management of the University is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

A *deficiency* in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statement will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined previously.

## Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's consolidated financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Board of Regents, the audit committee, management, federal awarding agencies, state funding agencies, and pass-through entities and is not intended to be, and should not be, used by anyone other than these specified parties.

*Deloitte + Touche LLP*

October 31, 2012

## **INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133**

Board of Regents  
University of Minnesota  
Minneapolis, Minnesota

### **Compliance**

We have audited the University of Minnesota's (the "University") compliance with the types of compliance requirements described in the *Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2012. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

### **Internal Control over Compliance**

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be *material weaknesses*, as described above.

### **Schedule of Expenditures of Federal Awards**

We have audited the consolidated financial statements of the University as of and for the year ended June 30, 2012, and have issued our report thereon dated October 31, 2012. Our audit was performed for the purpose of forming an opinion on the consolidated financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for the purpose of additional analysis, as required by OMB Circular A-133, and is not a required part of the consolidated financial statements. Such information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the consolidated financial statements taken as a whole.

This report is intended solely for the information and use of the Board of Regents, the audit committee, management, federal awarding agencies, state funding agencies, and pass-through entities and is not intended to be, and should not be, used by anyone other than these specified parties.

*Deloitte & Touche LLP*

January 28, 2013

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Clusters</b>					
<b>RESEARCH AND DEVELOPMENT CLUSTERS:</b>					
<b>Agency for International Development:</b>					
Pass-through:					
International Food Policy Research Institute	2007X283UMN Amendment 6	98			\$ 169,130
<b>Department of Agriculture (USDA):</b>					
Direct		10			1,290,568
Pass-through:					
Clean Plus Inc.	No Award Number	10			37,871
Fleishman-Hillard, Inc.	08-85554	10			83,887
Land Stewardship Project	No Award Number	10			3,774
The Land Institute	No Award Number	10			15,655
University of Wisconsin-Madison	320K456 Mod 2	10			141,676
White Earth Tribal and Community College	2009-38424-05453	10			14,358
White Earth Tribal and Community College	PRF 551558	10			(9,720)
<b>Department of Agriculture, Agricultural Marketing Service:</b>					
Pass-through:					
Michigan State University	RC064760UM Amendment 1	10			1,664
MN Department of Agriculture	B50056 Amend 1	10			40,203
MN Department of Agriculture	Contract No. 36330	10			8,659
MN Department of Agriculture	Contract No. 38765	10			21,617
MN Department of Agriculture	Contract No. B36381	10			15,235
<b>Department of Agriculture, Agricultural Research Service:</b>					
Direct		10			2,112,372
Pass-through:					
Biotechnology Research and Dev. Corp.	No Award Number	10			79,671
The University of Southern Mississippi	USM-GR03488-B10	10			463
USDA Binational Ag Res and Dev	IS-3517-04 R nce	10			(10)
<b>Department of Agriculture, Animal and Plant Health Inspection Service:</b>					
Direct		10			86,311
Pass-through:					
Minnesota Board of Animal Health	B29480	10			13,372
Minnesota Board of Animal Health	Contract No. 26011	10			618,463

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Agriculture, Economic Research Service:</b>					
Direct		10			\$ 25,512
Pass-through:					
Oregon State University	DA743A-C	10			3,596
Virginia Polytechnic Inst. & State Univ.	19114-415675	10			(1,534)
<b>Department of Agriculture, Foreign Agricultural Service:</b>					
Direct		10			35,515
<b>Department of Agriculture, Forest Service:</b>					
Direct		10			123,964
Direct		10	ARRA		199,110
Pass-through:					
MN Department of Natural Resources	B29963	10			20,000
MN Department of Natural Resources	Contract No. B41066	10			
MN Department of Natural Resources	Contract No. B50794 Amend 1	10			100,526
University of California, Berkeley	00006312 amend 3	10			50,344
Virginia Tech	422139-19114 Amd. 01	10			2,587
<b>Department of Agriculture, National Institute of Food and Agriculture:</b>					
Direct		10			15,502,503
Pass-through:					
Albert Einstein College of Medicine	9-526-5642	10			10,570
Arizona State University	ASU#11-642/PO#SC34112M00087	10			48,146
BioPulping International, Inc.	No Award Number	10			9,682
College of Menominee Nation	No Award Number	10			26,164
Cornell University	62094-9445	10			6,812
Cornell University	64094-9750 Amend 1	10			19,396
Cornell University	64188-9740 Amend 1	10			7,117
Intelligent Optical Systems, Inc.	IOS #3212	10			8,500
Iowa State University	416-17-01C	10			119,612
Iowa State University	416-23-04 Amendment 3	10			18,931
Iowa State University	416-23-14	10			13,302
Iowa State University	416-23-24B/PO IL 85822-23	10			52,092
Iowa State University	416-30-14 am 1	10			
Iowa State University	416-40-46G	10			18,044
Iowa State University	416-40-46H	10			16,461
Iowa State University	416-40-59B, amd 1	10			316
Iowa State University	416-40-63G	10			63,834
Iowa State University	416-43-61 D	10			27,547

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Kansas State University	2008-55620-19132 Mod. 3	10			\$ 58,258
Kansas State University	S09030.04	10			5,551
Kansas State University	S10114.01	10			27,530
Kansas State University	S12159	10			3,074
Massachusetts Institute of Technology	5710002425 Amend. 2	10			
Mayo Clinic Rochester	2010-65105-20553	10			(372)
Michigan State University	61-4135E Amendment 6	10			47,148
Michigan State University	61-4206C Amend 2	10			9,843
Michigan State University	61-4296B Amend 5	10			113,038
Michigan State University	61-4306A	10			11,819
Michigan State University	61-5434C	10			
Michigan State University	RC100056UM	10			8,442
Michigan State University	RC100527UM	10			12,443
North Dakota State University	FAR0013524	10			3,955
North Dakota State University	FAR0014336-2	10			12,748
North Dakota State University	FAR-0016650-3 Amend 1	10			32,019
North Dakota State University	FAR-0019049	10			58,314
Oregon State University	C0383A-A	10			40,623
Oregon State University	C0396A-C	10			28,401
Oregon State University	C0417A-C, am 1	10			77,199
Texas A&M University	No Award Number	10			
Texas A&M University	Sub-A 570569 Am.# 2	10			5,817
The Broad Institute, Inc.	5020090-5500000141 Amend 2	10			45,598
The Ohio State University	60011419/PO RF01111521 Amend 4	10			13,171
The Ohio State University	60013225/PO#RF01133191	10			15,637
The Pennsylvania State University	3946-UM-USDA-8710	10			(1,237)
The Pennsylvania State University	4096-UM-USDA-0362	10			20,312
The Pennsylvania State University	4361-UM-USDA-8710/2008-55620-18710	10			25,000
The Pennsylvania State University	4445-UM-USDA-0355	10			5,579
The Pennsylvania State University	4571-UM-USDA-0025/2011-85204-30025	10			34,039
The Pennsylvania State University	4604-UM-USDA-0025	10			59,548
The Pennsylvania State University	4615-UM-USDA-0025/2011-85204-30025	10			13,216
The State University of New York	1045894/35483	10			(6,954)
The University of Georgia	RC293-365/4693998	10			49,334
The University of Kentucky Research Fdn.	3048104498-11-417	10			4,000
Univ of Illinois at Urbana-Champaign	2007-04967-06 Amend 4	10			18,459
Univ of Illinois at Urbana-Champaign	2007-04967-37 Amendment 1	10			14,664
Univ of Missouri	C00031587-5	10			22,213
University of California, Davis	201015718-16, Amd. 1	10			454,873
University of California, Davis	K016618-04 AM#3	10			(1,207)
University of California, Riverside	S-000380	10			21,909

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
University of California, Santa Barbara	KK1152	10			\$ 139,423
University of Connecticut	Agreement #7068, FRS #525804	10			70,253
University of Florida	Project #00085425 UF09223	10			40,763
University of Maryland	Z53002 Amendment A	10			25,376
University of Massachusetts	12-006905-A-00	10			61,727
University of Nebraska-Lincoln	25-6365-0040-170	10			4,000
University of Wisconsin-Madison	223K996	10			15,797
University of Wisconsin-Madison	287K980	10			22,794
University of Wisconsin-Madison	289K903	10			13,313
University of Wisconsin-Madison	326K012	10			5,911
Virginia Polytechnic Inst. & State Univ.	422102-19114 Amd. 01	10			51,065
Virginia Polytechnic Inst. & State Univ.	422183-19114/422262-19114 Amend 2	10			2,792
Washington State University	115808 G002979	10			80,867
<b>Department of Agriculture, Natural Resources Conservation Service:</b>					
Direct		10			75,151
<b>Department of Agriculture, Rural Business-Cooperative Service:</b>					
Pass-through:					
Iowa State University	412-30-13	10			5,080
Iowa State University	412-30-29	10			88,654
<b>Department of Agriculture, The Office of the Chief Economist:</b>					
Direct		10			6,105
<b>Department of Commerce:</b>					
Pass-through:					
Minnesota Land Trust	No Award Number	11			24,000
<b>Department of Commerce, National Oceanic and Atmospheric Administration (NOAA):</b>					
Direct		11			(766)
Pass-through:					
Minnesota's Lake Superior Coastal Prog.	306-05-12/B46834	11			8,778
Minnesota's Lake Superior Coastal Prog.	306-09-11	11			10,231
Minnesota's Lake Superior Coastal Prog.	306-11-12/B46828 Mod. 01	11			2,794
MN Department of Natural Resources	Coastal Grant Prog. Agreem. 11-306-05	11			16,204
MN Department of Natural Resources	Coastal Grant Prog. Agreem. 11-306-06	11			10,016
North Carolina A & T State Univ	270040G Mod. #6	11			34,722
Syntectic International LLC	NA11OAR4310120	11			61,444
Univ of Illinois at Urbana-Champaign	Sub No. 2010-02247-07 Amendment 01	11			12,445
University of Michigan	3002075994	11			32,267
University of Michigan	P.O. 3001591003	11			5,900
University of Michigan	P.O./Contract No. 3001119746 Amend 3	11			117,522

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Defense:</b>					
Direct		12			\$ 725,854
Pass-through:					
3M Co	USMMM32P4U	12			111,202
Adventium Labs	AEC2010-20-0010	12			30,334
Anasys Instruments Corp.	UMN01_FA9451-10-D-0224 Amd. 1	12			49,219
Associated California Engineers, LLC	W91238-04-D-0018 am 4	12			(8,342)
Boston Applied Technologies, Inc.	A000239891	12			29,081
Carnegie Mellon University	1041388-248800 Amd. 4	12			23,051
Creare Inc.	56888	12			118,879
FuelCell Energy, Inc.	43581	12			10,457
General Dynamics	51ESM455992 mod 3	12			65,860
HDR Engineering, Inc.	W912EK-09-D-0005	12			10,961
Info. Visualization & Innovative Res.	No Award Number	12			117,853
KaZak Composites, Inc.	No Award Number	12			58,604
Minnesota Veterans Research Institute	MVRI/PrimeW81XWH-08-2-0045 Mod 3	12			57,165
Northrop Grumman Corporation	39226337 & 8200156221	12			265,646
SAIC Frederick, Inc.	P010046443	12			
Science Applications International Corp.	P010061479	12			18,177
The Henry M. Jackson Foundation	713080	12			2,812
The Henry M. Jackson Foundation	726236/745242	12			36,395
Thermacore, Inc.	UMN-15068 nce	12			337,304
University of Southern California	140831	12			210,654
<b>Department of Defense, Advanced Research Projects Agency:</b>					
Direct		12			731,459
Pass-through:					
Duke University	12-DARPA-1043 Mod. 02	12			20,295
Northwestern University	SP0014800-PROJ0003835 Amd. #1	12			64,490
Rockwell Collins, Inc.	4503865570	12			58,996
Rockwell Collins, Inc.	4504331793	12			20,617
The Ohio State University	OSU N66001-11-1-4090	12			67,510
University of California, Irvine	2010-2494 Amd. 2	12			6,699
University of California, Los Angeles	0157-S-MA002	12			227,309
<b>Department of Defense, Department of the Air Force, Materiel Command:</b>					
Direct		12			3,309,759
Pass-through:					
Optomec, Inc.	UM001-Frisbie	12			24,267
Univ of Texas	UTA#05-543 AMEND#3	12			
Univ. of North Carolina at Charlotte	20100669-03-UMN	12			65,883
University of Pennsylvania	556016	12			205,148

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Defense, Department of the Navy, Office of the Chief of Naval Research:</b>					
Direct		12			\$ 3,477,930
Pass-through:					
Aptima, Inc.	0696-1575 Mod. 2	12			27,121
Luna Innovations Incorporated	2245-NVY-1T	12			2,830
University of California, Santa Barbara	KK6134 Amend 07	12			60,347
University of Maryland	Z878005/N00014-06-1-0530	12			3,565
University of Rochester	414007-009-G Amd. 009	12			109,015
<b>Department of Defense, National Security Agency:</b>					
Direct		12			136,630
<b>Department of Defense, Office of the Secretary of Defense:</b>					
Direct		12			797,568
Pass-through:					
Oklahoma State University	AA-5-33690	12			171,743
Univ of Texas	UTA06-789/W911NF-07-1-0028 Amd. 12	12			13,172
<b>Department of Defense, U.S. Army Materiel Command:</b>					
Direct		12			504,334
Pass-through:					
3M Co	PO #USMMMR74D6	12			268,816
BBN Technologies Corp.	0W911NF-09-2-0053	12			143,050
California Institute of Technology	28D-1083901/W911NF-07-1-0410-3	12			152,083
Imaginative Technologies, LLC	UM00023465	12			66,570
<b>Department of Defense, U.S. Army Medical Command:</b>					
Direct		12			3,740,851
Pass-through:					
Minneapolis Medical Research Foundation	MMF W81XWH1020091	12			48,000
Rutgers, The State Univ. of New Jersey	account 4-29429 org code 10578	12			165,760
UT Southwestern Medical Center at Dallas	GMO-900309 Mod 3	12			28,000
Washington University in St. Louis	WU-07-151/W81XWH-06-1-0677	12			43,832
<b>Department of Education, Institute of Education Sciences:</b>					
Direct		84			1,139,354
Pass-through:					
Educational Testing Service	UM-IES-324A	84			70,721
FPG Child Development Institute	5-54465	84			17,993

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
KU Center for Research, Inc.	FY2009-005-M2	84			\$ 379,912
Mathematica Policy Research, Inc.	06876S01681	84			95,950
The Univ. of N. Carolina at Chapel Hill	5-39254	84			95,305
University of California, Davis	200911727-2 Amd #1	84			49,214
<b>Department of Education, Office of Innovation and Improvement:</b>					
Direct		84			143,993
<b>Department of Education, Office of Safe and Drug-Free Schools:</b>					
Pass-through:					
Constitutional Rights FDN	Q304A070005	84			
<b>Department of Education, Office of Special Education and Rehabilitative Services:</b>					
Direct		84			1,010,893
Pass-through:					
Advanced Medical Electronics Corporation	AME11-ConnectElect-13	84			2,594
The Pennsylvania State University	4568-UM-USDE-0030	84			53,495
Vanderbilt University	19957-S2 Amd. 3	84			11,527
<b>Department of Education, Office of Vocational and Adult Education:</b>					
Pass-through:					
University of Louisville	ULRF 08-0181-15	84			2,020
<b>Department of Energy:</b>					
Direct		81			8,446,804
Direct		81	ARRA		6,867,061
Pass-through:					
Battelle	00101845	81			263,927
Battelle	00109759, Amd 2	81			144,832
Blackhawk Hills Resource Conv. & Devel.	No Award Number	81			40,000
Consortium for Plant Biotechno	GO12026-304/DE-FG36-02GO12026-008	81			30,654
Consortium for Plant Biotechno	GO12026-319/DE-FG36-02GO12026-008 Amd E	81			2,623
Drexel University	210023/68-3A75-6-504	81			(43)
Eaton Corporation	0011-36983	81			112,827
Johns Hopkins University	200097069	81			15,269
Laurentian Energy Authority, LLC	Subaward # 1	81			894
Michigan Technological University	050516Z12 Mod 5	81			88,834
Michigan Technological University	MTU 050516Z31/DE-FC02-06ER64158/083361	81			20,791
Mind Institute	6002-047/DE-FG02.07ER64415	81			(6,047)
MN Department of Commerce	Contract No. 37593	81			85,017
Montana State University	245-10-W2498, amd 2	81			14,533

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Oregon State University	U0600A-A	81			\$ 11,999
Princeton University	00001701 Mod. 2	81			180,000
Princeton University	00001793	81			224,835
Reeves & Sons, LLC	PO# JRCD208041100	81			5,434
Rutgers, The State Univ. of New Jersey	3851 Mod. 2	81	ARRA		138,825
South Dakota State University	3TD146/Lowell Rasmussen	81			37,792
South Dakota State University	3TF146 Amendment 4	81			46,062
South Dakota State University	3TG155 Amend. 3	81			220,921
South Dakota State University	3TL160, am 5	81			42,006
South Dakota State University	3TM162	81			35,838
South Dakota State University	3TS162	81			10,584
State of Arizona	MN-EE0002850	81	ARRA		97,531
The Research Foundation of SUNY	11-30 /DE-FE0007190	81			1,134
The University of North Dakota	UND01-000008899	81			6,412
Univ of Texas	UTA09-000984	81			177,330
Univ of Texas	UTA10-000598 Amd. 2	81			50,947
University of Delaware	21114 Amd. 2	81	ARRA		336,431
University of Michigan	3001346400 Amd. 3	81			325,542
University of Michigan	3001705357	81	ARRA		68,279
University of Notre Dame	209008 Amd. 3	81	ARRA		68,552
University of Wisconsin-Madison	115K614 Mod No. 2	81			14,564
Verdant Power, LLC	002/POAWPPUM002	81			90,021
Yale University	C08E10003/DE-FG02-08ER46482	81			56,850
<b>Department of Energy, National Nuclear Security Administration:</b>					
Direct		81			580,715
<b>Department of Energy, Office of Energy Efficiency and Renewable Energy:</b>					
Direct		81			1,186,252
<b>Department of Energy, Office of Science:</b>					
Direct		81			8,218,739
Pass-through:					
Battelle	00102037	81			125,501
Battelle	4000109237 mod 1	81			9,824
<b>Department of Health and Human Services:</b>					
Direct		93			910,429
Pass-through:					
Georgetown University	RX4400-185-UM mod 4	93			413,141
John Snow, Incorporated	No Award Number	93			2,250

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
MN Department of Health	B03431	93			\$ 40,559
Research Triangle Institute	Subcontract 2-312-0212704	93			71,745
Science Applications International Corp.	Subcontract S09-214/29XS214	93			3,046,042
The Griffin Hospital	No Award Number	93			1,937
University of South Florida	PO #0000161342	93			155,270
University of Washington	727119	93			91,531
<b>Department of Health and Human Services, Administration for Children and Families:</b>					
Direct		93			424,456
Pass-through:					
Child Trends, Inc.	002	93			77,633
Child Trends, Inc.	90YE098 Amendment 1	93			58,737
MN Department of Human Services	B00240	93			449
<b>Department of Health and Human Services, Administration on Aging:</b>					
Pass-through:					
MN Board on Aging	Project No. 333-10-0CLP-008	93			15,940
<b>Department of Health and Human Services, Agency for Healthcare Research and Quality:</b>					
Direct		93			2,739,736
Direct		93	ARRA		1,924,764
Pass-through:					
Baylor College of Medicine	41010011101 Amendment 2	93			11,682
Fairview Health Services	FV2010-233 Amendment 1	93			362,124
Indiana University	IN-4688351-UM/PO Number 912940 Amendment 1	93			172,415
Oregon Health & Science University	GEMME0086 Minnesota Amendment 2	93			41,130
The University of Arizona	Y560288 Amendment 2	93			25,162
The University of Oklahoma	1R18HS019945-01	93	ARRA		178,221
<b>Department of Health and Human Services, Centers for Disease Control and Prevention:</b>					
Direct		93			4,352,692
Pass-through:					
Assn of Schools of Pub Health	S3822-26/26	93			61,707
Assn of Schools of Pub Health	S3946-28/28	93			12,155
Assoc. of Univ. Centers on Disabilities	AUCD RTOI 2011-2-4 Contract Agreement No	93			230,142
Association of American Medical Colleges	MM-1135-10/10	93			2,091
Battelle	US001-0000234257, Mod 04	93			189,542
MN Department of Health	38883	93			1,041
MN Department of Health	A98423 Amd #4	93			500
MN Department of Health	B08039, Amd #2	93			88,982
MN Department of Health	B10323, Amd #1	93			

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
MN Department of Health	Grant No. B23448	93			\$ 134,315
MN Department of Health	P.O. #3000006225	93			2,400
Park Nicollet Institute	No Award Number	93			21,580
Park Nicollet Institute	OH009086-01 Am 4	93			33,187
The Ctr. for Constr. Research & Training	No Award Number	93			11,674
<b>Department of Health and Human Services, Centers for Medicare and Medicaid Services:</b>					
Direct		93			267,907
Pass-through:					
ABT Associates Inc.	Subcontract No. 29232	93			7,530
<b>Department of Health and Human Services, Food and Drug Administration:</b>					
Pass-through:					
Nat'l Inst. for Pharm. Technology & Educ	NIPTE-UO1-MN-001-2012, AMD No. 1	93			15,961
TConneX Inc.	TConneX-HHSF2232001110143C-002	93			2,456
<b>Department of Health and Human Services, Health and Drug Administration:</b>					
Direct		93			2,024,793
Pass-through:					
Academic Pediatric Association	No Award Number	93			836
City of Minneapolis	FAN Number 13-3	93			47,330
Lifesource	1D71HS13417-01-00	93			26,315
Lifesource	5 R39OT08447-03-00	93			22,382
Minneapolis Medical Research Foundation	HSH250201000018C	93			185,596
National Opinion Research Center	6673-03-Univ. of Minnesota	93			176,643
Northeast MN Area Health Education Ctr.	UMN006	93			15,696
University of Illinois at Chicago	2010-04296-06-04	93			243,545
<b>Department of Health and Human Services, National Institutes of Health:</b>					
Direct		93			264,635,978
Direct		93	ARRA		21,684,904
Pass-through:					
Advanced Medical Electronics Corporation	AME07-MagWay-30	93			
Advanced Medical Electronics Corporation	AME08-Companion Mic-29/5 R42 NR010323-04	93			12,493
Advanced Medical Electronics Corporation	AME08-DigitalSign-38 am 05	93			42,926
Advanced Medical Electronics Corporation	AME08-Hearing Aid-34	93			77,568
Advanced Medical Electronics Corporation	AME10-ConnectElectronics-04/5R44DC0095	93			35,153
Advanced Medical Electronics Corporation	AME11-MicArray-11 1 R43DC011468-01	93			28,149
Advanced MRI Technoloies	No Award Number	93			41,970
Adventium Labs	AEC2011-08-0007	93			34,399

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Albert Einstein College of Medicine	9-526-2095 Amd. No. 1	93			\$ 30,949
Albert Einstein College of Medicine	9-526-5496 Amd. 2	93			16,399
Albert Einstein College of Medicine	9-526-5552	93			6,131
Allina Health System	No Award Number	93			17,606
American College of Radiology	4246	93			19,222
American College of Radiology	ACRIN 6657	93			5,907
Audiology Inc	RC3DC010986-01	93	ARRA		67,042
Bastyr University	H34B12-03	93			13,868
Baylor College of Medicine	5600503767	93	ARRA		20,929
Baylor College of Medicine	PO 5600389957/5P01-GM081627-05	93			117,894
Beckman Res. Inst. of the City of Hope	22999.914934.6692	93			934
Beth Israel Deaconess Medical Center	Subaward No 01024848	93			12,363
Beth Israel Deaconess Medical Center	Subaward No. 01025091-COREB	93			180,422
Bioinventions LLC	090510MN	93			11,741
Black Hills Ctr. for Amer. Indian Health	5P50CA148110-02	93			17,116
Booz Allen Hamilton Inc.	94266NBS23 Mod 1	93			6,901
Boston University	3802-5 amendment 1PO# RA207836 NGM	93	ARRA		7,018
Boston University	3859-5/RA207943NGN Amend #1	93			
Boston University	9500300225	93			44,506
Boston University	BUMC # 9500224053/AG018037-11A1	93			15,648
Boston University	Subaward 3822-5 am 2/PO# RA207577NGQ	93	ARRA		62,666
Boston University Medical Campus	4194-5	93	ARRA		5,102
Boston University Medical Campus	Activity# 0294301 PO# 787779	93			49,425
Brigham and Women's Hospital	105041 Amd. 3	93			270,608
Brigham and Women's Hospital	105963	93			247,534
Brigham and Women's Hospital	N01-AI-25495 Mod #6	93			
Brigham and Women's Hospital	PS#105087/CTOT-11	93			14,560
British Columbia Cancer Agency	DRG00696 Amendment 1	93			
Brown University	00000199 Amd 3	93			17,919
Brown University	00000277, PO#P261367	93	ARRA		
California Pacific Medical Center	117582	93	ARRA		41,102
California Pacific Medical Center	2803209-S099 Amendment 2	93			258,861
California Pacific Medical Center	2803212-S041 Amendment 7	93			108,503
California Pacific Medical Center	2803261-S112 Amd 2	93	ARRA		971
California Pacific Medical Center	CPMCRI #2803305-S120	93			22,650
Cambridge Health Alliance	No Award Number	93			4,975
Case Western Reserve University	RES429673 Amendment 21	93			5,542
Case Western Reserve University	RES503448	93			46,160
Case Western Reserve University	RES506691/DK094157	93			172,634
Cedars-Sinai Medical Center	0000727450/5U01-NS052465-04 Prime	93			21,456
Cedars-Sinai Medical Center	020110	93			(14,576)

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Children's Hospital Boston	PO #0000340885/0000366532	93			\$ 38,536
Children's Oncology Group	Sub No.021371 /Per Case Reimbursements	93			11,512
Children's Oncology Group (COG)	Agreement #97452-1027; Sub #21371	93			27,740
Children's Oncology Group (COG)	CA98543-07	93			
Cincinnati Children's Hosp. Medical Ctr.	CHMC# 104930 M3, PO 3100219629	93			276,815
Cincinnati Children's Hosp. Medical Ctr.	Subaward No. 108454	93			3,200
Cincinnati Children's Hosp. Medical Ctr.	Subaward No. 108863	93			89,556
Clemson University	1541-209-2006921, Amend 1	93			8,897
Cleveland Clinic	Subaward No. 115SUB/R01HD061363	93			33,011
Cleveland Medical Devices, Inc.	No Award Number	93			3,638
Columbia University	1 (Acct #539636) Amd 1	93			97,689
Columbia University	3 (Acct. #5-65127) Amd 3	93			98,311
Columbia University	6 (acct. # 5-30323)	93			55,730
Cornell University	12040450, PO# 4100145217	93			339,313
Dana-Farber Cancer Institute	1147501	93			
Dana-Farber Cancer Institute	1153501	93			279,332
Dana-Farber Cancer Institute	4487403	93			12,615
Dartmouth College	630	93	ARRA		7,702
Dartmouth College	Subaward 467 Amd. 5	93			35,865
Dartmouth College	Subaward 899	93			42,199
Dartmouth College	Subaward No. 895	93			38,835
Discovery Genomics Inc	D228016	93			23,317
Discovery Genomics Inc	D228017 Amend 1	93			135,564
Duke University	04-SC-NIH-1069, Mod 5	93			(8,831)
Duke University	383-2378	93			1,807
Duke University	Duke SPS #165191/174373	93	ARRA		9,845
Duke University	Protocol # NICHD-2005-18 Amend#1	93			1,391
Duke University	SPS#162060 Site 063	93			8,037
Duke University	Subaward No. 303-5299 Amd. 2	93			5,942
E.I. du Pont de Nemours and Company	Account # 30-03671-001 Amd 1	93			10,949
Eastern Coop Oncology Group	MB2O36BV-03 Amendment #3	93			43,384
Eden Medical, Inc.	1 — Supplement	93			9,618
Emory University	S463359/R01HL095791	93			12,042
Emory University	S677459	93			251
Emory University	Subaward No. S278675	93	ARRA		29
Emory University	Subcontract No. S356670	93			8,689
FDN for the Childrens Oncology	1149/ca98543	93			102,622
Fisher BioServices Incorporated	FBS-43312-89	93			184,821
Florida International University	800001054-02	93			3,729
Fox Chase Cancer Center	FCCC 15057-01/PO# 201200426	93			27,993
Fox Chase Cancer Center	FCCC 18015-01 Work Assignment # 15	93			63,849

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Fox Chase Cancer Center	Subcontract 18018-01WA 13B, PO#201106840	93			\$ 499,016
Fox Chase Cancer Center	WA#10A (N01-CN-43309)	93			389,302
Fred Hutchinson Cancer Research Center	0000687083-207387/0000700955/0000730374	93			10,383
Fred Hutchinson Cancer Research Center	0000707448-207385/0000707448-207391	93			13,939
Fred Hutchinson Cancer Research Center	0000721156/CA118953-05	93			56,038
Fred Hutchinson Cancer Research Center	0000730167-207385/207391	93			67,858
Fred Hutchinson Cancer Research Center	0000733016	93			24,423
Fred Hutchinson Cancer Research Center	5U01CA135133-02 Subaward # 0000704375	93			45,432
Fred Hutchinson Cancer Research Center	Subaward 0000695978	93			7,836
Fred Hutchinson Cancer Research Center	Subaward 0000730289	93			71,583
Fred Hutchinson Cancer Research Center	Subaward No. 0000704246/RC2HL101844	93	ARRA		21,191
Fred Hutchinson Cancer Research Center	Subcontract # 0000699014, Amend 2	93			694,911
GeneSegues, Inc.	5R43DK084644-02 Mod #2	93			10,210
Georgia Institute of Technology	E-17-699-G3 am 3	93			167,178
Giner, Inc.	2R44DK070400-03A1 (GI C09-50)	93			16,428
Gynecologic Oncology Group	27469-013	93			190,984
H. Lee Moffitt Cancer Center & Research	10-13656-99-01-G2 Amd 03	93			10,731
Harvard Medical School	Subaward 149639.0804 Amd. 2	93			274,528
Harvard University	1 R01 CA120958-04 Amendment 5	93			35,278
Harvard University	111082-0103	93			76,083
Harvard University	135955	93			46,662
Harvard University	151182-0002	93			207,366
Hauptman-Woodward Medical Research Inst.	6200-1	93			40,225
Health Research, Inc.	3865-01 Amend 2	93			130,241
Health Research, Inc.	55-7014-19	93			50,006
HealthCare Interactive, Inc.	200614227	93			(19)
HealthCare Interactive, Inc.	20101205	93			25,921
HealthPartners Research Foundation	85285270 X0808700	93			27,660
HealthPartners Research Foundation	A08-132/X0813200, MOD 3	93			56,867
HealthPartners Research Foundation	Acct Unit 85285270/X1003900	93			42,388
HealthPartners Research Foundation	HPRF/R01 CA128211 Mod #6	93			19,130
HealthPartners Research Foundation	X0709000 Modification 3	93			(12,735)
HealthPartners Research Foundation	X0710100	93			24,031
HealthPartners Research Foundation	X0909600	93			152,894
Henry Ford Health System	R21AR059976	93			35,087
ICET, Inc.	R44DK55891-06	93			27,544
Imaginative Technologies, LLC	A000270781	93			38,829
Immune Tolerance Network	Agreement No. 108181	93			117
Immune Tolerance Network	ITN10182-00sc Amendment #1	93			26,118
Immunochemistry Technologies LLC	CON-23541	93			28,948
ImmuVen, Inc.	IMV-NIH-010112011-1	93			1,574

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Indiana University	IN4683682UMN, PO 844160 Amd. 1	93			\$ 2,103
Innovative Surface Technologies, Inc.	No Award Number	93			28,964
Iowa State University	430-17-22A	93			34,536
Iowa State University	430-46-05B Amendment 1	93			105,485
Jackson State University	3N01-HC-95170 amd 1	93			21,447
Jackson State University	Subcontract NIH Contract N01-HC-95170	93			120,939
Jaeb Center for Health Research	CCTS	93			664
Jaeb Center for Health Research	EY11751 Amend	93			13,374
Jaeb Center for Health Research	No Award Number	93			326
Jaeb Center for Health Research	Protocol #2	93			185
Jaeb Center for Health Research	Subagreement # U01-HD41890	93			19,504
Jaeb Center for Health Research	U10-EY11751	93			5,391
Jewish General Hospital	1R01AI077387-01A2	93			(4,805)
Joan & Sanford I Weill Med Coll	MH079513/07091018	93			462,465
Joan & Sanford I Weill Med Coll	Site No. 204	93			12,030
Johns Hopkins University	2000560258 Amd #4	93			170,063
Johns Hopkins University	2000617092 amendment 1	93			18,560
Johns Hopkins University	2000834334 Amd. 2	93			35,959
Johns Hopkins University	2000901484	93			13,399
Johns Hopkins University	2001110252/1R01EB12547-01	93			33,352
Johns Hopkins University	2001184189 Amd. 1	93			43,035
Joslin Diabetes Center, Inc.	Sub1DoriaR03DK094484	93			11,483
Kaiser Permanente	115-9107-02 M1/KR020815	93			81,505
Kent State University	443163-UMINN Amd 1	93			2,908
KU Center for Research, Inc.	#QC818380/5R01-CA091912-09 Amd. 4	93			6,445
Lentigen	Lentigen/R41DK085944-01 Amd 2	93			50,971
Lifespan	1 U01-HL077221-01A1	93			115,313
Massachusetts Eye and Ear Infirmary	MEEI # 39959/U24DC008559-03S1 Amend	93	ARRA		(1,481)
Massachusetts General Hospital	5R01DA021245-04	93			(9,120)
Massachusetts Institute of Technology	5215810-55000000105 mod 2	93			19,116
Massachusetts Institute of Technology	5710002399	93			2,035
Mayo Clinic Rochester	16, Amend 1	93			1,525
Mayo Clinic Rochester	1DP1DA031340-02 Amd. 1	93			73,647
Mayo Clinic Rochester	1R01CA154517-01A1	93			117,168
Mayo Clinic Rochester	1R01CA164241-01	93			21,066
Mayo Clinic Rochester	1R01HL110539-01	93			184,927
Mayo Clinic Rochester	1U01NS073557-01A1	93			78,788
Mayo Clinic Rochester	2P50CA108961-06A2	93			6,800
Mayo Clinic Rochester	2P50CA116201-06A1	93			22,698
Mayo Clinic Rochester	2R01DA014577-05	93	ARRA		(2,064)
Mayo Clinic Rochester	3R01DA023979-03S1	93	ARRA		67,677

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Mayo Clinic Rochester	5R01AR057781-03	93			\$ 343,280
Mayo Clinic Rochester	5R01CA107333-06	93			841
Mayo Clinic Rochester	5R01CA138417-02	93	ARRA		25,120
Mayo Clinic Rochester	5R01CA138417-04	93			194,162
Mayo Clinic Rochester	5R01DA014577-06	93	ARRA		30,776
Mayo Clinic Rochester	5R01DA023979-05	93			167,859
Mayo Clinic Rochester	5R01HL098077-02	93			576,661
Mayo Clinic Rochester	5R01NS055859-02	93			(1,187)
Mayo Clinic Rochester	5U01-CA118444-05	93			1,602
Mayo Clinic Rochester	5U01DK082843-04	93			160,772
Mayo Clinic Rochester	5U54CA153605-02	93			7,982
Mayo Clinic Rochester	Mayo/2P01HL076611-06A1	93			67,549
Mayo Clinic Rochester	Subaward 1R01CA163803-01	93			1,985
Mayo Clinic Rochester	Subaward: 2K12CA090628	93			132,466
Medical College of Wisconsin	5R01CA103180-08/Sub No 8	93			447,026
Medical College of Wisconsin	PO# 1324800 (previous PO #1273195)	93			29,325
Medical University of South Carolina	MUSC08-179 Amd. 3	93			65,316
Medical University of South Carolina	MUSC08-183 Amd. 3	93			138,965
Medical University of South Carolina	MUSC09-144 Amd 3	93			59,029
Medical University of South Carolina	MUSC09-145 Amd. 2	93			24,143
Medical University of South Carolina	MUSC10-034/1R21DK084413	93			12,542
Medical University of South Carolina	MUSC11-091	93			13,933
Memorial Sloan-Kettering Cancer Center	R01-CA079572-12/BD514327 Am 3	93			196,900
Memorial Sloan-Kettering Cancer Center	Subaward/P.O. BD514505 (Prev# BD513865)	93			220,043
Michigan Public Health Institute	K-30204-115 504200	93			168,009
Michigan State University	61-1169UM Amd. 2	93	ARRA		97,534
Michigan State University	RC100161 Amd. 2	93			195,966
Michigan State University	Subaward No. RC100246UMN Amd. 1	93			34,977
Minneapolis Heart Institute Foundation	5U01HL087318 Amd. 6	93			74,217
Minneapolis Heart Institute Foundation	5U01HL087318-04	93			44,557
Minneapolis Medical Research Foundation	07063-02	93			8,057
Minneapolis Medical Research Foundation	07063-03 Am 5	93			6,423
Minneapolis Medical Research Foundation	07073-01	93			57,204
Minneapolis Medical Research Foundation	07073-4	93			34,583
Minneapolis Medical Research Foundation	07109-1	93			804
Minneapolis Medical Research Foundation	07149-2	93			52,428
Minneapolis Medical Research Foundation	200-2010-37373	93			1,667
Minneapolis Medical Research Foundation	5R01DK069514	93			18,725
Minneapolis Medical Research Foundation	ACCORDION	93			125,025
Minneapolis Medical Research Foundation	EXACT-HF	93			(17,374)
Minneapolis Medical Research Foundation	HHSN 267 2007 15002C Mod 6	93			27,905

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Minneapolis Medical Research Foundation	HHSN 267 2007 15003C Mod. 6	93			\$ 54,774
Minneapolis Medical Research Foundation	HHSN268201100027C	93			116,392
Minneapolis Medical Research Foundation	U01AG029824	93			46,417
Montefiore Medical Center	Contract No. HHSN268200700015C	93			4,953
Mount Sinai School of Medicine	0255-4391-4609 Amd. 3	93			255,934
National Childhood Cancer Foundation	Contract #021077	93			26,713
National Childhood Cancer Foundation	Contract No. 020828	93			15,422
National Childhood Cancer Foundation	Contract No. 021041	93			30,000
National Childhood Cancer Foundation	DVL Vice Chair/Subcontract No. 21125	93			2,060
National Childhood Cancer Foundation	Subcontract #19228 ARRA	93	ARRA		41,406
National Childhood Cancer Foundation	Subcontract 021083, amd 1/prev. #19714	93			15,416
National Childhood Cancer Foundation	Subcontract No. 021372	93			62,242
National Jewish Health	24021802 am 3/U01HL089897Bpg459Ay	93			39,145
National Jewish Health	HHSN272201000020C	93			8,585
National Marrow Donor Program	Protocol Rider #0901	93			309,289
Nat'l Development & Research Inst., Inc.	PO# 0048046 NDRI #626B	93			32,786
Neuro Devices, Inc.	NIH Prime Award R41NS071979	93			45,416
New England Res Inst	THM Network Protocol Agreement	93			36,036
New England Res Inst	TMH Network Protocol Agreement	93			28,441
New England Res Inst	TMH-10/U01HL072072	93			
New York University	10-01254; Proj#01860	93			93,243
North Carolina State University	2004-1185-01 Amendment 4	93			(9,184)
Northeastern University	50016478050 Amd 2	93			48,759
NorthShore University HealthSystem	EH06-201	93			(20,823)
Northwestern Health Sciences University	R25 AT003582-04	93			64,788
Northwestern University	0600 370 F351 1501 A04	93			25,656
Northwestern University	60028536UM	93			25,165
Northwestern University	60030644 UM A01	93			3,783
Oregon Research Institute	1 RC2 DA028946	93	ARRA		40,863
Park Nicollet Institute	1R21CA149934-01A1	93			8,779
Penn State Milton S. Hershey Medical Ctr	Penn State/UMN EB000454-09 Amend 4	93			139,209
Penn State Milton S. Hershey Medical Ctr	U01DK082183	93			125,515
Powerscope Inc	HL081789-Ebbini	93			
Pulmokine, Inc.	No Award Number	93			
RAND Corporation	9920070128 amd 4	93			21,290
RAND Corporation	9920090117 Amendment 3	93	ARRA		1,930
Recombinetics, Inc.	1R41HL108440-S01	93			95,222
Rehabilitation Institute of Chicago	5R24HD050821-08	93			23,859
Research Foundation for Mental Hygiene	93885 Amd. 2	93			47,222
Research Triangle Institute	2-340-0212505 Mod. 2	93			6,094
Rhode Island Hospital	6293	93			59,810

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Rhode Island Hospital	6293/1U01-HL077221-01A1	93			\$ 8,324
Rhode Island Hospital	6293/1U01-HL077221-03	93			2,227
Rhode Island Hospital	CLEVER Trial	93			1,920
Rhode Island Hospital	U01 HL077221-03	93			628
Rush University	5R01HL089862-03	93			34,031
Rutgers, The State Univ. of New Jersey	4421	93			145,033
SAIC Frederick, Inc.	11XS245, MOD#2	93			77,621
SAIC Frederick, Inc.	29XS128 TO15, MOD No. 4	93			334,192
SAIC Frederick, Inc.	29XS128, Order #08, A59101, MOD#04	93			316,951
SAIC Frederick, Inc.	29XS128TO1 Mod 2	93			(957)
SAIC Frederick, Inc.	No Award Number	93			35,941
Saint Louis University	5R01-EY007361-15	93			(712)
Saneron CCEL Therapeutics, Inc.	SRA-2008-09-27 UMN	93			19,419
Sanford Health	SR-2012-05 Am 01	93			7,269
Sanford-Burnham Medical Research Inst.	56596-11807-UMN-01 AMD No. 2	93			73,703
Scripps Research Institute	PO No. 5-21907	93			(1,149)
Seattle Children's	10373SUB	93			7,734
Social & Scientific Systems, Inc	PHR-SUPS2-S-11-00013	93			21,930
St. Jude Children's Research Hospital	111321040-7404436	93			7,175
St. Jude Medical, Inc.	111287180-7466338 Amendment No. 7	93			32,025
St. Luke's-Roosevelt Hospital Center	No Award Number	93			8,576
State Univ. of New York at Stony Brook	58291-2-1096644	93			830,913
Stewart Exposure Assessments, LLC	No Award Number	93			1,655
Teachers College	512115	93			43,557
The Children's Hospital of Philadelphia	320819_01_03/PO 950836RSUB	93	ARRA		182,219
The Children's Hospital of Philadelphia	320886-10-03 Amd. 2	93			10,444
The Children's Hospital of Philadelphia	951178RSUB Amd. 2	93			150,695
The EMMES Corporation	3217-001/N01-DK-6-2868 Mod 3	93			(40,566)
The George Washington University	96-S05 Modification 16	93			320,335
The George Washington University	PO 1000161623	93	ARRA		20,558
The George Washington University	S-MFM1112-JS01	93			49,297
The Henry M. Jackson Foundation	669334 Mod 11 (previously subk # 157423)	93			182,599
The Hospital for Special Surgery	Hospital for Special Surgery/5R01AR04132	93			67,557
The Hospital for Special Surgery	No Award Number	93			47,784
The Ohio State University	60008166	93			
The Ohio State University	Sub No.: 60027773	93			31,047
The Ohio State University Research Fdn.	60020838 amd 1	93			(92,770)
The Ohio State University Research Fdn.	60023142/PO #RF01176353	93			23,226
The Pennsylvania State University	4181-UM-DHHS-5098 Am 2	93			19,504
The State University of New York	1009168/53224	93			1,817
The State University of New York	R770645	93			14,304

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
The State University of New York Buffalo	PTAEO No. 1094939-2-57556	93			\$ 27,322
The State University of New York Buffalo	R780456	93			2,365
The Translational Genomics Research Inst	COTC016	93			3,588
The Univ. of N. Carolina at Chapel Hill	5-30781 amd 3	93			14,106
The Univ. of N. Carolina at Chapel Hill	5-30892 Amd. 2	93			302,973
The Univ. of N. Carolina at Chapel Hill	5-30986 Am 2	93			69,219
The Univ. of N. Carolina at Chapel Hill	5-31200	93			20,505
The Univ. of N. Carolina at Chapel Hill	5-31275 amd 3	93			119,715
The Univ. of N. Carolina at Chapel Hill	5-31276 Amd. 3	93			39,842
The Univ. of N. Carolina at Chapel Hill	5-32044	93			34,237
The Univ. of N. Carolina at Chapel Hill	5-32359	93			23,852
The Univ. of N. Carolina at Chapel Hill	5-32634	93			470
The Univ. of N. Carolina at Chapel Hill	5-51144 Year 4 #3	93			234,761
The Univ. of N. Carolina at Chapel Hill	5-56018 Amendment 8	93			799,913
The Univ. of N. Carolina at Chapel Hill	5-56019 Amendment 8	93			157,226
The Univ. of N. Carolina at Chapel Hill	Subaward No. 5-30827	93			889
The Univ. of N. Carolina at Chapel Hill	Subaward no. 5-31529	93			72,999
The University of Alabama	000350693-002, AMD#2	93			33,768
The University of Alabama	002	93			
The University of Alabama at Birmingham	000231770-195 Am 2	93			87,738
The University of Alabama at Birmingham	000402758-001 Amend No. 2	93			1,084,014
The University of Alabama at Birmingham	000419431-005	93			68,301
The University of Alabama at Birmingham	No Award Number	93			6,397
The University of Alabama at Birmingham	Subcode003/Link265902 mod 5	93			16,217
The University of Alabama at Birmingham	UAB/NIH #N01-AI-30025/000189671-129	93			(664)
The University of Arizona	Y550687	93			63,799
The University of Arizona	Y600266	93			38,347
The University of Iowa	1000662134 Am 4	93			136,137
The University of Iowa	PO #1000806507 Amd 02	93			79,248
The University of Iowa	PO 1000976030/ReqID W000339447	93			4,679
The University of Iowa	PO#1000993479/Grant No. 1 12472 00 01	93			33,431
The University of Iowa	ReqID W000147381/PO 1000732267	93	ARRA		4,606
The University of Iowa	W000316682, PO No. 1000950210 Amd 4	93			875,900
The University of Kansas	FY2007-072-M1	93			5
The University of Kansas	QT81922Q AMD #4	93			348,851
The University of Kentucky Research Fdn.	3048107965-11-301, AMD #1	93	ARRA		33,586
The University of Kentucky Research Fdn.	3048108493-12-392	93			15,900
The University of Toledo	NS2010-065 amend #2	93			2,200
The University of Utah	10011075-02/U01TW006671/0000144722 Ad 02	93			97,799
The University of Utah	10018282-02	93			17,307
The University of Utah	10022504-11	93			521

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
The University of Utah	2409028-04/U01 HL054471	93			\$ (81)
The University of Vermont	23615 amend 1	93	ARRA		9,428
The University of Vermont	NIH Prime N01HC95166	93			94,859
The Wistar Institute	24302-06-307; Haase	93	ARRA		34,968
The Wistar Institute	24341-03-324	93	ARRA		(650)
Treatment Research Institute	UM027841.1 Amd. 3	93			247,579
Tufts Medical Center	5006803-SERV	93			1,695
U of TX Health Science Ctr. at Houston	0005718N/0005718Q/0008802C	93			165,823
U of TX Health Science Ctr. at Houston	0005848B amd 3	93			(3,035)
U of TX Health Science Ctr. at Houston	UTHSC-0000381373/0000440344/0000510049	93			7,298
Univ of Illinois Chicago	2010-01473-01 Amd. 02	93	ARRA		12,609
Univ of Memphis	5-U01DA023812-04 (Amd 8)	93			79,523
Univ of Missouri	C00034047-1 Amend 2	93			196,633
Univ of Texas	11111245/98015291 (33109/98015291)	93			57,353
Univ of Texas Health SCI CTR San Antonio	151259/151249	93			23,666
Univ. of TX M.D. Anderson Cancer Center	PO#27668-2000/38556-2000 30619/98225311	93			21,200
University of California San Diego	10278742-004	93			17,986
University of California San Diego	10311156	93	ARRA		2,519
University of California San Diego	PO 10294267-003	93			1,361
University of California, Berkeley	00007317 Am 01	93			172,425
University of California, Davis	09-001208-1-UMN Amd 3	93			190,507
University of California, Davis	Sub0900161-01	93	ARRA		21,534
University of California, Irvine	2006-1725 AM#10	93			(16,026)
University of California, Irvine	2006-1786 Amendment 6	93			(1,075)
University of California, Irvine	2009-2262 Mod #2	93			22,167
University of California, Irvine	2009-2322 Amd. 2	93	ARRA		46,079
University of California, Irvine	2011-2707	93			7,360
University of California, Los Angeles	1370 G JB009 Am 1	93			(5,718)
University of California, Los Angeles	1370 G KB290 Amd. 4	93	ARRA		16,173
University of California, Los Angeles	1581 G JB150/R01-EB007813	93			151,679
University of California, Los Angeles	1581 G MA203	93			211,310
University of California, Los Angeles	Subaward 1580 G MD968 Amd. 3	93	ARRA		4,415
University of California, San Francisco	3666sc, Amend #6	93			
University of California, San Francisco	5791sc	93	ARRA		9,478
University of California, San Francisco	5890sc/U01NS053998	93			15,290
University of California, San Francisco	6026sc	93			(3,623)
University of California, San Francisco	6452sc/U54AI082973	93	ARRA		20,625
University of California, San Francisco	6755sc/U19AI096109 Amd. 2	93			338,689
University of California, San Francisco	Agreement No. 107781	93			5,845
University of California, San Francisco	Subcontract # 6347sc Amend 1	93			34,856
University of California, San Francisco	Subcontract No. 5930sc Amd. 2	93	ARRA		20,707

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
University of Chicago	39778-5-30596	93			\$ 26,235
University of Chicago	FP000348-8	93			39,412
University of Chicago	No Award Number	93			177,110
University of Connecticut	021911/5P01-AAI056172-07	93	ARRA		(1,677)
University of Connecticut	105511	93			375,194
University of Florida	UF08094	93			(28,049)
University of Florida	UF09020/R01HL091005, Amend 3	93			29,316
University of Florida	UF10179 Amd. 2	93	ARRA		5,399
University of Florida	UF11206	93			504,110
University of Kansas Medical Center	QC858380 PO # RINST-1000149878	93			3,194
University of Kansas Medical Center	QT81922J Amendment 7	93			276,982
University of Kentucky	3048106550-10-035	93	ARRA		12,369
University of Kentucky	3048108539-12-502	93			21,233
University of Maryland	SR00002157	93			34,132
University of Maryland	Z033301 Amd. A	93			139,718
University of Maryland	Z195701 — Mod: E	93			105,766
University of Massachusetts	6114215/RFS900201	93			67,735
University of Miami	AB43024	93			16,162
University of Michigan	3000894033 Amd. 4	93			89,979
University of Michigan	3001109113 AMD No. 5	93			152,376
University of Michigan	3001252045	93	ARRA		(719)
University of Michigan	3001413139-PNT Amend #23	93	ARRA		33,323
University of Michigan	3001688563	93			(668)
University of Michigan	3001702139 Amd. 1	93			3,773
University of Michigan	3001760423	93			87,342
University of Michigan	3001942715 Amd 2	93			126,081
University of Michigan	3002093093	93			9,731
University of Michigan	MASTER-5000002947	93			505,477
University of Michigan	Sub No. 3000998021/HD039386 Amd. 5	93			18,008
University of Michigan	Subaward No. 3002089159 Amend. 1	93			8,708
University of Michigan	Subaward No. 3002138934	93			9,731
University of Notre Dame	201528-MN Amend 03	93			55,911
University of Pennsylvania	10021031 Mod. 1	93	ARRA		23,187
University of Pennsylvania	5-43228/GM069736-04	93			(275)
University of Pennsylvania	553566 Mod. 2	93			6,012
University of Pennsylvania	556786 Amd. 4	93			829,417
University of Pennsylvania	557804	93			8,619
University of Pennsylvania	Subaward No. 553380	93	ARRA		6,129
University of Pittsburgh	0029252 (708046-1)	93			123,085
University of Pittsburgh	0029319 (120132-2)	93			219,853
University of Pittsburgh	110988-1 AM#2	93			(7,534)

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
University of Pittsburgh	1U54DA031659-01 (prev. 1P50DA031659-01)	93			\$ 670,518
University of Pittsburgh	9000613/116483-23	93			11,421
University of Pittsburgh	Subaward 0005174	93			3,915
University of Pittsburgh	Subaward No. 9004499/Project #115604-1	93			2,094
University of Rochester	100029-D am 2	93	ARRA		31,899
University of Rochester	413686-001-G/5-29148	93			10,614
University of Rochester	414771-G/R01MH083979	93			185,129
University of Rochester	PO# 415644-G, UR# 5-27167	93			9,679
University of Rochester	UR Acct: 5-25498/NIH U01NS050095-06	93			5,375
University of South Florida	6119-1117-00-AI Mod. 02	93			17,656
University of Southern California	151327	93			92,815
University of Southern California	H50655/5U24CA074799-13	93			75,380
University of Toronto	489952	93			53,116
University of Virginia	GC12035-136738	93			61,481
University of Virginia	ZC10075-139372, Amend 1	93	ARRA		40,210
University of Virginia	ZC10075-139373	93	ARRA		12,604
University of Virginia	ZC10138-137453 Amd. 01	93	ARRA		126,328
University of Washington	561507, Modification No. 5	93			128,549
University of Washington	704641Z	93	ARRA		90,436
University of Washington	729739	93			144,811
University of Washington	730958	93			81,832
University of Washington	No Award Number	93			12
University of Wisconsin-Madison	164K662 Amd. 3	93			61,279
University of Wisconsin-Madison	164K673 Amd. 2	93			51,923
University of Wisconsin-Madison	169K116 Amd 2	93			60,633
University of Wisconsin-Madison	169K164	93			7,708
University of Wisconsin-Madison	169K186/PRJ26CX	93			(13,613)
University of Wisconsin-Madison	263K211	93			4,154
University of Wisconsin-Madison	280K921/N01-CN-35153	93			59,441
University of Wisconsin-Madison	289K844	93			4,154
University of Wisconsin-Madison	330K050	93			33,475
University of Wisconsin-Madison	333K992	93			41,539
University of Wisconsin-Madison	346K253	93			86,756
University of Wisconsin-Madison	352K660 Amd #1	93			82,140
University of Wisconsin-Madison	396K325	93			1,572
University of Wisconsin-Madison	949N340	93			(4,887)
University of Wisconsin-Madison	P053756/144-PN34 Amendment 2	93			1
University of Wisconsin-Madison	P058446	93			
University of Wisconsin-Madison	Purchase Order B510941 123011	93			(59,025)
University of Wisconsin-Madison	Sub #: 116K340 Mod #3	93			29,443
University of Wisconsin-Madison	Subaward no. 339K194	93			179,920

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
University of Wisconsin-Madison	X195801, Amend 3	93			\$ 105,742
Valley Fever Solutions, Inc.	RC3MD-VF-UMN01/RC3MD005194-01	93	ARRA		380,394
Vanderbilt University	19395-S2 Am#4	93			13,992
Vanderbilt University	21822-S1	93			86,346
Vanderbilt University	VUMC 39096	93			150,406
Vatrix Medical, Inc.	EVAS 0149	93	ARRA		(671)
Veterans Medical Research Foundation	296980Sub-07511	93	ARRA		(3,356)
Virginia Polytechnic Inst. & State Univ.	431696-19114 Mod 1	93			182,340
Wake Forest University	Subaward under DK62418 Amendment 3	93			(3,013)
Wake Forest University	WFUHS 30158	93			582,277
Wake Forest University	WFUHS 66687	93	ARRA		783,507
Wake Forest University	WFUHS10802 Amd 2	93			162,083
Wake Forest University	WFUHS14061, AMD #3	93			30,257
Washington University in St. Louis	WU-01-93 /P.O. #29433A, Amend 6	93			3,442
Washington University in St. Louis	WU-07-100 Amendment 03	93			
Washington University in St. Louis	WU-09-380/U01HL088476 Amend 03	93			3,000
Washington University in St. Louis	WU-09-61, PO #2905161N, Amd #3	93			111,785
Washington University in St. Louis	WU-11-153/PO 2911480P	93			486,699
Washington University in St. Louis	WU-12-221/3U54MH09165702S1	93			1,383,872
Washington University in St. Louis	WU-12-78/PO 2917172T Amend 1	93			12,581
Washington University in St. Louis	WU-HT-09-53/PO 2906090N Amd 03	93			257
Wayne State University	WSU11077	93			9,657
Westat, Inc.	8906 — S06 Mod. 1	93			44,983
Wilson Wolf Manufacturing Corporation	2R44DK069865-03	93			185,613
Wright State University	PSR07213 Amd. 1	93			15,943
Yale University	A06148 (M06A00116) Amendment #4	93			(1,777)
Yale University	A08060 (M11A10911)	93			9,628
Yale University	A08163(M11A10988) amd 1	93			11,320
Yale University	Sub No. A08504(M10A10407) Amd. 6	93			309,138
Yale University	VIRGO R01HL081153 Site 258	93			481
<b>Department of Health and Human Services, Office of Disease Prevention and Health Promotion:</b>					
Pass-through:					
The University of Tennessee — Knoxville	No Award Number	93			(2,722)
<b>Department of Health and Human Services, Office of the Secretary:</b>					
Direct					
		93			53,882
Pass-through:					
Cellerant Therapeutics, Inc.	CLT008-02 Amd. 2	93			20,594
John Snow, Incorporated	No Award Number	93			10,382
MN Department of Health	Contract No. 33847	93			20,447

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Health and Human Services, Substance Abuse and Mental Health Services Administration:</b>					
Direct		93			\$ 329,094
<b>Department of Homeland Security:</b>					
Direct		97			4,282,335
Pass-through:					
Stanford University	28635340-103440-A	97			41,826
Texas A&M University	Subgrant 570446, Amendment 6	97			31,116
The University of Arizona	Y503224	97			100,000
University of Washington	696098	97			12,177
<b>Department of Housing and Urban Development:</b>					
Pass-through:					
MN Metropolitan Council	SG011-037	14			63,422
<b>Department of Housing and Urban Development, Office of Healthy Homes and Lead Hazard Control:</b>					
Direct		14			
Pass-through:					
National Center for Healthy Housing, Inc	NCHH-10-1183	14			2,158
<b>Department of Housing and Urban Development, Office of Policy Development and Research:</b>					
Direct		14			11,248
<b>Department of Justice, Office of Juvenile Justice and Delinquency Prevention:</b>					
Pass-through:					
White Earth Reservation Tribal Council	No Award Number	16			6,837
<b>Department of Labor:</b>					
Pass-through:					
CESSI Accessible Solutions	GS-10F-0006M/T.O. UMN--001	17			102
<b>Department of the Interior:</b>					
Direct		15			207,693
<b>Department of the Interior, Bureau of Reclamation:</b>					
Direct		15			(1,379)
<b>Department of the Interior, Fish and Wildlife Service:</b>					
Direct		15			324,849
Pass-through:					
Michigan Department of Natural Resources	751B6200021/PO#751PO200467	15			

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
MN Department of Natural Resources	B43272	15			\$ 7,807
MN Department of Natural Resources	B45538	15			16,367
MN Department of Natural Resources	Contract No. 45308/P.O. #3000017488	15			7,402
National Fish and Wildlife Foundation	2009-0028-000	15			(33)
Texas Parks and Wildlife Department	No Award Number	15			26,984
<b>Department of the Interior, U.S. Geological Survey:</b>					
Direct		15			1,142,505
Pass-through:					
American View Incorporated	AV08-MN01/08HQGR0157 Mod8	15			40,664
University of Massachusetts	12-007036 B 00	15			836
<b>Department of the Treasury:</b>					
Direct		21			47,738
<b>Department of Transportation:</b>					
Direct		20			3,508,292
Pass-through:					
Alaska DOT and Public Facilities	MOA	20			170,718
Applied Research Associates, Inc	18236-UMN-00	20			20,831
Battelle	216973	20			26,330
CLR Analytics Inc.	No Award Number	20			16,206
MN Department of Transportation	89031 am 3	20			269,538
MN Department of Transportation	89261 W.O. 146	20			37,194
MN Department of Transportation	89261 W.O. 147 Federal Project 8807(095)	20			208
MN Department of Transportation	89261 W.O. 170	20			71,311
MN Department of Transportation	89261 W.O. 222 Am 1	20			49,834
MN Department of Transportation	89261 W.O. 232	20			99,960
MN Department of Transportation	89261 W.O.#56	20			7,028
MN Department of Transportation	99008 W.O. 24	20			62,732
National Academy of Sciences	SHRP S-08(C)	20			14,616
University of Wisconsin — Superior	144 051042 4	20			174,700
University of Wisconsin — Superior	144 051050 4	20			22,310
Westat, Inc.	8626-S-001/TO2 mod 2	20			295,961
Westat, Inc.	8928-S-007 TO 1	20			1,762
<b>Department of Transportation, Federal Highway Administration (FHWA):</b>					
Direct		20			486,324
<b>Department of Transportation, Federal Motor Carrier Safety Administration (FMCSA):</b>					
Pass-through:					
MaineWay Services	No Award Number	20			1,968

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Transportation, Research and Innovative Technology Administration:</b>					
Pass-through:					
South Dakota State University	3TH149/DTOS59-07-G-00054 Amend. 4	20			\$ 107,025
South Dakota State University	3TK149/Roger Ruan Amendment 4	20			55,418
<b>Department of Veterans Affairs:</b>					
Direct		64			741,633
<b>Environmental Protection Agency:</b>					
Pass-through:					
Amer Farmland Trust Ctr for Aging	R5 2010-02	66			23,532
MN Pollution Control Agency	A80491	66			(38,446)
MN Pollution Control Agency	Contract #A80491, Work Order #B19906	66			(5,911)
Technology Specialists	UMN01	66			(5,349)
<b>Environmental Protection Agency, Office of Chemical Safety and Pollution Prevention:</b>					
Direct		66			72,043
<b>Environmental Protection Agency, Office of Enforcement and Compliance Assurance:</b>					
Pass-through:					
MN Department of Agriculture	Contract No. B55901	66			85,410
<b>Environmental Protection Agency, Office of Research and Development (ORD):</b>					
Direct		66			66,300
Pass-through:					
Oregon State University	E0158A-A	66			44,612
Univ of Illinois at Urbana-Champaign	2010-00920-01	66			65,857
University of Washington	120017 Am# 10	66			140,428
University of Wisconsin-Madison	L186804	66			13,406
<b>Environmental Protection Agency, Office of Solid Waste and Emergency Response:</b>					
Direct		66			24,180
<b>Environmental Protection Agency, Office of the Administrator:</b>					
Pass-through:					
Grand Portage Band of Chippewa Indians	No Award Number	66			69,993
MN Pollution Control Agency	B47176 (Justin Watkins)	66			10,773
MN Pollution Control Agency	Contract No. 35699/CR#5233	66			11,852

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Environmental Protection Agency, Office of Water:</b>					
Direct		66			\$ 1,265,603
Pass-through:					
Central Michigan University	No Award Number	66			465,456
Fond du Lac Band of Lk Superior Chippewa	No Award Number	66			997
Great Lakes Observing System	GL00-E00636-0	66			78,571
MN Board of Water and Soil Resources	Contract No. B53614	66			23,250
MN Department of Agriculture	B48457	66			62,774
MN Pollution Control Agency	Agreement No. B20104	66			7,859
MN Pollution Control Agency	Agreement No. B20403	66			60,289
MN Pollution Control Agency	B05899	66			(396)
MN Pollution Control Agency	B37003	66			113,589
MN Pollution Control Agency	B43113	66			101,118
MN Pollution Control Agency	B43119	66			116,142
MN Pollution Control Agency	B43205	66			172,542
MN Pollution Control Agency	B43845	66			73,368
MN Pollution Control Agency	B44876 Amendment 1	66			121,186
MN Pollution Control Agency	Contract No. B41000/000024831	66			37,465
St. Cloud State University	PO128394 am 1	66			1,772
<b>Institute of Museum and Library Services:</b>					
Direct		45			18,471
Pass-through:					
Arrowhead Library System	Addendum A	45			57,412
<b>Medicare Payment Advisory Commission:</b>					
Direct		06			70,006
<b>National Aeronautics and Space Administration:</b>					
Direct		43			3,375,000
Pass-through:					
ERC, Inc.	STR100206	43			43,803
Giner, Inc.	No Award Number	43			15,163
Johns Hopkins University	922613 Mod 29	43			1,863,220
Montclair State University	NNX11AM29K-UOM Amend 1	43			23,521
Mosaic ATM	NNA09DA89C	43			58,097
Mosaic ATM	NNL09AB21T	43			19,535
Planetary Skin Institute	No Award Number	43			742,059
Portland State University	201FOU274	43			47,024
Purdue University	4103-20684 Amd. 18	43			2,403
Systems Technology, Inc.	No Award Number	43			5,211

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Tao of Systems Integration, Inc.	DFRC_UMIN1	43			\$ 48,444
Tao of Systems Integration, Inc.	DFRC_UMIN2/NND11AR64P	43			57,778
The Ohio State University	60027268	43			126,445
The Titan Corporation	2005ARMD00003	43			25,457
The University of North Dakota	1178-16116 Amendment 1	43			2,710
The University of North Dakota	UND0015364	43			2,212
Universities Space Research Association	08521-04, Mod 6	43			48,424
Universities Space Research Association	Contract NAS2-97001	43			8,915
University of California, Berkeley	00007447/975268	43			217,091
University of California, Santa Cruz	50183159	43			13,198
University of Wisconsin-Madison	126K000, am 1	43			5,445
<b>National Aeronautics and Space Administration, Space Telescope Science Institute:</b>					
Direct		43			96,219
<b>National Endowment for the Arts:</b>					
Direct		45	ARRA		5,097
<b>National Endowment for the Humanities:</b>					
Direct		45			29,400
<b>National Science Foundation:</b>					
Direct		47			61,773,622
Direct		47	ARRA		5,601,818
Pass-through:					
American Educational Research Assoc.	No Award Number	47			39,080
Arizona State University	08-976	47			169
California Institute of Technology	44E-1089729	47			95,528
California Institute of Technology	7E-1089377 Mod 1	47			239,477
California State University, Northridge	F-08-2347-1.0/40013948UM	47	ARRA		45,513
Carnegie Mellon University	1121550-259747	47			155,379
Clemson University	1521-206-2008148 Amd. 02	47			17,356
Columbia University	2 (5-24879) am 1	47			28,636
Computing Research Association	CIF-A-187 Amd. 1	47			33,594
Consortium for Ocean Leadership	BA-90	47			14,128
Cornell University	44771-7477	47			845,964
Cornell University	55838-8805, amd 4	47			237,759
Cornell University	61416-9595 Amend 1	47			115,711
Donald Danforth Plant Science Center	23202-MN	47			3,696
Iowa State University	420-20-968	47			16,898
Iowa State University	420-20-96A Amendment No. 1	47			60,082

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Johns Hopkins University	20001027925 Amd. 1	47			\$ 21,789
North Carolina State University	2008-0919-04	47			13,187
Purdue University	4101-31883 Amd 02	47			33,001
Purdue University	501-1273-1 Amd#2	47			
Purdue University	NEES-4101-31877 Am 15	47			814,158
Rice University	CMMI-0800896/R3B331 am 3	47			45,209
Rutgers, The State Univ. of New Jersey	Purchase order # S1110496 Mod. 1	47			25,752
Samuel Roberts Noble Fdn	2011-921-001	47			24,184
Science Museum of Minnesota	No Award Number	47			49,187
Stanford University	23378320-41572-A Amd. 2	47			5,030
Texas Tech University	21P225-01, amd 2	47			149,928
The Adler Planetarium	1266-CDIZCDF-04	47	ARRA		369,727
The Adler Planetarium	1922-VERITAS-01	47	ARRA		16,741
The University of Arizona	Y481381 amend 1	47			3,351
The University of Georgia	RC371-188/4688238 Amd. 1	47			151,022
The University of Georgia	RC371-229-4941826	47			22,511
The University of Georgia	RR272-210/4689648 Amend 4	47			55,470
The University of Oklahoma	2009-03	47			51,684
The University of Texas — Pan American	41EMEC022-01 amendment 2	47			124,439
The University of Texas at Austin	UTA11-001083	47			9,508
United Science LLC	No Award Number	47			7,131
Univ of Missouri	C00004079-2/DBI-0421619 Amd. 4	47			(449)
Univ of Texas	26-1003-8262	47			50,910
University of California San Diego	10303413-SUB Am 001-Revised	47	ARRA		132,965
University of California, Los Angeles	0830 G NA343/DEB-1021397	47			1,602
University of California, Santa Barbara	KK1213	47			34,087
University of Connecticut	6541	47			12,354
University of Delaware	24057 Amend 1	47	ARRA		61,109
University of Florida	UF11211	47			24,284
University of Michigan	3001486277	47			5,126
University of Michigan	3002203945/EF-1115081	47			6,934
University of Michigan	PO 3001966818	47			9,572
University of New Hampshire	11-045	47			26,536
University of Notre Dame	201387UMN	47			54,027
University of Pennsylvania	555729	47			339,812
University of Southern California	Y82270	47			10,577
University of Washington	565206 Mod. 3	47			1,545
University of Wisconsin-Madison	194K902	47			43,484
Whitehead Inst. for Biomedical Research	No Award Number	47			3,045

Note: Blank amounts in the “Federal Expenditures” column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>U.S. Department of State:</b>					
Pass-through:					
International Joint Commission	1042-100766	19			\$ 1,691
<b>United States Institute of Peace:</b>					
Direct		91			<u>3,165</u>
				Total Research and Development Cluster	<u>510,727,184</u>
<b>STUDENT FINANCIAL AID CLUSTER:</b>					
<b>Department of Education:</b>					
Direct		84.379		Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	209,256
<b>Department of Education, Office of Student Financial Assistance Programs:</b>					
Direct		84.007		Federal Supplemental Educational Opportunity Grants	4,216,078
Direct		84.033		Federal Work-Study Program	3,768,513
Direct		84.063		Federal Pell Grant Program	46,938,205
Direct		84.268		Federal Direct Student Loans	419,429,961
<b>Department of Health and Human Services, Health Resources and Services Administration:</b>					
Direct		93.342		Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	<u>2,447,728</u>
				Total Student Financial Aid Cluster	<u>477,009,741</u>
<b>AGING CLUSTER:</b>					
<b>Department of Health and Human Services, Administration on Aging:</b>					
Pass-through:					
Land of the Dancing Sky Agency on Aging	No Award Number	93.044		Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers	<u>5,843</u>
				Total Aging Cluster	<u>5,843</u>

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>CCDF CLUSTER:</b>					
<b>Department of Health and Human Services, Administration for Children and Families:</b>					
Direct		93.575		Child Care and Development Block Grant	\$ 8,977
Pass-through:					
MN Department of Human Services	Contract No. 441437 (Non-ARRA Funding)	93.575		Child Care and Development Block Grant	248,288
MN Department of Human Services	Contract No. 442579 (2010-00224)	93.575		Child Care and Development Block Grant	<u>24,755</u>
Total CCDF Cluster					<u>282,020</u>
<b>CHILD NUTRITION CLUSTER:</b>					
<b>Department of Agriculture, Food and Nutrition Service:</b>					
Pass-through:					
MN Department of Education	No Award Number	10.559		Summer Food Service Program for Children	<u>16,797</u>
Total Child Nutrition Cluster					<u>16,797</u>
<b>EARLY INTERVENTION SERVICES (IDEA) CLUSTER:</b>					
<b>Department of Education, Office of Special Education and Rehabilitative Services:</b>					
Pass-through:					
MN Department of Education	Contract No. B52286	84.181		Special Education-Grants for Infants and Families	<u>42,876</u>
Total Early Intervention Services (IDEA) Cluster					<u>42,876</u>
<b>ECONOMIC DEVELOPMENT CLUSTER:</b>					
<b>Department of Commerce, Economic Development Administration:</b>					
Direct		11.307		Economic Adjustment Assistance	<u>118,406</u>
Total Economic Development Cluster					<u>118,406</u>
<b>FEDERAL TRANSIT CLUSTER:</b>					
<b>Department of Transportation, Federal Transit Administration (FTA):</b>					
Pass-through:					
MN Metropolitan Council	SG-2011-049	20.507		Federal Transit_Formula Grants	<u>70,937</u>
Total Federal Transit Cluster					<u>70,937</u>

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>FISH AND WILDLIFE CLUSTER:</b>					
<b>Department of the Interior, Fish and Wildlife Service:</b>					
Pass-through:					
Florida Fish & Wildlife Conservation Com	FWC Contract No. 08038 Amend 1	15.605		Sport Fish Restoration Program	\$ 11,791
				Total Fish and Wildlife Cluster	11,791
<b>HEAD START CLUSTER:</b>					
<b>Department of Health and Human Services, Administration for Children and Families:</b>					
Direct		93.600		Head Start	92,020
Pass-through:					
MN Department of Education	PO #E3701-3942	93.708	ARRA	ARRA — Head Start	30,109
				Total Head Start Cluster	122,129
<b>HEALTH CENTERS CLUSTER:</b>					
<b>Department of Health and Human Services, Health Resources and Services Administration:</b>					
Direct		93.224		Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School-Based Health Centers)	818,330
				Total Health Centers Cluster	818,330
<b>HIGHWAY PLANNING AND CONSTRUCTION CLUSTER:</b>					
<b>Department of Transportation, Federal Highway Administration (FHWA):</b>					
Pass-through:					
Iowa State University	404-17-05 am 1	20.205		Highway Planning and Construction	28,672
Oregon Trans. Res. & Educ. Consortium	208BER066 AMENDMENT 1	20.205		Highway Planning and Construction	(374)
				Total Highway Planning and Construction Cluster	28,298
<b>HIGHWAY SAFETY CLUSTER:</b>					
<b>Department of Transportation, National Highway Traffic Safety Administration (NHTSA):</b>					
Pass-through:					
Duluth Police Dept	Grant No. C21543 — 2012	20.600		State and Community Highway Safety	7,360
MN Department of Public Safety	Contract No. 38180	20.602		Occupant Protection Incentive Grants	27,699
MN Department of Public Safety	Grant No. 9200-3010	20.600		State and Community Highway Safety	33,135
MN Department of Public Safety	Grant No. 9200-3011	20.600		State and Community Highway Safety	40,424
				Total Highway Safety Cluster	108,618

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>IMMUNIZATION CLUSTER:</b>					
<b>Department of Health and Human Services, Centers for Disease Control and Prevention:</b>					
Pass-through:					
MN Department of Health	Contract No. 40403	93.268		Immunization Grants	\$ 460
MN Department of Health	Contract No. B54189 amd 1	93.268		Immunization Grants	<u>1,035</u>
				Total Immunization Cluster	<u>1,495</u>
<b>JAG PROGRAM CLUSTER:</b>					
<b>Department of Justice:</b>					
Pass-through:					
Legal Rights Center, Incorporated	Subaward No.1;Prime#A-JAG-2011-LRC-00014	16.803	ARRA	Recovery Act — Eward Byrne Memorial Justice Assistance Grant (JAG) Program/Grants to States and Territories	<u>14,852</u>
				Total JAG Program Cluster	<u>14,852</u>
<b>MEDICAID CLUSTER:</b>					
<b>Department of Health and Human Services, Centers for Medicare and Medicaid Services:</b>					
Pass-through:					
MN Department of Health	Contract No. 31719	93.778		Medical Assistance Program	<u>1,666</u>
				Total Medicaid Cluster	<u>1,666</u>
<b>SNAP CLUSTER:</b>					
<b>Department of Agriculture, Food and Nutrition Service:</b>					
Pass-through:					
MN Department of Human Services	37219/3000005633	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	6,263,294
MN Department of Human Services	438697/A3000008594	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	5
MN Department of Human Services	443954/A3000012889	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	<u>2,565,168</u>
				Total SNAP Cluster	<u>8,828,467</u>

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>SPECIAL EDUCATION CLUSTER (IDEA):</b>					
<b>Department of Education, Office of Special Education and Rehabilitative Services:</b>					
Pass-through:					
MN Department of Education	2011-00179 (B51079)	84.027		Special Education_Grants to States	\$ 72,127
MN Department of Education	B48237/UFARS 824/2010-00196 Amd. 1	84.173		Special Education_Preschool Grants	6,435
MN Department of Education	B48238/UFARS 824/Grant 2010-00197	84.173		Special Education_Preschool Grants	8,682
MN Department of Education	B49538/34373	84.027		Special Education_Grants to States	30,225
MN Department of Education	Grant No. B43118	84.173		Special Education_Preschool Grants	<u>114,160</u>
Total Special Education Cluster (IDEA)					<u>231,629</u>
<b>STATE FISCAL STABILIZATION FUND CLUSTER:</b>					
<b>Department of Education:</b>					
Pass-through:					
St of MN	No Award Number	84.394	ARRA	State Fiscal Stabilization Fund (SFSF) — Education State Grants, Recovery Act	317,460
St of MN	No Award Number	84.397	ARRA	State Fiscal Stabilization Fund (SFSF) — Government Services, Recovery Act	<u>(12)</u>
Total State Fiscal Stabilization Fund Cluster					<u>317,448</u>
<b>TANF CLUSTER:</b>					
<b>Department of Health and Human Services, Administration for Children and Families:</b>					
Pass-through:					
MN Department of Health	Grant No. B31307 AMD No. 2	93.558		Temporary Assistance for Needy Families	<u>171,407</u>
Total TANF Cluster					<u>171,407</u>
<b>TITLE I, PART A CLUSTER:</b>					
<b>Department of Education, Office of Elementary and Secondary Education:</b>					
Pass-through:					
MN Department of Education	B47247 (2011-00108)	84.010		Title I Grants to Local Educational Agencies	<u>34,553</u>
Total Title I, Part A Cluster					<u>34,553</u>
<b>TRIO CLUSTER:</b>					
<b>Department of Education, Office of Postsecondary Education:</b>					
Direct		84.042		TRIO_Student Support Services	333,062
Direct		84.047		TRIO_Upward Bound	1,096,451
Direct		84.217		TRIO_McNair Post-Baccalaureate Achievement	<u>310,735</u>
Total TRIO Cluster					<u>1,740,248</u>

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>WIA CLUSTER:</b>					
<b>Department of Labor, Employment Training Administration:</b>					
Pass-through:					
MN Dept. of Employment & Economic Dev.	B01646	17.258		WIA Adult Program	\$ (7)
MN Dept. of Employment & Economic Dev.	Contract No. B32084	17.258		WIA Adult Program	65,674
Total WIA Cluster					65,667
Total Clustered Programs					1,000,770,402
<b>Nonclusters</b>					
<b>PUBLIC SERVICE:</b>					
<b>Agency for International Development:</b>					
Pass-through:					
Chemonics International, Inc.	AID-611-C-12-00001-UMN	98.CXX		Contract — United States Agency for International Development	22,943
Development Alternatives, Inc.	GHN-A-00-09-00015-00 AMD 4	98.CXX		Contract — United States Agency for International Development	4,165,039
<b>Corporation for National and Community Service:</b>					
Direct		94.002		Retired and Senior Volunteer Program	54,773
Pass-through:					
MN Pollution Control Agency	B49188	94.006		AmeriCorps	4,712
MN Pollution Control Agency	CR# 5213, SWIFT# 35363	94.006		AmeriCorps	6,396
University of Wisconsin-Superior	UWS #144-011233-4, UW Ext. #11-25	94.005		Learn and Serve America_Higher Education	4,590
<b>Department of Agriculture:</b>					
Direct		10.CXX		Contract — Department of Agriculture	190,741
Direct		10.UXX		Unid CFDA — Department of Agriculture	481,812
Pass-through:					
Science Applications International Corp.	4400155099	10.CXX		Contract — Department of Agriculture	
Sugarloaf: The N Shore Stewardship Assoc	No Award Number	10.CXX		Contract — Department of Agriculture	10,532
<b>Department of Agriculture, Agricultural Marketing Service:</b>					
Pass-through:					
MN Department of Agriculture	B36374	10.170		Specialty Crop Block Grant Program — Farm Bill	2,996
MN Department of Agriculture	Contract No. 36342	10.170		Specialty Crop Block Grant Program — Farm Bill	6,704
MN Fruit and Vegetable Growers	No Award Number	10.170		Specialty Crop Block Grant Program — Farm Bill	22,066
<b>Department of Agriculture, Agricultural Research Service:</b>					
Direct		10.001		Agricultural Research_Basic and Applied Research	3,567

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)



# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Agriculture, Animal and Plant Health Inspection Service:</b>					
Direct		10.025		Plant and Animal Disease, Pest Control, and Animal Care	\$ 1,340,135
Pass-through:					
Kansas State University	S11105 Mod #1	10.025		Plant and Animal Disease, Pest Control, and Animal Care	146,013
Minnesota Board of Animal Health	Contract No. 37635	10.025		Plant and Animal Disease, Pest Control, and Animal Care	18,225
WI Tribal Conservation Advisory Council	10-9655-1040CA	10.025		Plant and Animal Disease, Pest Control, and Animal Care	10,208
<b>Department of Agriculture, Economic Research Service:</b>					
Direct		10.250		Agricultural and Rural Economic Research	29,703
<b>Department of Agriculture, Farm Service Agency:</b>					
Direct		10.435		State Mediation Grants	421,308
<b>Department of Agriculture, Food Safety and Inspection Service:</b>					
Direct		10.479		Food Safety Cooperative Agreements	424,013
<b>Department of Agriculture, Foreign Agricultural Service:</b>					
Direct		10.777		Norman E. Borlaug International Agricultural Science and Technology Fellowship	31,202
Direct		10.960		Technical Agricultural Assistance	85,976
Direct		10.962		Cochran Fellowship Program — International Training-Foreign Participant	16,255
<b>Department of Agriculture, Forest Service:</b>					
Direct		10.688	ARRA	Recovery Act of 2009: Wildland Fire Management	73,017
Pass-through:					
MN Department of Natural Resources	B49709 Amend 1	10.664		Cooperative Forestry Assistance	60,757
MN Department of Natural Resources	Contract No. 33619	10.680		Forest Health Protection	9,054
MN Department of Natural Resources	Contract No. 39711	10.664		Cooperative Forestry Assistance	25,471
MN Department of Natural Resources	Contract No. B35752	10.664		Cooperative Forestry Assistance	(3,122)
MN Department of Natural Resources	Contract No. B56044	10.678		Forest Stewardship Program	11,819
St of MN	Contract No. 41452	10.678		Forest Stewardship Program	3,615
<b>Department of Agriculture, National Institute of Food and Agriculture:</b>					
Direct		10.200		Grants for Agricultural Research, Special Research Grants	6,669

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Direct		10.210		Food and Agricultural Sciences National Needs Graduate Fellowship Grants	\$ 104,166
Direct		10.215		Sustainable Agriculture Research and Education	1,278,461
Direct		10.303		Integrated Programs	125,903
Direct		10.304		Homeland Security_Agricultural	57,958
Direct		10.307		Organic Agriculture Research and Extension Initiative	11,042
Direct		10.315	ARRA	Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF)	10,758,763
Direct		10.319		Farm Business Management and Benchmarking Competitive Grants Program	920,581
Direct		10.500		Cooperative Extension Service	12,222,341
Pass-through: Auburn University Cornell University	10-USDA-Army-UMN-2 61384-9313	10.500 10.303		Cooperative Extension Service Integrated Programs	33,739 57,643
Dine Inc	001	10.311		Beginning Farmer and Rancher Development Program	9,412
Iowa State University	410-30-30 /2011-51150-30887	10.200		Grants for Agricultural Research, Special Research Grants	2,492
Iowa State University	416-30-13A	10.500		Cooperative Extension Service	4,080
Kansas State University	S08038 Modification 5	10.500		Cooperative Extension Service	21,406
Kansas State University	S10159 Mod. 1	10.500		Cooperative Extension Service	(52)
Kansas State University	S10189.03	10.206		Grants for Agricultural Research_Competitive Research Grants	31,248
Kansas State University	S11005	10.206		Grants for Agricultural Research_Competitive Research Grants	2,466
Kansas State University	S11150	10.500		Cooperative Extension Service	35,691
Kansas State University	S11189	10.500		Cooperative Extension Service	641,485
Kansas State University	S12042/2010-48661-21868 prime	10.500		Cooperative Extension Service	66,052
Kansas State University	S12195	10.500		Cooperative Extension Service	1,254
Michigan State University	61-4080N Amend. 2	10.200		Grants for Agricultural Research, Special Research Grants	1,501
N. Central Regional Ctr. for Rural Dev.	61-4123UM	10.200		Grants for Agricultural Research, Special Research Grants	1,903
North Central Risk Mgmt Education Center	25-6324-0097-309	10.500		Cooperative Extension Service	5,939
Purdue University	8000041931-AG Amd. 1	10.310		Agriculture and Food Research Initiative (AFRI)	71,562
The University of Arizona	Y560290	10.500		Cooperative Extension Service	17,897
The University of Arizona	Y561992/2010-48869-20693	10.500		Cooperative Extension Service	4,407
Univ of Illinois at Urbana-Champaign	2007-04967-45	10.303		Integrated Programs	10,000

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Univ of Missouri	C00035385-9	10.319		Farm Business Management and Benchmarking Competitive Grants Program	\$ 29,530
University of Kentucky	3048106543-10-150	10.500		Cooperative Extension Service	1,653
University of Nebraska-Lincoln	25-6321-0212-006, am 1	10.310		Agriculture and Food Research Initiative (AFRI)	55,376
University of Nebraska-Lincoln	25-6329-0059-006	10.500		Cooperative Extension Service	49,911
University of Wisconsin-Madison	105K151, am 6	10.303		Integrated Programs	30,712
University of Wisconsin-Madison	224K814	10.500		Cooperative Extension Service	4,214
University of Wisconsin-Madison	345K284/2011-51130-31148 prime	10.303		Integrated Programs	13,138
University of Wisconsin-Madison	351K201	10.500		Cooperative Extension Service	13,117
<b>Department of Agriculture, Natural Resources Conservation Service:</b>					
Direct		10.912		Environmental Quality Incentives Program	4,608
Pass-through:					
MN Department of Agriculture	B51950	10.902		Soil and Water Conservation	1,601
University of Wisconsin Extension	363K893/68-3A75-11-268 prime	10.902		Soil and Water Conservation	109,512
<b>Department of Agriculture, Risk Management Agency:</b>					
Pass-through:					
MN Department of Agriculture	B51971, Contract No. 25848	10.456		Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers)	13,671
MN Fruit and Vegetable Growers	10IE53103012	10.458		Crop Insurance Education in Targeted States	2,832
MN Fruit and Vegetable Growers	No Award Number	10.458		Crop Insurance Education in Targeted States	24,723
<b>Department of Commerce:</b>					
Direct		11.CXX		Contract — Department of Commerce	84,968
Pass-through:					
Limno-Tech, Inc.	No Award Number	11.CXX		Contract — Department of Commerce	3,293
<b>Department of Commerce, Economic Development Administration:</b>					
Direct		11.303		Economic Development_Technical Assistance	73,213
Pass-through:					
Harvard University	05-137270	11.303		Economic Development_Technical Assistance	16,857
<b>Department of Commerce, National Oceanic and Atmospheric Administration (NOAA):</b>					
Direct		11.417		Sea Grant Support	850,367
Pass-through:					
Minnesota's Lake Superior Coastal Prog.	306-08-10(B17957)	11.419		Coastal Zone Management Administration Awards	(4,263)
Minnesota's Lake Superior Coastal Prog.	306-11-11	11.419		Coastal Zone Management Administration Awards	34,660
Minnesota's Lake Superior Coastal Prog.	306-STAR06-11/B54063	11.419		Coastal Zone Management Administration Awards	5,000
Oregon State University	NA221A-A, Amendment 2	11.431		Climate and Atmospheric Research	7,746
The Ohio State University	60027745, P.O. RF01225330, Amend. 1	11.473		Coastal Services Center	3,302

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
The Ohio State University	P.O. RF01085207-Mod 4 & 5	11.417		Sea Grant Support	\$ 24,729
The Ohio State University Research Fdn.	PORF01163422	11.431		Climate and Atmospheric Research	96
Univ of Illinois at Urbana-Champaign	2006-01041-01	11.417		Sea Grant Support	25,000
University of Michigan	P.O. 3001700287, Amend. 1	11.473		Coastal Services Center	2,818
University of Wisconsin-Madison	343K523	11.417		Sea Grant Support	7,941
University of Wisconsin-Madison	Sub No 256K270	11.417		Sea Grant Support	13,357
<b>Department of Commerce, National Telecommunications and Information Administration:</b>					
Direct		11.557	ARRA	Broadband Technology Opportunities Program (BTOP)	890,937
Pass-through:					
Blandin Foundation	U20010-0001	11.557	ARRA	Broadband Technology Opportunities Program (BTOP)	182,367
Blandin Foundation	U2010-0014/27-43-B10515	11.557	ARRA	Broadband Technology Opportunities Program (BTOP)	432,893
<b>Department of Defense:</b>					
Direct		12.CXX		Contract — Department of Defense	345,393
Pass-through:					
Rockwell Collins, Inc.	4503791445	12.CXX		Contract — Department of Defense	3,134
Thermacore, Inc.	UMN-15068 nce	12.CXX		Contract — Department of Defense	245,736
<b>Department of Defense, Department of the Air Force, Materiel Command:</b>					
Direct		12.800		Air Force Defense Research Sciences Program	3,809
<b>Department of Defense, Department of the Navy, Office of the Chief of Naval Research:</b>					
Direct		12.300		Basic and Applied Scientific Research	222,109
<b>Department of Defense, National Security Agency:</b>					
Direct		12.900		Language Grant Program	35,687
<b>Department of Defense, U.S. Army Materiel Command:</b>					
Direct		12.431		Basic Scientific Research	1
<b>Department of Education:</b>					
Pass-through:					
American Institutes for Research	00651-03014.001/PO12SRT10024	84.UXX		Unid CFDA — Department of Education	84,097
Cass Lake Bena High School	No Award Number	84.CXX		Contract — Department of Education	1,499
Hopkins Public Schools ISD 270	No Award Number	84.CXX		Contract — Department of Education	105,821
Saint Paul Public Schools ISD 625	P.O. #1050942	84.CXX		Contract — Department of Education	14,893
Wilderness Inquiry, Inc.	No Award Number	84.UXX		Unid CFDA — Department of Education	(38)
Wisconsin Dept of Public Instruction	PO # PAJ000470/PAA000127/PAB000005	84.CXX		Contract — Department of Education	15,555

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Education, Institute of Education Sciences:</b>					
Direct		84.305		Education Research, Development and Dissemination	\$ 657,822
Direct		84.324		Research in Special Education	606,123
Pass-through: National Opinion Research Center	UMINN-5774-01	84.305		Education Research, Development and Dissemination	9,551
<b>Department of Education, Office of Educational Research and Improvement:</b>					
Pass-through: Augsburg College	21st Century Community Learning Centers	84.287		Twenty-First Century Community Learning Centers	4,000
MN Department of Education	2008-00031/B09827	84.287		Twenty-First Century Community Learning Centers	8,658
MN Department of Education	B49350	84.287		Twenty-First Century Community Learning Centers	40,581
YMCA of the Greater Twin Cities	No Award Number	84.287		Twenty-First Century Community Learning Centers	12,960
<b>Department of Education, Office of Elementary and Secondary Education:</b>					
Pass-through: MN Department of Education	2005-00007	84.366		Mathematics and Science Partnerships	(19)
MN Office of Higher Education	A97805	84.367		Improving Teacher Quality State Grants	(513)
MN Office of Higher Education	A97811	84.367		Improving Teacher Quality State Grants	(285)
MN Office of Higher Education	A97813	84.367		Improving Teacher Quality State Grants	(6,828)
MN Office of Higher Education	B38611	84.367		Improving Teacher Quality State Grants	4
MN Office of Higher Education	Contract No. 40928	84.367		Improving Teacher Quality State Grants	6,842
MN Office of Higher Education	Contract No. 40942/30526	84.367		Improving Teacher Quality State Grants	9,422
MN Office of Higher Education	Contract No. B38613	84.367		Improving Teacher Quality State Grants	9,721
MN Office of Higher Education	Contract No. B52350	84.367		Improving Teacher Quality State Grants	50,669
MN Office of Higher Education	Contract No. B52352 Amd #1	84.367		Improving Teacher Quality State Grants	46,384
MN Office of Higher Education	Contract No. B52353	84.367		Improving Teacher Quality State Grants	51,332
MN Office of Higher Education	Grant No. B25078	84.367		Improving Teacher Quality State Grants	(91)
MN Office of Higher Education	Grant No. B52351, am1	84.367		Improving Teacher Quality State Grants	38,289
St of MN	Contract No. B54419	84.368		Grants for Enhanced Assessment Instruments	601,487
The University of Oklahoma	30027448-P06	84.283		Comprehensive Centers	
<b>Department of Education, Office of English Language Acquisition:</b>					
Pass-through: Mpls. Public Schools Special Dist. #1	10/22/08	84.293		Foreign Language Assistance	12,801
<b>Department of Education, Office of Innovation and Improvement:</b>					
Pass-through: Cloquet Public Schools ISD 94	No Award Number	84.351		Arts in Education	33,122
Saint Paul Public Schools ISD 625	PO No. 1050514	84.363		School Leadership	101,495
SRI International	51-001248	84.396	ARRA	State Fiscal Stabilization Fund (SFSF) — Investing in Innovation (i3) Fund, Recovery Act	9,924

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Education, Office of Postsecondary Education:</b>					
Direct		84.015		National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	\$ 642,668
Direct		84.017		International Research and Studies	100,805
Direct		84.116		Fund for the Improvement of Postsecondary Education	278,526
Direct		84.200		Graduate Assistance in Areas of National Need	93,779
Direct		84.220		Centers for International Business Education	299,601
Pass-through:					
Fond du Lac Tribal & Community College	Purchase Order No. 15948	84.031		Higher Education_Institutional Aid	14,816
Minnesota State Colleges & Universities	P.O. Number: 34309, 38450, 38449	84.031		Higher Education_Institutional Aid	36,034
St of MN	Contract No. B54863	84.334		Gaining Early Awareness and Readiness for Undergraduate Programs	16,751
Univ of Illinois at Urbana-Champaign	2008-05362-01 Amendment 2	84.116		Fund for the Improvement of Postsecondary Education	38,956
<b>Department of Education, Office of Safe and Drug-Free Schools:</b>					
Direct		84.184		Safe and Drug-Free Schools and Communities_National Programs	10,637
Pass-through:					
Constitutional Rights FDN	No Award Number	84.304		Civic Education — We the People and the Cooperative Education Exchange Program	146,223
Duluth Public Schools ISD 709	No Award Number	84.184		Safe and Drug-Free Schools and Communities_National Programs	12,262
<b>Department of Education, Office of Special Education and Rehabilitative Services:</b>					
Direct		84.133		National Institute on Disability and Rehabilitation Research	463,485
Direct		84.325		Special Education — Personnel Development to Improve Services and Results for Children with Disabilities	220,818
Direct		84.326		Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	2,429,410
Direct		84.327		Special Education_Technology and Media Services for Individuals with Disabilities	290,479
Direct		84.373		Special Education_Technical Assistance on State Data Collection	6,310,845

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Pass-through: Ball State University	Amd 1	84.373		Special Education_Technical Assistance on State Data Collection	
MN Department of Education	B52449 (2011-00450) Amd. 2	84.323		Special Education — State Personnel Development	\$ 29,602
MN Department of Education	Contract No. B36388/UFARS 840 Amend. 2	84.323		Special Education — State Personnel Development	124,293
Salus University	83401	84.325		Special Education — Personnel Development to Improve Services and Results for Children with Disabilities	70,670
<b>Department of Education, Office of Vocational and Adult Education:</b>					
Pass-through: MN Department of Education	Contract No. B50281 (Grant 2011-00203)	84.048		Career and Technical Education — Basic Grants to States	1,386
MN Department of Education	EGrant No. 2012-00094 (Contract #35650)	84.048		Career and Technical Education — Basic Grants to States	50,501
University of Louisville	ULRF 08-0181-02	84.051		Career and Technical Education — National Programs	268,368
University of Louisville	ULRF 08-0181-07	84.051		Career and Technical Education — National Programs	41,911
<b>Department of Energy:</b>					
Direct		81.122	ARRA	Electricity Delivery and Energy Reliability, Research, Development and Analysis	656,947
Direct		81.CXX		Contract — Department of Energy	44,209
Direct		81.UXX		Unid CFDA — Department of Energy	11,103
Pass-through: Amer Iron and Steel Inst MN Department of Commerce	No Award Number 32115/PO 348	81.CXX		Contract — Department of Energy	107,755
MN Department of Commerce	40894 PO #1080	81.128	ARRA	Energy Efficiency and Conservation Block Grant Program (EECBG)	48,865
MN Department of Commerce		81.128	ARRA	Energy Efficiency and Conservation Block Grant Program (EECBG)	52,949
MN Department of Commerce	Contract No. 34569, P.O. #560	81.041	ARRA	State Energy Program	42,013
MN Department of Commerce	Contract No. B55605	81.CXX		Contract — Department of Energy	82,318
<b>Department of Energy, National Nuclear Security Administration:</b>					
Direct		81.C03		Contract — Department of Energy — Sandia National Laboratory	49,999

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Energy, Office of Science:</b>					
Direct		81.C06		Contract — Department of Energy — Fermi National Accelerator Laboratory	\$ 1,380,089
Pass-through:					
Battelle	Subcontract 4000108966	81.C10	ARRA	Contract — Department of Energy — Oak Ridge National Laboratory	46,225
University of California, Berkeley	6896814 Mod. 6	81.C08		Contract — Department of Energy — Lawrence Berkley National Laboratory	157,285
<b>Department of Health and Human Services:</b>					
Direct		93.CXX		Contract — Department of Health and Human Services	414,014
Direct		93.U02		Unid CFDA — Department of Health and Human Services — Other PHS	108,890
Pass-through:					
Assoc. of Maternal & Child Health Prog.	2012-03-0312-02	93.U02		Unid CFDA — Department of Health and Human Services — Other PHS	875
Fairview Health Services	5H30MC00032-22/FV20009-201	93.U02		Unid CFDA — Department of Health and Human Services — Other PHS	19,458
Fond du Lac Band of Lk Superior Chippewa	No Award Number	93.UXX		Unid CFDA-Department of Health and Human Services	31,875
MN Department of Health	B37568	93.CXX		Contract — Department of Health and Human Services	3,466
MN Department of Human Services	433288	93.CXX		Contract — Department of Health and Human Services	1,015
MN Department of Human Services	438065/A3000007754	93.CXX		Contract — Department of Health and Human Services	
Mpls. Public Schools Special Dist. #1	AFL Project	93.CXX		Contract — Department of Health and Human Services	67,896
RAND Corporation	9920120003	93.CXX		Contract — Department of Health and Human Services	125,619
State of Oregon	Agreement No. 131053	93.CXX		Contract — Department of Health and Human Services	19,488
Thomson Reuters Healthcare Inc.	CMS-DEHPG-SUB-01	93.UXX		Unid CFDA — Department of Health and Human Services	4,814
University of Michigan	PO 3001906388	93.CXX		Contract — Department of Health and Human Services	10,000

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Health and Human Services, Administration for Children and Families:</b>					
Direct		93.592		Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants	\$ 172,718
Direct		93.631		Developmental Disabilities Projects of National Significance	74,644
Direct		93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	533,557
Direct		93.648		Child Welfare Research Training or Demonstration	4
Direct		93.C01		Contract — Department of Health and Human Services — Administration for Children and Families	122,146
Pass-through: Hennepin County	UM1004, PO #63652	93.C01		Contract — Department of Health and Human Services — Administration for Children and Families	80,044
Louisiana State University	No Award Number	93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	30,651
MN Department of Human Services	GRK% 30794	93.603		Adoption Incentive Payments	90,729
PACER Center, Inc.	No Award Number	93.631		Developmental Disabilities Projects of National Significance	6,000
Ramsey County	90CA1753/01	93.670		Child Abuse and Neglect Discretionary Activities	249,401
Univ of Missouri	29466/00032433	93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	26,158
University of Massachusetts	S2009000009663 Amd. 4	93.C01		Contract — Department of Health and Human Services-Administration for Children and Families	95,496
<b>Department of Health and Human Services, Agency for Healthcare Research and Quality:</b>					
Direct		93.226		Research on Healthcare Costs, Quality and Outcomes	42,337
Direct		93.C03		Contract — Department of Health and Human Services — Agency for Health Care Policy and Research	42,190
Pass-through: American Institutes for Research	00316-02434.003 PO #10YMT10048	93.C03		Contract — Department of Health and Human Services — Agency for Health Care Policy and Research	39,688
Health Research & Educational Trust	HHSA2902010000251/80797	93.C03		Contract — Department of Health and Human Services — Agency for Health Care Policy and Research	1,363

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Health and Human Services, Centers for Disease Control and Prevention:</b>					
Direct		93.067		Global AIDS	\$ 647,951
Direct		93.069		Public Health Emergency Preparedness	678,446
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	368,105
Direct		93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	10,654
Direct		93.C05		Contract — Department of Health and Human Services — Centers for Disease Control	848,957
Direct		93.C06		Contract — Department of Health and Human Services — Centers for Disease Control — NIOSH	10,500
Pass-through:					
American Psychological Association	SESRC Mini-Grant	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	2,840
Assn of St & Territorial Hlth Officials	16007, Contract #116, AMD No. 2	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	203,144
Assn of St & Territorial Hlth Officials	CDC Prime Award U50/CCU313903	93.C06		Contract — Department of Health and Human Services — Centers for Disease Control — NIOSH	(69)
Assn of St & Territorial Hlth Officials	contract 155/project 16007-16012/PO 2333	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	220,692
Assn of St & Territorial Hlth Officials	PO2223, Project 16007/16012	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	220
City of Minneapolis	Contract No. C-35337	93.C05		Contract — Department of Health and Human Services — Centers for Disease Control	6,000
Fairview Health Services	2009-2001/1U27DD000262-0	93.184		Disabilities Prevention	8,663
Great Lakes Hemophilia FDN	GLHF/CDC 1U01-DD000197-05	93.C06		Contract — Department of Health and Human Services-Centers for Disease Control — NIOSH	(106)
MN Department of Health	B09917	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	31
MN Department of Health	B48441 Amd. 4	93.724	ARRA	ARRA — Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA)	432,185
MN Department of Health	Contract No. 43497/P.O. #3000005968	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	2,124
MN Department of Health	Contract No. B24530 Amendment 3 (B41647)	93.C05		Contract — Department of Health and Human Services-Centers for Disease Control	59,560
MN Department of Health	Contract No. B39297/B52670	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	59,984

Note: Blank amounts in the “Federal Expenditures” column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
MN Department of Health	PO B37703/B48256	93.940		HIV Prevention Activities_Health Department Based	\$ 72,151
Natl Assoc of Chronic Disease Directors	PO# 512011	93.C05		Contract — Department of Health and Human Services — Centers for Disease Control	7,501
PA Coalition Against Domestic Violence	20098430-A01 — 2	93.C06		Contract-Department of Health and Human Services — Centers for Disease Control — NIOSH	53,697
<b>Department of Health and Human Services, Centers for Medicare and Medicaid Services:</b>					
Direct		93.C07		Contract — Department of Health and Human Services — Centers for Medicare and Medicaid Services	3,031,532
Direct		93.C07	ARRA	Contract — Department of Health and Human Services — Centers for Medicare and Medicaid Services	751,729
Pass-through: Mathematica Policy Research, Inc.	Subcontract 06855S01674 modification 1	93.C07		Contract — Department of Health and Human Services — Centers for Medicare and Medicaid Services	6,354
MN Dept. of Employment & Economic Dev.	B00357 (Amend No.9)	93.768		Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities	55,378
The CNA Corp	05-UofMINN-5-3348 mod 4 & 5	93.C07		Contract — Department of Health and Human Services — Centers for Medicare and Medicaid Services	(7,760)
<b>Department of Health and Human Services, Food and Drug Administration:</b>					
Direct		93.103		Food and Drug Administration_Research	388,044
<b>Department of Health and Human Services, Health Resources and Services Administration:</b>					
Direct		93.107		Area Health Education Centers Point of Service Maintenance and Enhancement Awards	920,008
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	374,484
Direct		93.211		Telehealth Programs	493,610
Direct		93.241		State Rural Hospital Flexibility Program	786,949
Direct		93.516		Affordable Care Act (ACA) Public Health Training Centers Program	381,728
Direct		93.703	ARRA	ARRA Grants to Health Center Programs	37,536
Direct		93.969		Geriatric Education Centers	424,956
Direct		93.C09		Contract — Department of Health and Human Services — Health Resources and Services Administration	509,832

Note: Blank amounts in the “Federal Expenditures” column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Pass-through: Dartmouth College	840	93.155		Rural Health Research Centers	\$ 5,152
Michigan Public Health Institute	K-38818-115-504200 & K-38818-135-504200	93.110		Maternal and Child Health Federal Consolidated Programs	6,870
Michigan Public Health Institute	K-38821-115-504200	93.110		Maternal and Child Health Federal Consolidated Programs	15,561
Minneapolis Medical Research Foundation	HSH250201000018C	93.C09		Contract — Department of Health and Human Services — Health Resources and Services Administration	126,240
MN Department of Health	B43207	93.236		Grants to States to Support Oral Health Workforce Activities	9,498
MN Department of Health	B43208	93.236		Grants to States to Support Oral Health Workforce Activities	25,875
MN Department of Health	B43262	93.236		Grants to States to Support Oral Health Workforce Activities	38,420
MN Department of Human Services	Contract No. 31387	93.256		State Health Access Program	50,628
MN Department of Human Services	Contract No. 442539 Amendment No. 1	93.256		State Health Access Program	22,853
Northeast MN Area Health Education Ctr.	Subaward UMN005	93.912		Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	9,414
Univ of Illinois Chicago	E0009783/494320/E6801	93.145		AIDS Education and Training Centers	(96)
<b>Department of Health and Human Services, National Institutes of Health:</b>					
Direct		93.389		National Center for Research Resources	172,977
Direct		93.395		Cancer Treatment Research	5,000
Direct		93.397		Cancer Centers Support Grants	57,167
Direct		93.701	ARRA	Trans — NIH Recovery Act Research Support	2,453,734
Direct		93.865		Child Health and Human Development Extramural Research	(2,978)
Direct		93.C11		Contract — Department of Health and Human Services — National Institutes of Health	202,316
Pass-through: American College of Radiology	ACRIN	93.C11		Contract — Department of Health and Human Services — National Institutes of Health	9,000
Axio Res Corp	No Award Number	93.C11		Contract — Department of Health and Human Services — National Institutes of Health	24,366
Beckman Res. Inst. of the City of Hope	Agreement No. 22102.944847.6560	93.C11		Contract — Department of Health and Human Services — National Institutes of Health	5,285
Brigham and Women's Hospital	CALGB	93.U01		Unid CFDA — Department of Health and Human Services — NIH	19,380

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Children's Oncology Group (COG) Harvard University Jaeb Center for Health Research	16623/U10-CA98543-05 111054-0033 Amd. 3 DRCR Coord Site Visit	93.395 93.701 93.U01		Cancer Treatment Research ARRA Trans — NIH Recovery Act Research Support Unid CFDA — Department of Health and Human Services — NIH	\$ 2 123,365 1,013
Minneapolis Medical Research Foundation	RELAX Trial, Site No. 503	93.C11		Contract — Department of Health and Human Services — National Institutes of Health	(9,519)
National Childhood Cancer Foundation National Opinion Research Center	Subcontract No. 21121 UMINN-5791 Amd. 02	93.395 93.865		Cancer Treatment Research Child Health and Human Development Extramural Research	23,042 13,469
San Diego State University Foundation University of Illinois at Chicago University of Illinois at Chicago	54138B P3290 7802 211 2010-06621/HHSN-276-2011-00005C prime N01-LM-6-3503	93.839 93.879 93.C11		Blood Diseases and Resources Research Medical Library Assistance Contract — Department of Health and Human Services — National Institutes of Health	(13,344) 5,024 11
<b>Department of Health and Human Services, Office of the Secretary:</b>					
Pass-through:					
MN Department of Health Nat'l Assoc. of Cty. & City Health Off.	Grant No. 37107 MRC 090004	93.500 93.008		Pregnancy Assistance Fund Program Medical Reserve Corps Small Grant Program	33,821
<b>Department of Health and Human Services, Substance Abuse and Mental Health Services Administration:</b>					
Direct					
		93.243		Substance Abuse and Mental Health Services_ Projects of Regional and National Significance	408,144
Pass-through:					
White Earth Reservation Tribal Council	No Award Number	93.C12		Contract — Department of Health and Human Services — Substance Abuse and Mental Health Services Administration	18,503
<b>Department of Homeland Security:</b>					
Direct					
		97.061 97.CXX		Centers for Homeland Security Contract — Department of Homeland Security	8,662 310,820
Pass-through:					
City of Minneapolis MN Department of Public Safety MN Dept. of Homeland Sec. & Emer. Mgmt. MN Dept. of Homeland Sec. & Emer. Mgmt. MN Dept. of Homeland Sec. & Emer. Mgmt. The Tauri Group, LLC The Tauri Group, LLC Washington County	Contract No. C-26563 2000-15619 Amend 1 B35570 B51005 Grant No. 2010-CCP-00749 1119.001 Mod #5 2008.20 S MOD#13 A-SHEP-2010-HSEM6WAS-122776(1)	97.CXX 97.056 97.CXX 97.039 97.067 97.CXX 97.CXX 97.067		Contract — Department of Homeland Security Port Security Grant Program Contract — Department of Homeland Security Hazard Mitigation Grant Homeland Security Grant Program Contract — Department of Homeland Security Contract — Department of Homeland Security Homeland Security Grant Program	290,000 (964) 9,953 5,498 1,313,427 202,734 100,000

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Housing and Urban Development:</b>					
Pass-through:					
MN Metropolitan Council	SG011-038	14.703		Sustainable Communities Regional Planning Grant Program	\$ 21,672
MN Metropolitan Council	SG2011-067	14.703		Sustainable Communities Regional Planning Grant Program	9,749
Region Five Development Commission	11-06HUD-UofM	14.UXX		Unid CFDA — Department of Housing and Urban Development	189,232
<b>Department of Housing and Urban Development, Office of Policy Development and Research:</b>					
Direct		14.511		Community Outreach Partnership Center Program	15
Direct		14.512		Community Development Work-Study Program	(1)
<b>Department of Justice:</b>					
Direct		16.CXX		Contract — Department of Justice	320,757
Direct		16.UXX		Undi CFDA — Department of Justice	29,735
Pass-through:					
American Probation & Parole Association	08-SA-16-1388	16.UXX		Undi CFDA — Department of Justice	2,226
<b>Department of Justice, Civil Rights Division:</b>					
Pass-through:					
The University of Iowa	W000292879	16.110		Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act	2,606
<b>Department of Justice, Office of Juvenile Justice and Delinquency Prevention:</b>					
Pass-through:					
National 4-H Council	2010-JU-FX-0016 Prime	16.726		Juvenile Mentoring Program	48,749
National 4-H Council	2011-MU-MU-026	16.726		Juvenile Mentoring Program	49,270
National 4-H Council	4-H/Prime OJJDP 2011-TY-FX-0031	16.726		Juvenile Mentoring Program	11,453
<b>Department of Justice, Violence Against Women Office:</b>					
Direct		16.525		Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	4,286
Direct		16.526		OVW Technical Assistance Initiative	499,129
<b>Department of Labor, Office of Disability Employment Policy:</b>					
Pass-through:					
Inst for ED Leadership	DOL OD-16519-07-75-4-11 Mod 9	17.720		Disability Employment Policy Development	24,380
<b>Department of the Interior:</b>					
Direct		15.CXX		Contract — Department of the Interior	9,741
Direct		15.UXX		Unid CFDA — Department of the Interior	136

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of the Interior, Fish and Wildlife Service:</b>					
Direct		15.608		Fish and Wildlife Management Assistance	\$ 655,514
Direct		15.632		Conservation Grants Private Stewardship for Imperiled Species	33,234
<b>Department of the Interior, National Park Service:</b>					
Direct		15.945		Cooperative Research and Training Programs Resources of the National Park System	27,799
<b>Department of the Treasury:</b>					
Direct		21.CXX		Contract — Department of the Treasury	33,391
Direct		21.UXX		Unid CFDA — Department of the Treasury	6,040
<b>Department of the Treasury, Internal Revenue Service (IRS):</b>					
Direct		21.008		Low Income Taxpayer Clinics	82,751
<b>Department of Transportation:</b>					
Direct		20.CXX		Contract — Department of Transportation	274,400
Pass-through:					
MN Department of Transportation	89261 W.O. 166	20.CXX		Contract — Department of Transportation	(694)
MN Department of Transportation	89261 W.O. 218	20.CXX		Contract — Department of Transportation	13,806
MN Department of Transportation	89261 W.O. 225	20.CXX		Contract — Department of Transportation	133,263
MN Department of Transportation	89261 W.O. 268	20.CXX		Contract — Department of Transportation	29,244
MN Department of Transportation	90076 Amendment 2	20.CXX		Contract — Department of Transportation	56,407
MN Department of Transportation	99008 Work Order No. 34	20.UXX		Unid CFDA — Department of Transportation	26,523
<b>Department of Transportation, Federal Highway Administration (FHWA):</b>					
Direct		20.200		Highway Research and Development Program	27,126
<b>Department of Transportation, Pipeline and Hazardous Materials Safety Administration:</b>					
Pass-through:					
MN Dept. of Homeland Sec. & Emer. Mgmt.	Grant No. 2010-HMEP-00807	20.703		Interagency Hazardous Materials Public Sector Training and Planning Grants	6,000
<b>Department of Veterans Affairs:</b>					
Direct		64.CXX		Contract — Department of Veterans Affairs	59,334
Direct		64.UXX		Unid CFDA — Department of Veterans Affairs	660,107
<b>Environmental Protection Agency, Office of Air and Radiation:</b>					
Direct		66.034		Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	41,876

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Environmental Protection Agency, Office of Enforcement and Compliance Assurance:</b>					
Pass-through:					
MN Department of Agriculture	B09773	66.700		Consolidated Pesticide Enforcement Cooperative Agreements	\$ 344
<b>Environmental Protection Agency, Office of Research and Development (ORD):</b>					
Direct		66.511		Office of Research and Development Consolidated Research/Training/Fellowships	(278)
<b>Environmental Protection Agency, Office of the Administrator:</b>					
Direct		66.951		Environmental Education Grants	57,759
<b>Environmental Protection Agency, Office of Water:</b>					
Direct		66.469		Great Lakes Program	62,440
Pass-through:					
MN Pollution Control Agency	Contract No. B39180	66.460		Nonpoint Source Implementation Grants	11,580
MN Pollution Control Agency	Contract No. B56501 (Brittany Story)	66.469		Great Lakes Program	78,315
MN Pollution Control Agency	Grant No. 38905/C9-97593511-0	66.460		Nonpoint Source Implementation Grants	11,768
<b>Institute of Museum and Library Services:</b>					
Direct		45.301		Museums for America	107,175
Direct		45.303		Conservation Project Support	46,707
Direct		45.312		National Leadership Grants	11,096
Pass-through:					
MN Department of Education	B18297 Amd. 1	45.310		Grants to States	34,795
MN Department of Education	Contract No. B32086	45.310		Grants to States	5,428
MN Department of Education	Contract No. B32664	45.310		Grants to States	27,409
MN Department of Education	LS-00-11-0024-11 prime	45.310		Grants to States	70,000
MN Department of Education	No Award Number	45.310		Grants to States	1,099,590
Purdue University	4112-46076/LG-07-11-0232-11	45.312		National Leadership Grants	1,333
<b>Medicaid and Chip Payment and Access Commission:</b>					
Direct		05.CXX		Contract — Medicaid and CHIP Payment and Access Commission (MACPAC)	86,246
<b>Medicare Payment Advisory Commission:</b>					
Direct		06.CXX		Contract — Medicare Payment Advisory Commission (MEDPAC)	18,158

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>National Aeronautics and Space Administration:</b>					
Direct		43.009		Cross Agency Support	\$ 94,803
Direct		43.UXX		Unid CFDA — National Aeronautics and Space Administration	883,793
Pass-through: Sigma Space Corporation	S09400-037	43.UXX		Unid CFDA — National Aeronautics and Space Administration	9,266
<b>National Endowment for the Arts:</b>					
Direct		45.024		Promotion of the Arts_Grants to Organizations and Individuals	15,701
Pass-through: Arts Midwest	FY12-133663: The Robot Planet	45.025		Promotion of the Arts_Partnership Agreements	2,000
Arts Midwest	FY12-135132	45.025		Promotion of the Arts_Partnership Agreements	5,000
Arts Midwest	FY12-145673	45.025		Promotion of the Arts_Partnership Agreements	10,000
<b>National Endowment for the Humanities:</b>					
Direct		45.149		Promotion of the Humanities_Division of Preservation and Access	66,008
Direct		45.164		Promotion of the Humanities_Public Programs	12,868
<b>National Science Foundation:</b>					
Direct		47.041		Engineering Grants	72,378
Direct		47.049		Mathematical and Physical Sciences	152,553
Direct		47.050		Geosciences	147,812
Direct		47.070		Computer and Information Science and Engineering	119,630
Direct		47.075		Social, Behavioral, and Economic Sciences	6,343
Direct		47.076		Education and Human Resources	3,797,180
Direct		47.078		Polar Programs	2,866
Direct		47.079		International Science and Engineering (OISE)	
Direct		47.082	ARRA	Trans — NSF Recovery Act Reasearch Support	567,158
Direct		47.UXX		Unid CFDA — National Science Foundation	4,581
Pass-through: Cornell University	60419-9040	47.082	ARRA	Trans — NSF Recovery Act Reasearch Support	15,768
Dakota County Technical College	PO 40580/PO 44541/PO 46240/PO 49103	47.CXX		Contract — National Science Foundation	193,816
Education Development Center	5214 Amend 2	47.076		Education and Human Resources	(77)
Georgia State University	SP0010602-11	47.076		Education and Human Resources	15,799
Maryland Science Center	No Award Number	47.076		Education and Human Resources	15,377
Mathematical Sciences Research Institute	DMS-1002171	47.049		Mathematical and Physical Sciences	10,000
North Carolina State University	2009-2037-02	47.082	ARRA	Trans — NSF Recovery Act Reasearch Support	3,708
Purdue University	4101-41412	47.070		Computer and Information Science and Engineering	13,482

Note: Blank amounts in the “Federal Expenditures” column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
Texas A&M University	A7313 CE Amd. 1	47.082	ARRA Trans — NSF Recovery Act Reasearch Support		\$ 10,898
University of California, Berkeley	PHY-2005825, Subaward No. 00007460	47.049		Mathematical and Physical Sciences	47,499
University of Michigan	F014764 Amendment 4 & 5	47.050		Geosciences	55,926
University of Notre Dame	PHY-0715396	47.049		Mathematical and Physical Sciences	11,838
<b>Peace Corps:</b>					
Direct		08.UXX		Unid CFDA — Peace Corps	18,692
<b>Small Business Administration:</b>					
Direct		59.007		7(j) Technical Assistance	29,477
Pass-through:					
MN Dept. of Employment & Economic Dev.	SBDC-10-0002-S-FY11	59.037		Small Business Development Centers	17,140
MN Dept. of Employment & Economic Dev.	SBDC-11-0002-S-FY11 Amend. 1	59.037		Small Business Development Centers	364,476
MN Dept. of Employment & Economic Dev.	SBJA-11-0002-S-FY11	59.037		Small Business Development Centers	12,659
<b>U.S. Department of State:</b>					
Direct		19.700		General Department of State Assistance	27,138
Direct		19.UXX		Undi CFDA — Department of State	28,232
Pass-through:					
Academy for Educational Development	3957-02-03	19.CXX		Contract — Department of State	600
Academy for Educational Development	4310-02-04 amd 1	19.CXX		Contract — Department of State	(4,604)
Institute of International Education	No Award Number	19.CXX		Contract — Department of State	305,090
International Research & Exchange Board	FY08-ILEP-MINNESOTA-01	19.UXX		Undi CFDA — Department of State	(368)
World Learning	S02-SIZ-100-11-GR067	19.UXX		Undi CFDA — Department of State	7,147
<b>U.S. Department of State, Bureau of Educational and Cultural Affairs:</b>					
Direct		19.415		Professional and Cultural Exchange Programs — Citizen Exchanges	66,507
Pass-through:					
Institute of International Education	No Award Number	19.010		19.010 Academic Exchange Programs — Hubert H. Humphrey Fellowship Program	26,950
Institute of International Education	No Award Number	19.400		Academic Exchange Programs — Graduate Students	84,793
<b>Vietnam Education Foundation:</b>					
Direct		09.UXX		Unid CFDA — Vietnam Education Foundation	2,000
Total Public Service					88,081,631

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Instruction</b>					
<b>Corporation for National and Community Service:</b>					
Pass-through:					
Harrisburg University	No Award Number	94.005		Learn and Serve America_Higher Education	\$ 8,639
<b>Department of Agriculture:</b>					
Pass-through:					
Minnesota State Colleges & Universities	No Award Number	10.CXX		Contract — Department of Agriculture	17,407
Minnesota State Colleges & Universities	P.O. #19455	10.CXX		Contract — Department of Agriculture	2,002
Minnesota State Colleges & Universities	P.O. #19456	10.CXX		Contract — Department of Agriculture	5,038
<b>Department of Agriculture, Food and Nutrition Service:</b>					
Direct		10.557		Special Supplemental Nutrition Program for Women, Infants, and Children	36,991
<b>Department of Agriculture, National Institute of Food and Agriculture:</b>					
Direct		10.203		Payments to Agricultural Experiment Stations Under the Hatch Act	1,911
Direct		10.206		Grants for Agricultural Research_Competitive Research Grants	58,343
Direct		10.210		Food and Agricultural Sciences National Needs Graduate Fellowship Grants	30,115
Direct		10.217		Higher Education Challenge Grants	30,408
Direct		10.220		Higher Education Multicultural Scholars Program	28,829
Direct		10.305		International Science and Education Grants	60,387
<b>Department of Agriculture, Risk Management Agency:</b>					
Pass-through:					
MN Fruit and Vegetable Growers	07IE08310266-C	10.457		Commodity Partnerships for Risk Management Education	(2,851)
<b>Department of Commerce, National Oceanic and Atmospheric Administration (NOAA):</b>					
Direct		11.417		Sea Grant Support	33,972
<b>Department Of Defense, U.S. Army Medical Command:</b>					
Direct		12.420		Military Medical Research and Development	66,296
<b>Department of Education, Institute of Education Sciences:</b>					
Direct		84.305		Education Research, Development, and Dissemination	1,021,016

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Education, Office of Bilingual Education And Minority Languages Affairs:</b>					
Direct		84.195		National Professional Development Program (84.195N)	\$ 398,995
<b>Department of Education, Office of Elementary and Secondary Education:</b>					
Pass-through:					
MN Office of Higher Education	B10893	84.367		Improving Teacher Quality State Grants	
MN Office of Higher Education	B10895	84.367		Improving Teacher Quality State Grants	(1,129)
MN Office of Higher Education	Contract No. 40941	84.367		Improving Teacher Quality State Grants	4,042
MN Office of Higher Education	Contract No. 40943	84.367		Improving Teacher Quality State Grants	3,275
<b>Department of Education, Office of Innovation and Improvement:</b>					
Pass-through:					
National Writing Project	92-MN01	84.928		National Writing Project	42,956
<b>Department of Education, Office of Postsecondary Education:</b>					
Direct		84.116		Fund for the Improvement of Postsecondary Education	42,087
Direct		84.229		Language Resource Centers	239,756
<b>Department of Education, Office of Special Education and Rehabilitative Services:</b>					
Pass-through:					
MN Department of Education	Contract No. 32041, Grant No. 2011-00445	84.323		Special Education — State Personnel Development	23,638
<b>Department of Health and Human Services, Administration for Children and Families:</b>					
Direct		93.648		Child Welfare Research Training or Demonstration	126
Pass-through:					
MN Department of Human Services	438241	93.658		Foster Care_Title IV — E	(125)
MN Department of Human Services	439481	93.658		Foster Care_Title IV — E	229,376
MN Department of Human Services	AGPS #439481	93.658		Foster Care_Title IV — E	209,787
MN Department of Human Services	GRK 29646 Req# H55 D14	93.658		Foster Care_Title IV — E	499,804
MN Department of Human Services	GRK% 29646	93.658		Foster Care_Title IV — E	1,329,860
<b>Department of Health and Human Services, Agency for Healthcare Research and Quality:</b>					
Direct		93.225		National Research Service Awards_Health Services Research Training	380,983

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Health and Human Services, Centers for Disease Control and Prevention:</b>					
Direct		93.061		Innovations in Applied Public Health Research	\$ 56,763
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	723,612
Direct		93.262		Occupational Safety and Health Program	220,269
<b>Department of Health and Human Services, Food and Drug Administration:</b>					
Pass-through:					
Nat'l Inst. for Pharm. Technology & Educ	MN003/UMN 2011001	93.C08		Contract — Department of Health and Human Services — Food and Drug Administration	4,197
<b>Department of Health and Human Services, Health Resources and Services Administration:</b>					
Direct		93.107		Area Health Education Centers Point of Service Maintenance and Enhancement Awards	149,301
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	1,979,624
Direct		93.124		Nurse Anesthetist Traineeships	2,154
Direct		93.186		National Research Service Award in Primary Care Medicine	254,950
Direct		93.247		Advanced Nursing Education Grant Program	377,270
Direct		93.249		Public Health Training Centers Grant Program	132,135
Direct		93.265		Comprehensive Geriatric Education Program	165,894
Direct		93.358		Advanced Nursing Education Traineeships	84,214
Direct		93.359		Nurse Education, Practice and Retention Grants	128,623
Direct		93.405	ARRA	ARRA — Public Health Traineeship Program	44,790
Direct		93.822		Health Careers Opportunity Program	678,791
Direct		93.884		Grants for Training in Primary Care Medicine and Dentistry	882,562
Direct		93.925		Scholarships for Health Professions Students from Disadvantaged Backgrounds	13,833
Direct		93.964		Public Health Traineeships	39,309
Direct		93.969		Geriatric Education Centers	
Pass-through:					
The University of Oklahoma	TS20080950-04A1	93.247		Advanced Nursing Education Grant Program	17,813
<b>Department of Health and Human Services, Indian Health Service:</b>					
Direct		93.970		Health Professions Recruitment Program for Indians	108,937

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Health and Human Services, National Institutes of Health:</b>					
Direct		93.121		Oral Diseases and Disorders Research	\$ 1,144,496
Direct		93.173		Research Related to Deafness and Communication Disorders	8,588
Direct		93.279		Drug Abuse and Addiction Research Programs	1,095,064
Direct		93.282		Mental Health National Research Service Awards for Research Training	748,381
Direct		93.286		Discovery and Applied Research for Technological Innovations to Improve Human Health	88,542
Direct		93.389		National Center for Research Resources	364,561
Direct		93.398		Cancer Research Manpower	992,255
Direct		93.701	ARRA	Trans — NIH Recovery Act Research Support	27,680
Direct		93.837		Cardiovascular Diseases Research	700,548
Direct		93.838		Lung Diseases Research	568,184
Direct		93.839		Blood Diseases and Resources Research	372,471
Direct		93.846		Arthritis, Musculoskeletal and Skin Diseases Research	630,617
Direct		93.847		Diabetes, Digestive, and Kidney Diseases Extramural Research	845,983
Direct		93.853		Extramural Research Programs in the Neurosciences and Neurological Disorders	1,482
Direct		93.855		Allergy, Immunology and Transplantation Research	486,354
Direct		93.859		Biomedical Research and Research Training	1,336,078
Direct		93.865		Child Health and Human Development Extramural Research	692,053
Direct		93.866		Aging Research	341,836
Direct		93.867		Vision Research	131,454
Direct		93.989		International Research and Research Training	221,821
Pass-through:					
University of Cincinnati	7038, PO# L11-4500052880	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	22,675
University of Cincinnati	COES # 007038	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	220,462
University of Cincinnati	L11-4500052880 SAP 10055019	93.142		NIEHS Hazardous Waste Worker Health and Safety Training	(226)

Note: Blank amounts in the "Federal Expenditures" column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of Health and Human Services, Office of the Secretary:</b> Direct		93.721	ARRA	ARRA — Health Information Technology Professionals in Health Care	\$ 1,842,623
<b>Department of Health and Human Services, Substance Abuse and Mental Health Services Administration:</b> Pass-through: MN Department of Human Services	GRK% 29816	93.958		Block Grants for Community Mental Health Services	56,128
<b>Department of Homeland Security:</b> Direct		97.104		Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	53,842
Pass-through: Kansas State University	S12004	97.104		Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program	34,254
<b>Department of Labor:</b> Pass-through: Minnesota State Colleges & Universities Minnesota State Colleges & Universities	24036 P.O. #24126	17.UXX 17.UXX		Undi CFDA — Department of Labor Undi CFDA — Department of Labor	6,157 32,275
<b>Department of the Interior, U.S. Geological Survey:</b> Direct		15.805		Assistance to State Water Resources Research Institutes	1,357
<b>Department of Veterans Affairs:</b> Direct		64.CXX		Contract — Department of Veterans Affairs	8,460
<b>Environmental Protection Agency, Office of Research and Development (ORD):</b> Direct		66.511		Office of Research and Development Consolidated Research/Training/Fellowships	112,700
Direct		66.514		Science To Achieve Results Fellowship Program	21,517
<b>Environmental Protection Agency, Office of the Administrator:</b> Pass-through: MN Pollution Control Agency	SWIFT#:%37276 CR#: 5273	66.605		Performance Partnership Grants	7,105

Note: Blank amounts in the “Federal Expenditures” column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Continued)

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA #	ARRA	CFDA Program Title	Federal Expenditures
<b>National Aeronautics and Space Administration:</b>					
Direct		43.001		Science	\$ 24,292
<b>National Endowment for the Humanities:</b>					
Direct		45.163		Promotion of the Humanities_Professional Development	21,303
<b>National Science Foundation:</b>					
Direct		47.041		Engineering Grants	158,770
Direct		47.049		Mathematical and Physical Sciences	8,450
Direct		47.074		Biological Sciences	100,732
Direct		47.076		Education and Human Resources	913,824
Direct		47.079		International Science and Engineering (OISE)	(715)
Direct		47.UXX		Unid CFDA — National Science Foundation	8,080
<b>Department of State:</b>					
Pass-through:					
Institute of International Education	No Award Number	19.UXX		Undi CFDA — Department of State	<u>326,637</u>
				Total Instruction	<u>25,646,125</u>
				Total Nonclustered Programs	<u>113,727,756</u>
				Total Federal Expenditures	<u>\$1,114,498,158</u>

Note: Blank amounts in the “Federal Expenditures” column relate to programs that have expended less than \$.50 in the current year and have therefore been rounded to \$0.

(Concluded)



# UNIVERSITY OF MINNESOTA

## NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

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### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of the University of Minnesota (the "University") and is prepared on the cash basis of accounting. The information presented in the Schedule is presented in accordance with the requirements of the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in the Schedule may differ from amounts presented in, or used in the preparation of the University's consolidated financial statements.

The University passes through certain funds to subgrantee organizations. Expenditures incurred by the subgrantees and reimbursed by the University are presented in the Schedule but not in the consolidated financial statements of the University. The University is also the subrecipient of federal funds, which have been subject to testing and are reported expenditures included as pass-throughs in the Schedule.

### 2. FACILITIES AND ADMINISTRATIVE RATES

The University utilized facilities and administrative rates that have been negotiated with and approved by its cognizant agency, the U.S. Department of Health and Human Services, and are effective for the period of July 1, 2011 through June 30, 2015.

### 3. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBERS

Some of the program, grants and/or other awards included in the Schedule are contracts, which do not report Catalog of Federal Domestic Assistance (CFDA) numbers. The expenditure amounts related to these programs, grants, and/or other awards have been reported, generally, at an agency level.

### 4. FEDERAL PERKINS LOAN PROGRAM

Amounts reported in the schedule for the Federal Perkins Loan Program represent administrative and collection costs. Outstanding loans at June 30, 2012, under the Federal Perkins Loan Program were \$237,357,337. New Federal Perkins Loans of \$6,465,288 were advanced to students during the year ended June 30, 2012.

### 5. NEGATIVE EXPENDITURES

Within the Schedule there are negative amounts which represent a true-up of the grants awards received versus the expenditures incurred. The negative amounts also remove the need for any reconciliation necessary for the federal agencies.

**6. RECONCILIATION OF FEDERAL AWARDS TO THE CONSOLIDATED FINANCIAL STATEMENTS**

The following schedule is a reconciliation of total expenditures as shown on the Schedule to the general ledger accounts included in the operating expenses reported on the consolidated statement of revenues, expenses, and changes in net assets for the year ended June 30, 2012, which is included as part of the University's consolidated financial statements.

Schedule of expenditures of federal awards		\$ 1,114,498,158
Federal loan program amounts provided by Student Finance Office not directly included on the consolidated financial statements (Federal Direct Loan advances — CFDA 84.268, Federal Work Study — CFDA 84.033, Pell Grant Program — CFDA 84.063, SEOG — CFDA 84.007, TEACH — CFDA 84.379 and Health Professions Student Loans — CFDA 93.342)	(477,009,741)	
Expenditures of federal program dollars included in consolidated operating expenses	55,007,594	
Less expenditures not included on the consolidated statement of revenues, expenses, and changes in net assets (equipment, buildings, and structures)	(140,895,420)	
Difference of prior-year overdrafts added back and current-year overdrafts subtracted out	(1,385,671)	
Adjustments for expenditures of federal program dollars included in consolidated operating expenses and/or expenditures of nonfederal program dollars included in consolidated operating expenses	479,061	
Less cash to accrual basis adjusting journal entries (year-end close)	966,437	
Uncategorized difference	130,180	
Less federal pass-through grants not on the consolidated statement of revenues, expenses, and change in net assets	<u>(98,188,428)</u>	
Subtotal A-133 expense adjustments in federal fund class		(660,895,988)
Expenditures of nonfederal dollars included in consolidated operating expenses		2,751,730,409
Internal sales included in consolidated operating expenses		(213,626,008)
Depreciation included in consolidated operating expenses		183,875,230
Nondepreciation and internal sales accrual basis adjusting journal entries year-end close)		<u>(227,216,000)</u>
Total operating expenses as shown on the consolidated statement of revenues, expenses, and changes in net assets for the year ended June 30, 2012		<u>\$ 2,948,365,801</u>

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2012

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### SECTION I — SUMMARY OF AUDITORS' RESULTS

#### Financial Statements

- A. Type of auditors' report issued: Unqualified
- B. Internal control over financial reporting:
- Material weakness(es) identified?  yes  no
  - Significant deficiency(ies) identified?  yes  none reported
- C. Noncompliance material to financial statements noted?  yes  no

#### Federal Awards

- D. Internal control over major programs:
- Material weakness(es) identified?  yes  no
  - Significant deficiency(ies) identified?  yes  none reported
- E. Type of auditors' report issued on compliance for major programs: Unqualified
- F. Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?  yes  no
- G. Identification of major programs:

CFDA Number	Name of Federal Program or Cluster
Various	Research and Development Cluster*
Various	Student Financial Aid Cluster*
93.658	Foster Care Title IV-E
47.076	Education and Human Resources
93.110	Maternal and Child Health Federal Consolidated Programs
93.721	Health Information Technology Professionals in Health Care
10.315	Trade Adjustment Assistance for Farmers
84.373	Special Education Technical Assistance on Street Data Collection
93.C07	Department of Health and Human Services – Centers for Medicare and Medicaid Services

\*This program has various and unidentified CFDA numbers.

- H. Dollar threshold used to distinguish between Type A and Type B programs: \$3,343,494 for Type A Programs  
\$334,349 for Type B Programs
- I. Auditee qualified as low-risk auditee?  yes  no

# **UNIVERSITY OF MINNESOTA**

## **SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2012**

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### **SECTION II — FINANCIAL STATEMENT FINDINGS**

No financial statement matters are reportable matters.

### **SECTION III — FEDERAL AWARD FINDINGS AND QUESTIONED COSTS**

No matters are reportable matters.